Prevention of vascular graft occlusion and thrombus-associated thrombin generation by inhibition of factor XI

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Abstract

The protease thrombin is required for normal hemostasis and pathologic thrombogenesis. Since the mechanism of coagulation factor XI (FXI)-dependent thrombus growth remains unclear, we investigated the contribution of FXI to thrombus formation in a primate thrombosis model. Pre-treatment of baboons with a novel anti-human FXI monoclonal antibody (aXIMab - 2 mg/kg), inhibited plasma FXI by ≥99% for 10 days, and suppressed thrombin-antithrombin (TAT) complex and β-thromboglobulin (βTG) formation measured immediately downstream from thrombi forming within collagen-coated vascular grafts. FXI inhibition with aXIMab limited platelet and fibrin deposition in 4 mm diameter grafts without an apparent increase in D-dimer release from thrombi, and prevented the occlusion of 2 mm diameter grafts without affecting template bleeding times. In comparison, pre-treatment with aspirin (32 mg/kg) prolonged bleeding times but failed to prevent graft occlusion, supporting the concept that FXI blockade may offer therapeutic advantages over other antithrombotic agents in terms of bleeding complications. In whole blood, aXIMab prevented fibrin formation in a collagen-coated flow chamber, independent of factor XII and factor VII. These data suggest that endogenous FXI contributes to arterial thrombus propagation through a striking amplification of thrombin generation at the thrombus luminal surface.
Introduction

Blood coagulation during hemostasis is initiated by the tissue factor (TF)/factor VIIa complex (the extrinsic pathway) that activates factors IX and X, and ultimately produces thrombin at sites of vascular injury. In thrombosis, intravascular blood coagulation may also be initiated by the extrinsic pathway. However, impairment of the TF/factor VIIa pathway does not provide full protection from thrombosis, since symptomatic factor VII deficient subjects can develop concurrent thrombosis and severe bleeding. The functions of the contact proteins (factor XI, factor XII, prekallikrein and high-molecular-weight kininogen) in hemostasis are less clear. The physiological role of factor XI (FXI) has been difficult to determine because of the variable bleeding disorder associated with FXI deficiency, and because monospecific FXI inhibitors have not been widely available for experimental investigation. FXI activation is thought to proceed through thrombin- and/or factor XII-dependent mechanisms, and activated FXI (FXIa) contributes to sustained thrombin generation after initiation of blood clotting by activating factor IX. These activities ultimately promote coagulation, platelet activation, and preservation of fibrin clot integrity. Thrombin also increases the density of fibrin networks, and indirectly inhibits fibrinolysis through activation of carboxypeptidase B (thrombin-activatable fibrinolysis inhibitor, TAFI). Thus, FXI may support thrombus propagation and clot stability by increasing thrombin generation.

Compelling circumstantial evidence suggests a contributory role for FXI in the pathogenesis of thrombosis. An elevated plasma FXI level appears to be an independent risk factor for deep vein thrombosis (DVT), ischemic stroke, and myocardial infarction in humans. While one study did not detect a reduced incidence of myocardial infarction in patients with severe factor XI deficiency, the incidence of ischemic stroke appears to be significantly lower in FXI deficiency than in the general
population. FXI deficiency reduces occlusive thrombus formation in mouse models, and pharmacological inhibition of FXI is antithrombotic in rabbits and primates. Despite these findings, FXI appears to play a supportive role in normal hemostasis, and only a fraction of the individuals with severe factor XI deficiency exhibit a mild to moderated bleeding tendency upon injury. In contrast, hemophilia (factor VIII or IX deficiency) or factor deficiencies in the common pathway of coagulation (factors II, V, or X) are associated with severe bleeding or are incompatible with life. Taken together, these observations suggest that thrombosis and hemostasis, while linked in many respects, possess mechanistic differences that may allow development of more thrombosis-specific anticoagulant strategies such as targeting of FXI.

To investigate the mechanism by which FXI contributes to acute thrombus formation, baboon and flow chamber models were employed. To block FXI activity, a potent monospecific neutralizing antibody was generated. A sensitive model was developed for locally measuring soluble markers of activated coagulation, platelets, and fibrinolysis at sites of experimental thrombus formation in baboons. Platelet and fibrin accumulation during arterial thrombogenensis, and the occlusion of thrombogenic blood conduits were determined in the presence and absence of the antibody.
Materials and methods

Experimental animals

39 non-terminal studies were performed using 17 male baboons (*Papio anubis*, 9-11 kg). All studies were approved by the Institutional Animal Care and Use Committee of Oregon Health and Science University. Thrombosis experiments were conducted on non-anticoagulated awake animals that had chronic exteriorized femoral arteriovenous shunts, as described elsewhere. Baseline shunt blood flow exceeded 250 ml/min in all study animals. Anxiety was managed with low dose ketamine (< 2 mg/kg/hr). Platelet counts, red cell counts, and hematocrits were measured daily, before and after the experiments. Calculated blood loss did not exceed 4% of total blood volume on any experimental day.

Thrombosis model

Thrombus formation was initiated within chronic arteriovenous shunts in baboons by interposing a prosthetic vascular graft segment for up to 60 min, essentially as described. The hypothrombogenic graft (ePTFE, WL Gore & Co., Flagstaff, AZ) was coated with collagen which consistently triggers platelet-dependent thrombus formation. Twenty mm long graft segments (internal diameters (i.d.) of either 2 or 4 mm) were filled with equine type I collagen (1 mg/ml; Nycomed Arzenmittel, Munich, Germany) for 15 min, and then dried overnight under sterile airflow. The thrombogenic collagen-coated grafts were then incorporated between segments of silicon rubber tubing, deployed into the shunts (Figure 1), and exposed to blood flow. The flow rate through the graft was restricted to 100 ml/min (Transonics Systems flow meter, Ithaca, NY) by clamping the proximal shunt segment, thereby producing initial mean wall shear rates of 265 s⁻¹ (4 mm i.d.) or 2120 s⁻¹ (2 mm i.d.). The 4 mm grafts remained patent without flow rate...
reduction for 60 min. In the 2 mm diameter grafts, flow rates progressively declined due to occlusive thrombus formation. The grafts were removed from the shunts either at 60 min (4 mm i.d. grafts) or when the flow rate fell from 100 ml/min to 20 ml/min (2 mm i.d. grafts), signaling imminent occlusion. The time from initiation of blood flow through the graft until the flow reached 20 ml/min was taken as the occlusion time.

Thrombus formation was assessed in real time during the experiments by quantitative gamma camera imaging of radiolabeled platelet accumulation within the graft segment, and by end-point determinations of radiolabeled fibrinogen/fibrin deposition, as described. Measurements of platelet-associated radioactivity on the grafts were recorded at 5-min (4 mm i.d.) or 3-min (2 mm i.d.) intervals using a GE-400T gamma scintillation camera interfaced with a NuQuest InteCam computer system. Embolic events were recorded as abrupt decreases in the number of platelets in the graft between subsequent imaging frames.

**Blood sample collection**

Systemic samples were collected proximal to the graft from the midstream of the shunt into 3.8% citrate (1:9, v/v) before graft deployment, and then at 30 and 60 min. One sample was processed for platelet poor plasma and used to assess FXI procoagulant activity levels, while a second sample was used for determination of systemic coagulation markers (vide infra). For the assessment of thrombosis markers generated within the 4 mm i.d. grafts, samples were also taken from the blood stream adjacent to the vessel wall (intraluminal boundary layer) immediately distal to the thrombus. Blood was collected at a rate of 100 µl/min during 10 min intervals through a 0.64 mm i.d. port located 10 mm distal to the graft (Figure 1). To maintain patency of the sampling port, Phe-Pro-Arg-chloromethylketone (PPACK, 0.5 mg/ml, 1 mM), which inhibits thrombin
and other coagulation proteases, was infused at a rate of 20 µl/min into a second 0.64 mm i.d. port located 3 mm proximal to and in line with the collection port. Anticoagulant infusion and local blood sampling were regulated using syringe pumps (Harvard Apparatus).

**Blood sample analysis**

Blood cell counts were determined using a micro-60 automated cell counter (Horiba-ABX Diagnostics). Blood samples were divided into aliquots and processed according to specific test requirements. Plasma was prepared from one aliquot and activated partial thromboplastin times (aPTT) and prothrombin times (PT) were measured on site. Another aliquot was placed on ice for 10 min and centrifuged at 4º C for 10 min at 12,900 g. For β-thromboglobulin (βTG) determinations, the samples were supplemented with 4 µg/ml prostaglandin E1 (PGE1), 4.3 mg/ml acetylsalicylic acid (ASA), and 50 µg/ml PPACK. The plasma was then frozen and stored at -80º C until assaying. Cross-reacting ELISA assays were used to determine D-dimer levels (IMUCLONE® D-Dimer, American Diagnostica), the platelet activation marker βTG (Asserachrom®, Diagnostica Stago), and thrombin-antithrombin complexes (TAT, Enzygnost-TAT, Dade-Behring).

**Derivation of neutralizing anti-factor XI antibody**

Since previous studies showed that polyclonal antibodies to human FXI required very high doses to achieve near-complete inhibition of FXI in baboons, we generated a new reagent, a potent neutralizing anti-human FXI monoclonal antibody (aXIMab) that cross-reacts with baboon FXI. Hybridomas were derived from Balb/c mice immunized with purified human FXI using standard procedures. Hybridomas were screened using solid phase ELISA against human FXI, and those that showed binding were subcloned twice by limiting dilution. The clone that produced the most potent neutralizing antibody, which
inhibited the activation of FXI and/or the activity of FXIa, was selected based on
prolongation of the clotting time of recalcified normal human plasma (NHP) and normal
baboon plasma (NBP) by the cell culture supernatant. The cell line producing aXIMab
(1A6.1.1) was grown in a CL1000 bioreactor according to the manufacturer's protocol
(Integra Biosciences), and the antibody was purified from the media using cation
exchange and protein A chromatography.

Human and baboon FXI in plasma was recognized by aXIMab as a single band at 160
kDa on Western blots (Figure 2A). The antibody specifically recognized the third apple
(A3) domain of the FXI heavy chain, as assessed by immunoblotting of recombinant
FXI/prekallikrein chimeras, as described (unpublished data).27 The IC50 and IC99 of
aXIMab in vitro was 2.5 nM and 10 nM, respectively, in an aPTT based clotting assay
using FXI deficient human plasma (George King Bio-Medical) with serial dilutions of NBP
as standards.28 Purified aXIMab, tested within a concentration rage from 0-40 nM,
prolonged the aPTT (Hemosil™ SynthASil, Instrumentation Laboratory) similarly in both
NHP and NBP in a concentration-dependent manner without affecting the PT (Innovin®,
Dade Behring).

**Pharmacological inhibition of FXI and platelet activity in vivo**

In a pilot experiment, aXIMab (2 mg/kg) was administered to a single baboon, and blood
samples were collected into citrate anticoagulant over 4 weeks to measure circulating
FXI antigen (FXI:Ag) concentrations, FXI inhibitor, and FXI procoagulant activity. This
dose of aXIMab was chosen with the intent to achieve sustained and near-complete
inhibition of FXI, assuming an initial dilution of the antibody into 60 ml blood volume per
kg body weight after injection. The maximum achievable prolongation of the aPTT was
about 2.5 fold. FXI:Ag was measured by ELISA using goat anti-human FXI polyclonal
capture and detection (horseradish peroxidase conjugated [HRP]) antibodies (Affinity Biologicals, Hamilton, Ontario), which also recognized baboon FXI and its complex with aXIMab. A standard curve was constructed with serial dilutions of NBP, and FXI concentrations were determined as a percentage of NBP. Western blots for FXI were performed by size fractionation of 1 μl samples of plasma under non-reducing conditions on 7.5% polyacrylamide-SDS gels, followed by transfer to PVDF membranes. Detection was with a goat-anti-human FXI polyclonal antibody conjugated to HRP and chemiluminescence. In the same samples, the Bethesda assay\textsuperscript{29} was used to determine excess (non-complexed) circulating FXI inhibitor (aXIMab) activity levels, and the FXI procoagulant activity was measured using a clotting assay.\textsuperscript{28} P-selectin (Bender MedSystem, monkey sP-selectin ELISA) and fibrinogen (Clauss assay) levels were measured as global plasma markers for inflammation.

aXIMab was administered as a bolus (2 mg/kg intravenously) at least 24 h before the thrombosis experiments. The anticoagulant effect was monitored daily, and thrombosis experiments were performed while the systemic FXI procoagulant activity was reduced by ≥99%. We previously showed that inhibition of FXI by polyclonal antibodies is safer and as effective as high dose heparin in baboons.\textsuperscript{20} Aspirin has less pronounced effects on hemostasis than heparin, and is often used in the treatment of arterial-type platelet dependent thrombosis. The affect of ASA treatment was compared to aXIMab on the occlusion-prone 2 mm i.d. grafts. ASA (32 mg/kg) was administered orally 2 to 4 h before each thrombosis experiment, as described previously.\textsuperscript{30} Four weeks were allowed for washout of each aXIMab and ASA before performing new experiments in the same animal.
Hemostasis assessment

The effects of FXI inhibition and aspirin on hemostasis in baboons were assessed using the standard template skin bleeding time test (Surgicutt®, International Technidyne Corp). Experimentally, this test has been shown to be sensitive to the effects of therapeutic anticoagulants and anti-platelet agents in non-human primates. All bleeding time measurements were performed by the same expert technician.

Modeling of thrombosis marker mass transport and local sampling

A 3D computational fluid dynamics model, similar to that presented by Xu et al, was used to estimate the concentrations of thrombus-derived macromolecules in blood that flows over forming thrombus and transports the markers along the adjacent vessel wall distally. The model was based on the geometry shown in Figure 1, with typical values for blood density and viscosity. The model of local blood sampling was implemented using the finite element software ADINA (Watertown, MA). In addition, a 2D axisymmetric computational model, similar to that of Markou et al, was used to estimate thrombosis marker distribution within the flow field. Computational modeling predicted that molecules of interest (βTG, fibrin D-dimer, and TAT) released or generated at sites of thrombus formation, would be concentrated (>99%) within a very thin peripheral blood boundary layer (~0.1 mm thick concentration boundary layer) along the immediately distal vessel wall (data not shown). Thus, as employed here, the local sampling method effectively sampled the entire near-wall concentration boundary layer region, immediately distal to the forming thrombus, for platelet and coagulation markers of interest.
Flow chamber coagulation studies

Glass capillary tubes coated with 100 µg/ml Horm collagen (Nycomed Arzenmittel, Munich, Germany) were used as thrombus chambers. Whole human blood was collected into corn trypsin inhibitor (CTI, 40 µg/ml), discarding the first 1 ml to limit activation of coagulation. The blood was perfused through the thrombus chambers at a shear rate of 265 s⁻¹ for 10 min. Prior to each experiment, blood was incubated with aXIMab (20 µg/ml), heparin (15 U/ml), a combination of an inhibitory anti-TF antibody (20 µg/ml, Genentech) and FVIIai (1 µg/ml, NovoNordisk), and/or PBS vehicle (all concentrations final). In separate experiments, washed platelets and RBCs were processed as described,³⁶ and then mixed with FXII deficient human plasma (<1% FXII, Haematologic Technologies) to reach a hematocrit of 40% and platelet count of 300 x10³/µl. The reconstituted blood was incubated with aXIMab (20µg/ml) or CTI (40µg/ml), recalcified with 7.5mM CaCl₂ and 3.75mM MgCl₂, and then perfused immediately through collagen coated capillary tubes. Recalcified FXII deficient human plasma without RBCs or platelets was also perfused over collagen. Images were obtained by DIC microscopy after three minutes of perfusion with modified Tyrodes buffer to wash out unbound blood components.

Data analysis

Mean values are given ± 1 SEM (standard error of the mean). Occlusion data were compared using the log-rank test. The two-tailed Student’s t-test was used for all other single pair comparisons. A P value ≤ 0.05 was considered significant.
Results

Inhibition of FXI by aXIMab ex vivo prevents fibrin formation independent of FXIIa

When CTI-anticoagulated fresh whole human blood was perfused over collagen at arterial shear (265 s⁻¹), platelets were deposited in large aggregates which became enveloped by forming fibrin strands (Figure 2B). In stark contrast, when CTI blood was further anticoagulated with either heparin or aXIMab, fibrin deposition was greatly reduced. In these short experiments, there was no obvious reduction in platelet adhesion to collagen by the anticoagulants. The outcome was similar when using reconstituted FXII deficient blood, with or without CTI. Fibrin was generated independent of FXII, and FXI inhibition with aXIMab interrupted fibrin thrombus formation. Inhibition of the extrinsic pathway with a combination of FVIIai and the anti-TF antibody, which nearly doubled the PT, had no effect on fibrin formation or platelet deposition. No fibrin was formed when recalcified FXII deficient human plasma alone was perfused over collagen (data not shown), illustrating the importance of platelets and/or other blood cells in fibrin thrombus formation in this model. These data suggest that, under physiologically relevant shear flow, FXI and corpuscular blood components promote fibrin formation on collagen independent of FXIIa or TF/FVIIa.

Inhibition of FXI with aXIMab, in vivo

A baboon given aXIMab (2mg/kg) was followed for 4 weeks post-injection to assess FXI antigen (FXI:Ag), anticoagulant activity, and antibody inhibitor levels. FXI:Ag levels (complexed and native) decreased from 110% to 40% of NBP levels by 60 min, but steadily increased thereafter, reaching 300% of control by day 8 post treatment (Figure 3A). FXI:Ag remained above 200% of control through day 15, after which there was a
decrease to 130% by day 27. FXI activity decreased from 125% to <1% at 1 h after aXIMab administration, and remained inhibited by >99% for 10 days after administration. FXI activity gradually increased to 136% by day 27. Maximum circulating FXI inhibitor levels were observed at 1 h post aXIMab infusion (72 Bethesda units [BU]), which decreased to 0.6 BU by day 13, and were undetectable thereafter (Figure 3B). Consistent with the FXI:Ag ELISA data, the 160 kDa band representing the FXI homodimer on SDS PAGE and Western blots of plasma increased in intensity between day 0 and 8 (Figure 3C). These results indicate that the anticoagulant effect of aXIMab was due to its sustained presence in the circulation, and its ability to block FXI activation and/or activity, and not to clearance of the zymogen from the circulation. Since aXIMab separates from FXI during SDS gel electrophoresis, it remains unclear whether inhibition of FXI by aXIMab caused a rebound increase in FXI secretion or the FXI-aXIMab immune complexes were cleared slowly from the circulation. In the same samples, P-selectin and fibrinogen levels were determined as indirect measures for inflammation, with no remarkable changes from baseline detected (data not shown).

In thrombosis studies using aXIMab, FXI procoagulant activity was inhibited by 99.0% (98.8-99.5%) at 1 h after injection and remained inhibited by 99% in all animals for at least 7 days. No observable adverse events were associated with the treatment. aPTT values were prolonged after aXIMab administration to 65.6 ± 2.0 sec compared with 30.5 ± 0.7 sec in control animals, while the PT values remained comparable to baseline (9.1 ± 0.1 vs. 9.0 ± 0.1 sec, respectively, n = 11 for each). Platelet aggregation in platelet rich plasma in response to adenosine diphosphate (ADP) and collagen was not affected by aXIMab-treatment (data not shown).
Protection of aXIMab-treated baboons from collagen-initiated thrombus development

Administration of aXIMab reduced platelet and fibrin deposition in the 4 mm i.d. collagen-coated vascular grafts. Significant differences in platelet accumulation between aXIMab- and vehicle-treated groups were seen as early as 10 min after graft exposure to blood flow (0.13 ± 0.03 x 10^9 versus 0.23 ± 0.03 x 10^9 platelets, aXIMab versus vehicle control, \( P < 0.05 \)). The differences remained statistically significant throughout the time course of thrombus propagation (Figure 4A). Graft platelet accumulation at 60 min was 63% lower in aXIMab-treated animals than in vehicle controls (1.38 ± 0.26 x 10^9 versus 3.68 ± 0.52 x 10^9 platelets, aXIMab versus control, \( n = 6 \) and 8, respectively, \( P < 0.01 \); Figure 4A). Systemic platelet counts were similar in aXIMab (341 ± 27 x 10^3/µl) and control groups (337 ± 31 x 10^3/µl), and did not change significantly following thrombosis experiments. End-point fibrin deposition was reduced by 81% compared with controls (0.23 ± 0.07 mg and 1.18 ± 0.19 mg, aXIMab versus control, \( P = 0.001 \); Figure 4B). Since aXIMab is monospecific for the A3 domain of FXI, these data verify that FXI plays an important role in thrombus propagation under arterial-type flow conditions in primates.

Reduced thrombin generation and platelet activation in aXIMab-treated baboons

Since inhibition of FXI could reduce thrombus formation in vivo both by limiting thrombin-mediated platelet activation and fibrin formation and/or by increasing thrombolysis, levels of \( \beta \)TG, TAT, and D-dimer were measured. Systemic pre-treatment \( \beta \)TG, TAT, and D-dimer levels were comparable in vehicle- and aXIMab-treated baboons (19.3 ± 2.6 versus 24.8 ± 3.8 IU/ml, 6.2 ± 0.4 versus 4.6 ± 0.6 µg/L, and 2.3 ± 0.2 versus 2.4 ± 0.2 µg/ml, respectively). We observed a robust >20-fold increase in TAT release into the blood stream from the graft thrombus area, as measured in samples taken locally from the near-wall region, immediately downstream of thrombus formation in vehicle-treated
baboons. Pre-treatment of baboons with aXIMab prevented the increase in local TAT levels, indicating a profound reduction in thrombin generation in the absence of FXI activity (Figure 5A). TAT levels in plasmas obtained by local sampling were lower, by up to 98% at 40 min, while systemic TAT levels were 81% lower at 60 min compared with the untreated controls (4.3 ± 0.5 versus 22.1 ± 2.5 µg/L, n = 6 and 7, respectively, P < 0.001; Figure 5B). Since thrombin does not interact with antithrombin in the presence of PPACK, all TAT in the sample was likely generated before the blood entered the sampling port. Thus, while reflecting thrombin generation, TAT levels are likely an underestimation of the total thrombin. Platelet activation at the thrombus surface, as assessed by the release of platelet α-granule βTG, was 86% lower at 30 min in samples taken distal to thrombi in aXIMab-treated animals compared with vehicle-treated controls (Figure 5C). Systemic βTG levels in aXIMab-treated animals measured at 60 min were 42% less (23.0 ± 2.1 IU/ml, n = 6) compared with vehicle-treated controls (39.5 ± 5.5 IU/ml, n = 7; Figure 5D, P < 0.05). Local D-dimer levels were not changed at 60 min compared with baseline systemic values in either control (2.3 ± 0.2 and 2.4 ± 0.4 µg/ml, respectively, n = 7) or aXIMab-treated animals (2.4 ± 0.2 and 2.2 ± 0.2 µg/ml, respectively n = 6; Figure 5E). Systemic D-dimer levels assessed at 60 min also were unchanged from baseline systemic values in both groups (2.4 ± 0.3 and 2.3 ± 0.2 µg/ml, control versus aXIMab-treated animals). This confirms previous reports from similar baboon studies showing systemic D-dimer levels do not increase by 60 min after thrombus initiation, unless a thrombolytic agent is administered.37,38 These data indicate that: 1) FXI plays an important role in thrombin generation and platelet activation during acute, arterial-type thrombus formation, and 2) endogenous local thrombolysis appears to be limited during the time course of these studies, regardless of FXI activity.
Treatment with aXIMab prevents vascular graft occlusion

Even though macroscopic thrombi formed rapidly in 4 mm i.d. vascular grafts, none of these grafts occluded during 60 min of blood perfusion. The effects of inhibiting FXI activity on thrombus formation and occlusion were therefore evaluated using smaller diameter (2 mm i.d.), collagen-coated vascular grafts that accumulated thrombus under higher shear conditions (2120 s⁻¹). The initial platelet accumulation rate was similar in aXIMab-treated and vehicle-treated baboons. Within 12-15 min after initiation of blood perfusion, platelet deposition was reduced in all aXIMab-treated animals (Figure 6), and the number of platelets in the graft decreased abruptly, which can be explained by reduced thrombus stability and net loss of thrombus material to embolization. Treatment with aXIMab prevented graft occlusion over 60 min in all experiments (5 of 5 grafts remained patent), compared with the results in vehicle-treated controls in which 8 of 9 grafts occluded by 27.0 ± 3.3 min (P < 0.01; Figure 6 and Table 1). Fibrin accumulation was also reduced by aXIMab treatment versus the vehicle-treated controls (0.18 ± 0.02 versus 0.30 ± 0.04 mg, respectively, P < 0.01; Table 1). As expected, treatment with high dose ASA reduced platelet deposition in the 2 mm i.d. grafts, but did not completely interrupt occlusive thrombus formation. The time to graft occlusion was prolonged by aspirin by an average of 18 min in 4 grafts, while 2 additional grafts remained patent throughout the 60 min study interval. High dose ASA was therefore less effective in preventing graft occlusion than aXIMab (P < 0.05, aXIMab versus ASA). These data indicate that FXI plays an important role in the thrombogenic process that leads to acute occlusion of small caliber vascular grafts.

Treatment with aXIMab does not prolong the bleeding time in baboons

The template bleeding time is typically prolonged by both anti-platelet agents and anticoagulants in baboons, yet FXI inhibition by aXIMab had no effect on the
standard template bleeding time compared with vehicle-treated controls (3.5 ± 0.3 versus 3.4 ± 0.2 min, n = 18 and 14, respectively). For comparison, single-dose ASA pre-treatment nearly doubled the bleeding time to 6.4 ± 0.7 min (n = 10, P < 0.01). No re-bleeding from the template bleeding time wounds, petechiae, hematomas or other adverse bleeding events were noted in any of the aXIMab- or ASA-treated animals during one week follow-up periods of observation. Taken together, these results show that inhibition of FXI activity by a neutralizing monoclonal antibody more effectively reduces acute occlusive arterial-type thrombus propagation, with less effect on primary hemostasis, than an antihemostatic dose of aspirin in baboons.
Discussion

The present findings document that FXI is an essential contributor to local thrombin generation on the surface of thrombi during arterial-type thrombogenesis. FXI inhibition in baboons slowed platelet accumulation onto a thrombogenic surface (collagen-coated vascular grafts) under moderate arterial shear conditions (Figure 4). While FXI inhibition did not demonstrably affect platelet adhesion to collagen in the ex vivo chamber perfusion studies, it clearly reduced centripetal accumulation of platelet aggregates over longer periods of time in the 2 mm i.d. grafts. The stability of thrombi formed under higher shear flow was noticeably dependent on FXI activity (Table 1 and Figure 6). Indeed, under high shear, repeated loss of platelets from the graft was distinctly evident in aXIMab-treated animals, a mechanism which likely contributed to preventing graft occlusion. These findings are consistent with the antithrombotic and anti-occlusive phenotype observed in FXI deficient mice following ferric chloride-induced arterial injury and thrombosis.\textsuperscript{17,18,40}

FXI promotes clot resistance to fibrinolysis through thrombin-mediated activation of the metalloproteinase TAFI, which proteolytically modifies fibrin making it resistant to plasmin.\textsuperscript{41,42} In addition, inhibition of thrombin generation by anticoagulants enhances clot lysis due to a slower forming, less dense fibrin network.\textsuperscript{43,44} Both processes may account, at least in part, for the enhanced lysis of blood clots formed in the presence of an anticoagulant anti-factor XI antibody in the clamped jugular vein of rabbits.\textsuperscript{11} During the present studies in baboons, the fibrin degradation product D-dimer was measured by local blood sampling in the vicinity of fibrin-, platelet-, and leukocyte-rich arterial-type thrombi. Unlike the robust changes in TAT and $\beta$TG levels, there were no changes in local D-dimer levels in vehicle- or aXIMab-treated baboons. This finding suggests that
endogenous fibrinolysis may not be a dominant process on the surface of thrombi during acute arterial thrombogenesis, which is consistent with the observation that TAFI deficient mice that were expected to have a fibrinolytic phenotype were not protected against injury-induced arterial thrombus formation.45 Since adjacent endothelial cells capable of secreting tPA are absent from the graft, the data do not rule out the possibility of reduced TAFI activity and more efficient fibrinolysis during inhibition of FXI. Our data suggests however that the relative reduction in deposited fibrin associated with FXI inhibition was not caused by an increase in fibrinolysis.

The inhibitory effect of aXIMab on thrombogenesis and TAT formation suggests that sustained thrombus propagation on the luminal flow surface depends on FXI-mediated thrombin generation. FXI-dependent thrombin generation could occur via continued FXI activation by FXIIa, thrombin, and/or autoactivation.7,46 FXIIa appears to play an important role in experimental thrombosis in mice.47 However, the flow chamber data (Figure 2B) indicates that FXI promotes thrombosis independent of FXIIa under shear flow conditions in the presence of blood cells. Although thrombin-mediated FXI activation in static plasma assays in vitro has been questioned,48 our flow-augmented thrombosis model clearly demonstrates a FXII independent thrombogenic pathway for FXI. Since inhibition of the contact pathway (FXII) as well as the extrinsic TF pathway did not affect thrombus formation, initiation of coagulation in this ex vivo model must depend on coagulation factor autoactivation, delivery of coagulation proteases by platelets, or an as yet unrecognized mechanism.

While FXI activity decreased to <1% for over a week following aXIMab injection, total FXI:Ag levels temporarily increased several-fold above baseline following the disappearance of FXI activity in the baboon circulation. Whether this increase was due
to a longer half-life of the circulating immune complexes or upregulation of FXI synthesis and/or secretion remains to be explored.

The physiological function and regulation of FXI have been difficult to elucidate. The bleeding tendency seen in FXI deficiency suggests that FXI supports hemostasis after injury to anatomical areas with pronounced fibrinolytic activity. In contrast to patients with hemophilia A or B, spontaneous bleeding in patients with FXI deficiency is rare, and trauma-related bleeding is typically milder. All conventional anticoagulant, antiplatelet, and fibrinolytic agents impair hemostasis, and most produce a significant risk of life-threatening bleeding. While bleeding time prolongation is indicative of a hemostatic defect, the test is not particularly sensitive to coagulation abnormalities in humans. However, the bleeding time has been useful and predictive of the antihemostatic effects of antithrombotic agents, when baseline and treatment values are compared in baboons. In the present study, ASA significantly prolonged the bleeding time, while the same test was not sensitive to the presumably modest hemostatic abnormality produced by FXI inhibition. Clearly, development of agents that could inhibit thrombosis without impairing hemostasis would significantly improve the safety profile of antithrombotic therapy. The results of the present study suggest that a pharmacologic inhibitor of FXI may represent an example such a strategy.

In summary, this study confirms that FXI activity is not essential for primary hemostasis in otherwise healthy primates. We introduce a pharmacologically active monoclonal antibody that, by binding to the A3 domain of FXI, can achieve sustained FXI blockade, and represents a new strategy for limiting acute arterial-type thrombus growth and blood vessel occlusion. Though we did not establish a role for FXI in endogenous thrombolysis, we demonstrate that vaso-occlusive thrombus propagation is driven by
FXI-dependent thrombin generation, platelet activation, and fibrin formation in primates. Furthermore, we provide evidence for a FXII- and FVII-independent pathway for fibrin formation in whole blood under flow that requires FXI.
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Authorship


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References


Table 1. FXI inhibition by aXIMab prevents occlusion of 2 mm i.d. vascular grafts in baboons.

<table>
<thead>
<tr>
<th></th>
<th>Number occluded</th>
<th>Embolic events per experiment</th>
<th>Fibrin deposition (mg)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Control</td>
<td>8/9</td>
<td>n.d.</td>
<td>0.30 ± 0.04</td>
</tr>
<tr>
<td>ASA</td>
<td>4/6</td>
<td>1.5 ± 0.6</td>
<td>0.23 ± 0.04</td>
</tr>
<tr>
<td>aXIMab</td>
<td>0/5</td>
<td>6.6 ± 0.9</td>
<td>0.18 ± 0.02†</td>
</tr>
</tbody>
</table>

Data shown are absolute values or means ± SEM when applicable. FXI, factor XI; aXIMab, anti-FXI monoclonal antibody; ASA, aspirin; n.d., none detected. † Embolic events were defined as an abrupt decrease of at least 10 million platelets in the graft between two subsequent radioimaging frames. † P < 0.01 compared with control using the two-tailed Student’s t-test.
Figure legends

**Figure 1. Thrombogenic vascular graft and blood collection device.**
Thrombogenesis started and thrombi developed in a collagen-coated (4 mm i.d.) expanded-polytetrafluoroethylene (ePTFE) vascular graft that was deployed for 60 min into a chronic high flow arteriovenous shunt in healthy baboons. Blood samples were drawn from the coagulation marker concentration boundary layer by a syringe pump 1 cm downstream from acutely developing thrombi. PPACK anticoagulant was infused 3 mm proximal to the sample port to prevent the sample port from occluding during the 1 h study period. Blood flow through the graft was maintained at a fixed rate of 100 ml/min for the entirety of each study by proximal clamping.

**Figure 2. The anti-factor XI (FXI) monoclonal antibody (aXIMab) binds human and baboon FXI, and inhibits fibrin formation in FXII-inhibited or deficient human blood under flow.** (A) Binding of the aXIMab to the FXI dimer (160 kDa) in platelet free NHP and NBP was demonstrated by Western blotting, developed using a secondary anti-mouse IgG antibody. aXIMab binding was minimal in FXI-depleted plasma or plasma from a FXI-deficient patient. (B) aXIMab prevented visible fibrin formation in FXII-inhibited or deficient blood under flow. Human whole blood, anticoagulated with corn trypsin inhibitor (CTI, 40 µg/ml) to inhibit FXIIa, or reconstituted FXII deficient human blood was perfused through collagen-coated capillary tubes (thrombus chambers) at a shear rate of 265 s⁻¹ for 10 minutes. Prior to each experiment, blood was incubated with either unfractionated heparin (15 U/ml), anti-tissue factor antibody (20 µg/ml) plus FVIIa (1 µg/ml), aXIMab (20 µg/ml), CTI (40 µg/ml) for reconstituted blood where indicated, or PBS vehicle. Images were obtained via Kohler-illuminated Nomarski differential interference contrast (DIC) microscopy with a Zeiss Axiovert 200M microscope using a Zeiss 63x oil immersion 1.40 NA plan-apochromat lens. Images
were captured using a Zeiss AxioCam with Slidebook 4.0 (Intelligent Imaging Innovations, Inc., Denver, CO, USA) after three minutes of perfusion with modified Tyrodes buffer to wash the thrombus of unbound cells. All experiments were performed at 37° C. Each image is representative of 2 or 3 experiments.

**Figure 3. Sustained inhibition of circulating FXI procoagulant activity after administration of aXIMab.** An intravenous injection of aXIMab (2 mg/kg) was given over 5 min to a single baboon. Plasma samples were collected into citrate anticoagulant and tested for (A) FXI procoagulant activity, FXI antigen (FXI:Ag), and (B) inhibitor levels over 4 weeks, with each time point being the mean of duplicate measurements. The FXI:Ag ELISA was able to detect both free and complexed FXI. Since the Bethesda assay detected only free FXI inhibitor (aXIMab), FXI:Ag and FXI activity at low inhibitor levels did not correlate until all complexes were cleared from circulation. The one hour time points for all levels were omitted for clarity. (C) Western blot of 1 μl NHP and NBP samples size fractionated by non-reducing 7.5% SDS-PAGE. Detection was with a polyclonal antibody against human FXI. The five lanes on the right represent samples prior to (0) or 1, 6, 8, and 27 days after infusion of aXIMab. Abbreviations: XI - 100 ng purified human FXI; NHP - normal human plasma; NBP - normal baboon plasma; XI-DP - FXI deficient human plasma.

**Figure 4. FXI inhibition reduces platelet and fibrin deposition on collagen-coated vascular grafts.** Effects of FXI inhibition on (A) platelet and (B) fibrin deposition on collagen coated (4 mm i.d.) vascular grafts. The grafts were placed in vehicle-treated (n=8) or aXIMab treated (n=6) animals (≥99% FXI inhibition). Blood was allowed to flow through the devices at 100 ml/min, producing an initial average wall shear rate of 265 s⁻¹.
Significance levels are **$P < 0.01$, ***$P = 0.001$, by the two-tailed Student’s t-test. Values are means ± SEM.

**Figure 5. FXI inhibition reduces thrombin generation and platelet activation.** Local (A,C) and systemic (B,D) TAT and $\beta$TG levels were monitored during thrombus formation, respectively. Blood samples were also tested for the fibrinolysis product D-dimer (E). Local values are those taken from the near wall, low flow concentration boundary layer 1 cm distal to the growing thrombus over the course of 10 min prior to its designated time, while systemic samples were taken from the arteriovenous shunt proximal to the thrombogenic device. Zero time points in all groups are from samples taken systemically immediately before each study. FXI inhibition (n=6) reduced local thrombin formation and platelet activation, which translated into systemic reductions in both TAT and $\beta$TG compared with collagen controls (n = 7) by 60 min. No significant change in D-dimer release during acute thrombus formation (≤ 60 min) was detected in control and aXIMab treated animals. The silicone rubber tubing without the collagen-coated graft segment (n=5) did not induce significant increases in coagulation or platelet activation during these studies. Values are means ± SEM.

**Figure 6. FXI inhibition limits vascular graft-associated platelet deposition under high arterial shear.** Effects of FXI inhibition or ASA administration on platelet deposition on collagen-coated (2 mm i.d.) vascular grafts are shown. Collagen-coated vascular graft segments were placed in permanent arteriovenous shunts in untreated (n=9), ASA treated (n=6), and aXIMab treated (n=5) animals. Blood was allowed to flow through the grafts at a rate of 100 ml/min, producing an average initial wall shear rate of 2120 s$^{-1}$. The flow was maintained by the pulsatile arterial pressure until the graft occluded (defined as ≤ 20 ml/min flow rate). Thrombi that formed in the grafts in the aXIMab treated animals were unstable, embolized more frequently than in ASA-treated animals,
and did not occlude the grafts for at least 60 min. Significance levels are $*P = 0.05$, $**P < 0.01$ compared with non-treatment controls, using the log-rank test with the non-occluded devices being censored. Values are means ± SEM.
Figure 1.
Figure 2.
Figure 3.

A

B

C

Days after αXIIIB Infusion

kDa

0 1 6 8 27

XI NHP NBP XI-DP

FXI levels (% of normal)

FXI inhibitor (Bethesda Units)

FXI activity

FXI antigen
Figure 4.
Figure 5.

A  Local

B  Systemic

C  Local

D  Systemic

E  Local
Figure 6.
Prevention of vascular graft occlusion and thrombus-associated thrombin generation by inhibition of factor XI

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