Dual targeting of the proteasome regulates survival and homing in Waldenström’s Macroglobulinemia

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**Abstract**

Walderström’s Macroglobulinemia (WM) is an incurable low grade B-cell lymphoma characterized by high protein turnover. We dissected the biological role of the proteasome in WM using two proteasome inhibitors, NPI-0052 and bortezomib. We found that NPI-0052 inhibited proliferation and induced apoptosis in WM cells; and that the combination of NPI-0052 and bortezomib induced synergistic cytotoxicity in WM cells, leading to inhibition of nuclear translocation of p65NF-κB and synergistic induction of caspases -3, -8, -9 and PARP cleavage. These two agents inhibited the canonical and non-canonical NF-κB pathways and acted synergistically through their differential effect on Akt activity and on chymotrypsin-like, caspase-like and trypsin-like activities of the proteasome. We demonstrated that NPI-0052-induced cytotoxicity was completely abrogated in an Akt-knockdown cell line, indicating that its major activity is mediated through the Akt pathway. Moreover, we demonstrated that NPI-0052 and bortezomib inhibited migration and adhesion *in vitro* and homing of WM cells *in vivo*; and overcame resistance induced by mesenchymal cells or by the addition of IL-6 in a co-culture *in vitro* system. Theses studies enhance our understanding of the biological role of the proteasome pathway in WM, and provide the preclinical basis for clinical trials of combinations of proteasome inhibitors in WM.
Introduction

Although considered a rare disease, Waldenström’s Macroglobulinemia (WM) is becoming a model of low-grade lymphoma to test and validate therapeutic compounds that are specifically active in this biologically unique malignancy. WM is characterized by the presence of lymphoplasmacytic cells in the bone marrow (BM) and the secretion of IgM monoclonal protein in the serum, indicating that WM cells present a high protein turnover.1-3 Protein metabolism is a tightly regulated process, and inhibition of its turnover may lead to apoptosis in malignant cells, such as with proteasome inhibitors.4,5 The major activity of proteasome inhibitors is through targeting the IL-6 and NF-κB signaling pathways. Both these pathways are critical regulators of survival and proliferation in B-cell malignancies including WM.6-8 Previous studies have also demonstrated that adhesion of multiple myeloma cells to stromal cells induces NF-κB activation, which in turn regulates IL-6 excretion by stromal cells.9,10

The multicatalytic ubiquitin-proteasome pathway is responsible for the degradation of eukaryotic cellular proteins. This pathway also controls the activation of NF-κB by regulating degradation of IκBα. NF-κB plays a critical role in regulating many cellular responses including immunity, inflammation, proliferation, survival, and angiogenesis.11 Inactive NF-κB complexes with its inhibitor, IκBα, and remains sequestered in the cytosol. A variety of stimuli triggers the phosphorylation of IκB by IκB kinase (IKK).12 Phosphorylated IκB is then a target for ubiquitination and proteasome mediated degradation, which in turn releases NF-κB to translocate from the cytosol to the nucleus. Once in the nucleus, NF-κB stimulates transcription of numerous cytokines, chemokines, and cell adhesion molecules. NF-κB is constitutively activated in numerous hematologic malignancies, including plasma cell dyscrasias like multiple myeloma.10 This pathway also interacts with the PI3K/Akt pathway, a critical regulator of survival in WM cells based on our previous studies.13 Akt indirectly activates NF-κB through direct phosphorylation and activation of IκB kinase alpha (IKKα), thereby inducing degradation of NF-κB inhibitor alpha (IκBα) by the ubiquitin-proteasome pathway.10

One of the most extensively studied proteasome inhibitors is bortezomib (Millennium Inc, Cambridge, MA). Bortezomib inhibits the ubiquitin-26S proteasome
pathway, which regulates the turnover of a vast number of intracellular proteins, and has become an exciting target in a variety of malignancies, most notably multiple myeloma.\textsuperscript{14} The proper functioning of this system is crucial for cell cycle regulation, gene transcription, and signal transduction. Inhibition of the proteasome effectively increases the presence of IκBα and prevents NF-κB release to the nucleus. Based on its activity in multiple myeloma, single agent bortezomib was tested in WM in phase II trials and achieved 40-80% responses.\textsuperscript{15}

These striking clinical responses indicate that proteasome activity is critical for the survival of WM cells. Similarly, other proteasome inhibitors have recently been developed including NPI-0052 (Salinosporamide A, Nereus Inc, San Diego, CA).\textsuperscript{16} NPI-0052 has a different chemical structure, toxicity profile, and mechanism of action than bortezomib. It regulates all three activities of the proteasome, and apoptosis mediated by this agent appears to be predominately through the caspase-8 cell death cascade.\textsuperscript{17}

In this study, we sought to determine the activity of the new proteasome inhibitor NPI-0052 in WM on the canonical and non-canonical NF-κB pathways in WM, and to determine its cytotoxic activity in combination with bortezomib. In addition, we investigated mechanisms of synergistic activity of these two agents on WM cells and in the presence of the BM milieu, including their activity on the different catalytic activities of the proteasome, on the PI3K/Akt pathway, and on caspase cleavage. Finally, we determined the effect of these two agents alone and in combination on homing and adhesion of WM cells to the BM \textit{in vitro} and \textit{in vivo}. These studies enhance our understanding of the biological role of the proteasome pathway in WM, and provide the preclinical basis for clinical trials of combinations of proteasome inhibitors in WM and other low-grade lymphomas.

**Material and methods**

**Cells**
The WM cell lines (BCWM.1; WM-WSU) and IgM secreting low grade lymphoma cell lines (MEC-1; Namalwa) were used in this study. The BCWM.1 is a recently described WM cell line that has been developed from a patient with untreated WM.\textsuperscript{18} WSU-WM was kindly provided by Dr. Al Katib (Wayne State University, Detroit, MI). MEC-1 was
a gift from Dr. Kay (Mayo Clinic, Rochester, MN). RL was purchased from the American Tissue Culture Collection (Manassas, VA). All cell lines were cultured at 37°C in RPMI-1640 containing 10% fetal bovine serum (FBS; Sigma Chemical, St Louis, MO), 2 mM L-glutamine, 100 U/mL penicillin, and 100 µg/mL streptomycin (GIBCO, Grand Island, NY).

Primary WM cells were obtained from bone marrow (BM) samples from previously treated WM patients using CD19⁺ microbead selection (Miltenyi Biotec, Auburn, CA) with over 90% purity, as confirmed by flow cytometric analysis with monoclonal antibody reactive to human CD20-PE (BD-Bioscience, San Jose, CA). Peripheral blood mononuclear cells (PBMCs) were obtained from healthy subjects by Ficoll-Hipaque density sedimentation. Cells were cultured at 37°C in RPMI-1640 containing 10% fetal bovine serum (FBS; Sigma Chemical, St Louis, MO), 2 mM L-glutamine, 100 U/mL penicillin, and 100 µg/mL streptomycin (GIBCO, Grand Island, NY). Approval for these studies was obtained from the Dana-Farber Cancer Institute Institutional Review Board. Informed consent was obtained from all patients and healthy volunteers in accordance with the Declaration of Helsinki protocol.

Reagents

NPI-0052 was provided by Nereus Pharmaceuticals (San Diego, CA). Bortezomib was obtained from Millennium Pharmaceuticals Inc. (Cambridge, MA). Both bortezomib and NPI-0052 were diluted in DMSO and stored at -20°C until use; they were then diluted in culture medium immediately before use. The maximum final concentration of DMSO (< 0.1%) did not affect cell proliferation and did not induce cytotoxicity on all the cell lines and primary cells tested (data not shown). Fluorogenic substrates, suc-LLVY-amc and z-LLE-amc were obtained from Calbiochem (San Diego, CA).

Growth inhibition assay

The inhibitory effect of NPI-0052, alone or in combination with Bortezomib, on WM cell growth was assessed by measuring 3-(4,5-dimethylthiazol-2-yl)-2,5-diphenyltetrazolium bromide (MTT; Chemicon International, Temecula, CA) dye absorbance, as previously described.¹⁹
DNA synthesis

WM cell lines and CD19+ primary WM cells were incubated in the presence of RPMI (10% FBS) with NPI-0052 (2.5-40 nM) for 48 hours at 37°C. DNA synthesis was measured by [3H]-thymidine ([3H]-TdR; Perkin Elmer, Boston, MA) uptake, as previously described.19

Detection of apoptosis

Annexin V-FITC and PI staining were used to detect and quantify apoptosis by flow cytometry, as previously described.13

Immunoblotting

BCWM.1 cells were harvested and lysed using lysis buffer (Cell Signaling Technology, Beverly, MA) reconstituted with 5 mM NaF, 2 mM Na3VO4, 1 mM PMSF (polymethilsulfonyl fluoride), 5 µg/mL leupeptine, and 5 µg/mL aprotinin. Whole-cell lysates (50 µg/lane) were subjected to sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE) and transferred to polyvinyldene fluoride (PVDF) membrane (Bio-Rad Laboratories, Hercules, CA). The antibodies used for immunoblotting included: anti-phospho (p)-Akt (Ser473), -Akt, -AIF, -p-GSK3α-β (Ser21/9), -p-ERK1/2 (Thr202/Tyr204), -caspase-3, -caspase-8, -caspase-9, -PARP, -mcl1, -survivin, and -p-S6 ribosomal, Smac, c-IAP1, XIAP, -CHOP, -p-eIF2α, p-HSP-27, HSP-27, HSP-70, HSP-90, p-STAT3, p-IkB, -IkB, -p-FAK, -ILK (Cell Signaling Technology, Beverly, MA); and -α-tubulin antibodies (Santa Cruz Biotechnology, Santa Cruz, CA). Nuclear extracts of the cells were prepared using the Nuclear extraction kit (Panomics Inc., Redwood City, CA, USA) and subjected to immunoblotting with anti-p-p65, p50/p105, -p52/p100, -RelB and -nucleolin antibodies (Santa Cruz Biotechnology).

In vitro Akt kinase assay

In vitro Akt kinase assay (Cell Signaling Technology, Beverly, MA) was performed as previously described.19 Following Akt immunoprecipitation, the cell lysate was then resuspended with ATP and GSK-3 fusion protein. Kinase activity was detected...
by immunoblotting with anti-phospho-GSK-3α/β (Ser21/9) antibody (Cell Signaling, Beverly, MA).

**Lentivirus shRNA vector construction and Akt gene transduction.**

To further determine the role of NPI-0052 in the regulation of the Akt pathway, we established an Akt knockout BCWM.1 cell line using a lentivirus transfection system, as previously described.20-22 The sense and antisense oligonucleotide sequence for construction of Akt shRNA were as follows: Clone #10162: target sequence GGACAAGGACGGGCACATTAA; #10163: target sequence CGAGTTTGAGTACCTGAAGCT.

**NF-κB activity**

NF-κB activity was investigated using the Active Motif TransAM kits, a DNA-binding ELISA-based assay (Active Motif North America, Carlsbad, CA). Briefly, BCWM.1 cells were treated with NPI-0052 (10nM) or bortezomib (10nM) alone or in combination for 4 hours, and stimulated with TNF-α (10ng/mL) during the last 20 minutes of culture. NFκBp65 transcription factor-binding to its consensus sequence on the plate-bound oligonucleotide was studied from nuclear extracts, following the manufacturer’s procedure.

**Immunofluorescence**

The effect of NPI-0052 in combination with bortezomib on TNF-α-induced nuclear translocation of p65 was examined by an immunocytochemical method. Briefly, BCWM.1 cells were cultured in presence or absence of NPI-0052 (10nM) and bortezomib (10nM) for 4 hours, and then stimulated with TNF-α (10ng/mL) during the last 20 minutes of culture. Immunocytochemical analysis was performed using an epifluorescence microscope (Nikon Eclipse E800, Avon, MA) and a Photometrics Coolsnap CF color camera (Nikon, Lewisville, TX), as previously described.24

**20S proteasome activity**

The chymotrypsin-like, trypsin-like, and caspase-like activity of the 20S proteasome was determined by measurement of fluorescence generated from cleavage of
the fluorogenic substrates suc-LLVY-amc, boc-LRR-amc, and z-LLE-amc, respectively. Cells were incubated for 4 hours in the presence of diluent or NPI-0052 10nM, bortezomib 10nM, or bortezomib + NPI-0052, washed with phosphate buffered saline (PBS) and resuspended in 300 μL of a solution containing 20 mM Tris (tris(hydroxymethyl)aminomethane), pH 7.5, 0.1 mM EDTA (ethylenediaminetetraacetic acid), pH 8.0, 20% glycerol, 0.05% Nonidet-P40, 1 mM 2-β mercaptoethanol, 1 mM adenosine triphosphate (ATP), and lysed by freezing and thawing 3 times on dry ice. After centrifugation, supernatants were combined with substrate buffer (50 mM HEPES [N-2-hydroxyethylpiperazine-N'-2-ethanesulfonic acid], pH 7.5, 5 mM EGTA (ethylene glycol tetraacetic acid pH 7) and the specific fluorogenic substrate in a 96-well plate and analyzed on a spectrofluorometer Mithras LB940 (Berthold Technologies, Oak Ridge, TN), using an excitation of 380 nm and an emission of 460 nm.

Effect of NPI-0052 and bortezomib on paracrine WM cell growth in the BM

To evaluate growth stimulation and signaling in WM cells adherent to bone marrow stromal cells (BMSCs), 3 x 10^4 BCWM.1 cells were cultured in BMSC-coated 96-well plates for 48 hours in the presence or absence of NPI-0052 alone or combined with Bortezomib. DNA synthesis was measured as described. Transwell migration assay

We performed transwell migration assay (Costar; Corning, Acton, MA) using BCWM.1 cells in the presence or absence of 30 nM SDF-1. In brief, cells were suspended in 1% FCS media and were placed (2 x 10^5 cells) in the upper chambers of the transwell plates, with serial concentrations of SDF-1 in the lower chambers in 1 mL of 1% FCS media. After 4 hours at 37°C, cells that migrated to the lower chambers were counted. Triplicates of each concentration were performed, and the means and standard deviations were calculated.

Adhesion assay

BCWM.1 cells and primary CD19+ cells were pre-treated with NPI-0052 (10nM) alone or in combination with bortezomib (10nM) for 4 hours, prior to an in vitro adhesion assay to fibronectin, a ligand of VLA-4, following the manufacturer’s recommendations.
(EMD Biosciences, San Diego, CA). Calcein AM was used to measure adherent cells, and the degree of fluorescence was measured using a spectrophotometer (485-520). BSA-coated wells served as a negative control.

**In vivo flow cytometry**

The effects of NPI-0052 alone or in combination with bortezomib on homing *in vivo* were tested using BALB/c mice with *in vivo* flow cytometry, as previously described.\(^{25,26}\) Briefly, BCWM.1 cells were treated with each agent either alone or in combination, or control PBS, for 4 hours, and then injected into the mice. Treated cells and untreated cells were fluorescently labelled by incubation with carbocyanine membrane dye, “DiI” (1,1'-dioctadecyl-3,3',3'-tetramethylindocarbocyanine perchlorate) and “DiR” (1,1'-dioctadecyl-3,3',3'-tetramethyl indotricarbocyanine Iodide) 5µM respectively, for 30 minutes (Molecular Probes, Carlsbad, Ca). Each mouse received both DiI-labeled and DiR-labeled cells. Fluorescence signal was detected on an appropriate artery in the ear and digitized for analysis with Matlab software developed in house, as described.\(^{25,26}\)

**Statistical analysis**

Statistical significance of differences in drug-treated versus control cultures was determined using Student’s *t*-test. The minimal level of significance was *p*<0.05. The interaction between NPI-0052 and Bortezomib was analyzed by isobologram analysis using the CalcuSyn software program (Biosoft, Ferguson, MO) to determine if the combinations were additive or synergistic. This program is based on the Chou-Talalay method, which calculates a combination index (CI) to indicate additive or synergistic effects. When CI=1, effects are additive; when CI<1.0, effects are synergistic. Results from viability assay (MTT) were expressed as fraction of cells killed by the single drug or the combination in drug-treated versus untreated cells.\(^{19}\)

**Results**

**NPI-0052 inhibits DNA synthesis and induces cytotoxicity of WM cells**

WM and IgM-secreting cell lines next were cultured for 48 hours in the presence of NPI-0052 (2.5-40nM). As shown in Figure 1A, NPI-0052 inhibited BCWM.1
proliferation, as measured by [3H]-thymidine uptake assay, with an IC$_{50}$ of 15nM. NPI-0052 demonstrated similar activity on all cell lines tested, with IC$_{50}$ between 20 and 30nM at 48 hours (Fig. 1B). We next studied the cytotoxic effect of NPI-0052 (2.5-40nM) on cell lines and WM patient cells by MTT assay. NPI-0052 decreased survival of BCWM.1 cells (IC$_{50}$, 18nM; Fig. 1A) and other IgM-secreting cell lines (IC$_{50}$ 30-40nM; Fig. 1C). Similarly, NPI-0052 induced cytotoxicity in primary CD19$^+$ cells isolated from three patients with WM (IC$_{50}$ 20-30nM; Fig. 1D). In contrast, NPI-0052 had no cytotoxic effect on PBMCs from 4 healthy volunteers (Fig 1E; Supplementary Fig.1A). These results demonstrate that NPI-0052 triggers significant cytotoxicity in tumor cell lines and patient WM cells, without toxicity in normal PBMCs.

**NPI-0052 induces apoptosis in WM cells.**

We next examined the molecular mechanisms whereby NPI-0052 induces cytotoxicity in WM cells. We demonstrated that NPI-0052 induced dose-dependent apoptosis, as evidenced by Apo2.7 staining in flow cytometry analysis. The percentage of apoptotic BCWM.1 cells increased from 5% (untreated) to 21.2% and 40% after 48 hours of treatment with NPI-0052 5nM and 20nM, respectively (Fig. 1F). Similar data were obtained on other IgM secreting cell lines (data not shown).

We next defined mechanisms whereby NPI-0052 induces apoptosis in WM, and demonstrated that NPI-0052 induced caspase-8 and PARP cleavage in a dose-dependent manner (Fig. 1G), without affecting caspase-3 and -9 (data not shown). Moreover NPI-0052 induced down-modulation of the anti-apoptotic protein Mcl-1, with an increased release of the second mitochondria-derived activator of caspases (Smac/DIABLO) from the mitochondria to the cytosol (Fig. 1G). It has been reported that Smac/DIABLO can abrogate the protective effects of inhibitors of apoptosis proteins (IAPs), such as X-linked inhibitor of apoptosis (XIAP). We therefore treated BCWM.1 cells with NPI-0052 (2.5-20nM) for 12 hours and demonstrated that NPI-0052 down-regulated the expression of XIAP in a dose-dependent manner, accompanied by an inhibition of other IAPs members, such as c-IAP1 and survivin (Fig. 1G). The viability of BCWM.1 cells assessed by MTT was not affected by NPI-0052 treatment at 12 hours (data not shown).

**NPI-0052 and bortezomib synergistically induce cytotoxicity of WM cells**
Previous studies have shown that the novel proteasome inhibitor NPI-0052 induces apoptosis in MM cells with mechanism distinct from bortezomib. We therefore investigated whether the combination of two proteasome inhibitors, NPI-0052 and bortezomib, could be synergistic in inducing cytotoxicity in WM cells. BCWM.1 cells were cultured with NPI-0052 (2.5, 5 and 10nM) for 48 hours, in the presence or absence of bortezomib (5-10nM). NPI-0052 showed significant cytotoxic effects when combined with bortezomib in BCWM.1 cells, as demonstrated using MTT assays at 48 hours (Fig. 2A). NPI-0052 (5nM) induced cytotoxicity in 12.4% of BCWM.1 cells, which was increased to 39.8% and 69.4% in the presence of bortezomib at 5nM (Combination Index, CI: 0.72) and 10nM (CI: 0.6), respectively, indicating synergism. Isobologram analysis, fractions affected, and the combination indexes for each of these combinations are summarized in Fig. 2B-C. Similar data were observed on primary CD19+ cells (Fig. 2D-F) and IgM secreting cell lines (Supplementary Fig. 1B-D).

To better define the mechanisms of NPI-0052/bortezomib-induced cytotoxicity, we investigated the effect of NPI-0052 (10nM), either alone or in combination with bortezomib 10nM, on BCWM.1 cells using immunoblotting after 12 hours treatment. Interestingly, we demonstrated that PARP cleavage was significantly higher using the combination compared to the effect of each agent alone. To further dissect whether apoptosis is mediated through the intrinsic or extrinsic pathways, we investigated the effect of NPI-0052, bortezomib and the combination on caspases-3, 8 and 9. As shown in Figure 2D, we demonstrated that single agent NPI-0052 induced mild capsase-8 cleavage without affecting caspase-3 and 9 cleavage, while the combination of NPI-0052 and bortezomib induced significant caspase-3, -8 and -9 cleavage. In addition, previous studies have shown that the release of Smac/DIABLO from the mitochondria to the cytoplasm results in activation of caspase-9-induced apoptotic cascade; and that XIAP inhibits apoptosis through binding to caspase-3 and -9. We therefore investigated the effect of bortezomib and NPI-0052 on Smac/DIABLO and XIAP. As shown in Figure 2G, the combination of the two proteasome inhibitors induced more Smac/DIABLO release and decrease of XIAP than either agent alone. Similarly, we demonstrated that the IAP member, c-IAP1 was strongly downregulated by the combination versus single agent treatment (Fig. 2G). Moreover, it has been recently reported that proteasome inhibition triggers apoptosis by upregulating the expression of the apoptosis inducing factor (AIF)
and by inducing terminal unfolded protein response (UPR).\textsuperscript{28-30} We therefore wondered whether NPI-0052, either alone or in combination with bortezomib, could modulate AIF protein level expression, as well as the terminal UPR in WM. We found that AIF is up-regulated by the two proteasome inhibitors either as single agent or in combination. Similarly the combination of NPI-0052 and bortezomib induced an increase of -eIF2-\(\alpha\), as well as its target protein CHOP, suggesting that proteasome inhibition could also result in induction of caspase-independent apoptosis in WM (Fig. 2G).

In addition, recent studies have shown that HSP-27 functions as an inhibitor of caspase activation and also inhibits release of Smac/DIABLO from the mitochondria.\textsuperscript{31} We showed that NPI-0052 downregulates HSP-27 phosphorylation, which in turn leads to increase in the release of Smac/DIABLO from the mitochondria and induction of caspase-9 cleavage. In parallel, we showed an upregulation of HSP70, while HSP-90 expression was not modulated (Fig. 2D).

**NPI-0052 and bortezomib synergistically inhibit NF-\(\kappa\)B activation in WM cells**

NF-\(\kappa\)B pathway plays a pivotal role in regulating growth and survival of plasma cell malignancies.\textsuperscript{10} We therefore sought to investigate whether the combination of the two proteasome inhibitors would lead to synergistic modulation of this pathway. We first investigated the effect of NPI-0052, either alone or in combination with bortezomib, on the NF-\(\kappa\)Bp65 DNA binding activity, studying nuclear extracts from treated cells using the Active Motif assay. We showed that TNF-\(\alpha\) treatment induced NF-\(\kappa\)B recruitment to the nucleus in BCWM.1 cells, which was inhibited by NPI-0052 more than bortezomib, and more significantly by the combination of the two proteasome inhibitors (Fig. 3A). Similarly, proteasome inhibitors affected also basal NF-kBp65 activity. (Supplementary Fig.1E). Moreover, immunoblotting from nuclear extracts demonstrated that p65 phosphorylation and p50NF-\(\kappa\)B expression were inhibited by NPI-0052, either alone or in combination with bortezomib, more than bortezomib used as single agent (Fig. 3B). We further confirmed that phospho-p65 translocation from the cytoplasmic compartment to the nucleus was inhibited by the combination of bortezomib and NPI-0052, resulting in a significant increase in p-p65 expression in the cytoplasmic compartment as shown by immunofluorescence (Fig 3D). We next examined whether the combination of NPI-0052 and bortezomib altered the non-canonical NF-\(\kappa\)B pathway. Immunoblotting from nuclear
extracts showed that these two proteasome inhibitors used in combination inhibited the expression of p52 and RelB, which are mostly activated through the non-canonical pathway (Fig. 3B). Moreover, each agent alone, and more significantly their combination, up-regulated the phosphorylation of the inhibitor protein IκB, as shown in Fig. 3C. Taken together, these data demonstrate that the combination of the two proteasome inhibitors regulate both canonical and non-canonical pathways of NF-κB in WM.

**NPI-0052 and bortezomib synergistically inhibit PI3K/Akt pathway in WM cells**

To further investigate other mechanisms of synergy between NPI-0052 and bortezomib, we sought to determine the effect of these agents on the PI3K/Akt pathway. Previous studies in MM have demonstrated that bortezomib upregulates Akt which may be a potential mechanism of resistance to this agent.19 We therefore examined the effect of NPI-0052 and the combination on Akt activation. The PI3K/Akt pathway is implicated in promoting growth and survival of tumor B cells.33 We first investigated whether NPI-0052 could affect PI3K/Akt signaling pathway in WM cells. BCWM.1 were treated with increasing doses of NPI-0052 (2.5-20nM) for 6 hours. As shown in Figure 4A, NPI-0052 inhibited phosphorylation of Akt (ser473), and downstream GSK3α/β and ribosomal protein S6 in a dose dependent manner, with no activity on the phosphorylation of the MAP kinase ERK1/2 (thr202/tyr204). We next investigated the effect of NPI-0052 (10nM), alone or combined with bortezomib (10nM), on Akt kinase activity, using an *in vitro* Akt kinase assay. We showed that NPI-0052 decreased phosphorylation of GSK3α/β fusion protein, while bortezomib did not modulate Akt phosphorylation. The combination of NPI-0052 and bortezomib showed significant inhibition of Akt activity, indicating a possible mechanism of synergy where NPI-0052 overcomes Akt-dependent bortezomib resistance (Fig. 4B). To further validate the role of the Akt pathway in NPI-0052-dependent cytotoxicity, we used an Akt knockdown BCWM.1 cell line established using lentivirus infection and demonstrated that the cytotoxic effect of NPI-0052 was abrogated in the absence of Akt, (Fig. 4C), indicating that Akt plays an essential role in the cytotoxic activity of NPI-0052, and that this could be an important differential effect between the two proteasome inhibitors and a mechanism of synergy between them in WM.
NPI-0052 inhibits the three 20S proteolytic activities within the proteasome

We further examined the effect of NPI-0052 and bortezomib, as single agents or in combination, on proteasome activities in BCWM.1 and CD19+ WM cells. Cells were treated with NPI-0052 (10nM) either alone or in combination with bortezomib (10nM) for 4 hours, and the chymotripsin-like (CT-L), caspase-like (CL), and trypsin-like (T-L) activities were measured using distinct fluorogenic peptides specific for each enzymatic activity.23 As shown in Figure 4D, bortezomib induced 29%, 5% and 69% reduction of the C-L, T-L and CT-L activities, respectively, whereas NPI-0052 induced 34.3%, 38.7% and 81% reduction of the C-L, T-L and CT-L activities, respectively. Interestingly, the inhibition of the C-L activity significantly increased to 60% when NPI-0052 and bortezomib were used in combination (Fig. 4D). Similar data were obtained on CD19+ primary cells (Fig. 4Ei-iii).

The combination of NPI-0052 and bortezomib overcomes resistance induced by the bone marrow microenvironment and IL-6

Since the BM microenvironment confers growth and induces drug resistance in malignant cells,34 we next investigated whether NPI-0052, alone or in combination with bortezomib, inhibits WM cell growth in the context of the BM milieu. BCWM.1 cells were cultured with NPI-0052 (2.5-20nM) and/or bortezomib (10nM) in the presence or absence of BMSCs for 48 hours. The viability of BMSCs assessed by MTT was not affected by NPI-0052 treatment (data not shown). Using [3H]-TdR uptake assay, adherence of BCWM.1 cells to BMSCs triggered an increase of 55% in proliferation, which was inhibited by NPI-0052 in a dose-dependent manner. This effect was significantly enhanced by the combination with bortezomib (Fig. 5A), confirming that the combination of the two proteasome inhibitors enhanced the antitumor activity of each drug used as a single agent, even in the presence of BMSCs.

Since IL-6 and NF-kB induction by adhesion are two major pathways regulated by the proteasome, we further investigated the effect of the two proteasome inhibitors on cytotoxicity of WM cells in the presence of IL-6.10,35 Previous studies using gene expression analysis in WM have demonstrated an upregulation in IL-6 signaling.36 IL-6 also promotes plasmacytoid lymphocyte growth in WM, and serum IL-6 levels reflect
tumor burden and disease severity. We therefore tested whether the addition of recombinant human IL-6 (25 ng/mL) can overcome the cytotoxic effect of NPI-0052 and bortezomib on WM cells. As shown in Figure 5B, IL-6 induced proliferation of BCWM.1 cells, and the addition of NPI-0052 (2.5-20nM), bortezomib (10nM), or the combination inhibited IL-6-induced proliferation of BCWM.1 cells, indicating that NPI-0052, alone or more significantly in combination with bortezomib, can overcome resistance induced by IL-6. In addition, IL-6 induces phosphorylation of Akt and STAT-3; conversely, NPI-0052 and bortezomib inhibited IL-6-triggered Akt and STAT-3 phosphorylation, which were more significantly down-regulated by the combination of NPI-0052 and bortezomib (Fig. 5C). We next investigated whether the two proteasome inhibitors, used as single agents or in combination, could also target non-malignant hematopoietic cells. We found that NPI-0052 (10nM, 20nM), bortezomib (10nM), and the combination did not affect the growth of BM hematopoietic progenitor cells, as shown using colony-formation assay (Fig.5D).

**NPI-0052 and bortezomib inhibit migration and adhesion of WM cells in vitro and homing in vivo.**

Previous studies have demonstrated that the PI3K/Akt pathway regulates migration and adhesion in B cells, and that adhesion induces activation of the NF-κB pathway. We therefore sought to investigate the effect of NPI-0052 and bortezomib on the migration and adhesion of WM cells. We first demonstrated that 30nM stromal derived factor-1 (SDF-1), an important regulator of migration in B-cells, induced migration in BCWM.1 cells and primary CD19+ cells. To study the effect of NPI-0052 on the migration of WM cells, BCWM.1 cells and CD19+ cells were incubated with NPI-0052 (10nM), either alone or in combination with bortezomib (10nM), for 4 hours. These doses and duration of incubation did not induce apoptosis in WM cells as confirmed by trypan blue and Apo2.7 staining by flow cytometry (data not shown). Cells were then examined for the migration assay, as previously described. NPI-0052 slightly inhibited WM cell line and primary tumor cells migration towards SDF-1, which was further inhibited by NPI-0052 used in combination with bortezomib (Fig. 6A).

We also tested the effect of NPI-0052 and bortezomib on adhesion of BCWM.1 cell line and primary CD19+ cells *in vitro*. We found that NPI-0052 induced significant
inhibition of adhesion to fibronectin (FN), when used in combination with bortezomib (Fig. 6B). Previous studies have shown that FN induces members of NF-κB family transcription factors.\textsuperscript{35} We therefore next examined the effect of NPI-0052 and bortezomib on NF-κB activity, in the presence or absence of FN. As shown in Fig. 6C, FN induced a significant increase in p65 phosphorylation and p50NF-κB expression in BCWM.1 cells, which were inhibited by NPI-0052, bortezomib, and more significantly by the two drugs in combination. It has been reported that integrin-linked kinase (ILK) and focal adhesion kinase (FAK), whose expression is NF-κB mediated, regulate cell adhesion.\textsuperscript{39,40} Therefore, we investigated the effect of proteasome inhibition on the expression of ILK and FAK. We found that both p-FAK and ILK are down-regulated by using NPI-0052, bortezomib, and more significantly by the combination of the two drugs (Fig. 6C), suggesting a possible role of these proteins in the proteasome-dependent inhibited adhesion. Moreover, it has been shown that ILK phosphorylates Akt.\textsuperscript{39} Therefore, reduction of ILK protein level may also contribute to NPI-0052-induced Akt downmodulation.

We have previously shown that adhesion of neoplastic cells to the BM microenvironment confers resistance to apoptosis.\textsuperscript{41} Therefore, we sought to investigate the effect of NPI-0052, either alone or in combination with bortezomib, on homing of WM cells \textit{in vivo}. DiI-labeled BCWM.1 cells treated with NPI-0052 (10nM, 4 hours), alone or in combination with bortezomib (5nM); or DiR-labeled untreated BCWM.1 control cells were injected in the tail vein of BALB/c mice, followed by \textit{in vivo} flow cytometry every 5 minutes for 45 minutes after injection. Neither NPI-0052 nor bortezomib as single agents significantly inhibited homing of WM cells to the bone marrow, as evidenced by a rapid decrease of circulating BCWM.1 cells which was observed in the untreated cells as well as on cells treated with NPI-0052 or bortezomib (data not shown). Interestingly, pretreatment of BCWM.1 cells with NPI-0052 in combination with bortezomib resulted in a significant inhibition of homing, with 45% decreased untreated cells in the circulation at 45 minutes \textit{versus} 77% decreased untreated cells, suggesting that the two proteasome inhibitors were together inhibit homing of WM cells to the BM (Fig. 6D).
Discussion

WM is a biologically unique low grade B-cell lymphoma characterized by high protein turnover. Little is known about the signaling pathways regulating survival and proliferation in this disease. Clinical studies have demonstrated that bortezomib induces significant cytotoxicity in WM cells, indicating an important role of the proteasome in WM. In this study, we dissect the biological role of the proteasome in WM using two proteasome inhibitors NPI-0052 and bortezomib. We first demonstrated that the novel proteasome inhibitor NPI-0052 inhibits proliferation and induces apoptosis in WM cell lines and CD19+ primary WM cells at doses consistent with previous studies and achievable in vivo. We then demonstrated that the combination of NPI-0052 and bortezomib leads to synergistic cytotoxicity on WM cell lines, IgM secreting cell lines, and patient cells. These two agents lead to inhibition of nuclear translocation of p65 NF-κB, targeting both the canonical and non-canonical NF-κB pathway, with synergistic induction of caspase-dependent and –independent apoptosis as shown by caspase-3, -8, -9 as well as PARP cleavage, release of Smac/DIABLO and AIF from the mitochondria, and activation of terminal UPR. This study therefore begins to delineate the role of the canonical and non-canonical NF-κB pathways in WM.

We further dissected the mechanism of synergy of these two agents and demonstrated that they have differential activity on Akt pathway, as well as on chymotrypsin-like, caspase-like and trypsin-like activities of the 20S proteasome. We demonstrated that NPI-0052-induced cytotoxicity was completely abrogated in an Akt knockdown cell line, indicating that its major activity is mediated through the Akt pathway, while bortezomib modestly activated Akt activity. Previous studies have demonstrated that Akt pathway is upregulated in WM cells compared to normal control and that the activation of the Akt survival pathway may be one of the mechanisms of resistance of malignant B cells to bortezomib. In this study, we demonstrate that the major activity of NPI-0052 is mediated through inhibition and not activation of Akt; therefore, its use in combination with bortezomib may overcome resistance to bortezomib in vivo.

Similarly, it has been shown that NF-κB pathway plays a pivotal role in supporting growth and survival of B cell malignancies, including WM. Specifically, proteomic studies have indicated that NF-κB pathway is active in this disease. Based on these
observations and on our data showing that NPI-0052 inhibits both PI3/AKT and NF-κB pathways, as well as the related in vitro activities, we can therefore hypothesize that NPI-0052-induced downmodulation of those up-regulated pathways could result in a more selective activity of NPI-0052 against WM cells rather than normal cells. Indeed, we demonstrated that NPI-0052, either alone or in combination with bortezomib does not induce cytotoxicity in normal PBMCs.

We then showed that NPI-0052 and bortezomib inhibit migration and adhesion of WM cells to cytokines or fibronectin in the BM microenvironment. Adhesion of WM cells to fibronectin led to NF-κB stimulation, which was abrogated by NPI-0052 and bortezomib, by inhibiting FAK and ILK protein expression. In addition, the combination of NPI-0052 and bortezomib led to inhibition of homing of WM cells in our homing model in vivo. Since IL-6 and NF-κB induction by adhesion are two major pathways regulated by the proteasome,\textsuperscript{10,35} we demonstrated that NPI-0052 and bortezomib overcome resistance induced by mesenchymal cells and the addition of IL-6 in a co-culture in vitro system. Finally, we demonstrated that the combination of these two agents does not induce cytotoxicity on hematopoietic stem cells using colony-formation assays. These studies demonstrate that the combination of NPI-0052 and bortezomib is active even in the BM microenvironment. Little is known about the role of the BM microenvironment in WM. Here, we demonstrate that adhesion of WM cells to the BM milieu induces NF-κB activation and IL-6 induces Akt activation, which are both down-regulated in presence of NPI-0052 alone, and more significantly inhibited in combination with bortezomib. The combination of the two agents therefore overcomes the protective effect of the BM niches, without affecting the growth and differentiation of normal hematopoietic components. In addition, homing is a complex process that is regulated by migration and adhesion of malignant cells to their specific BM niches. In this study, we therefore demonstrate that NPI-0052 and bortezomib inhibit migration and adhesion of WM cells, as well as their homing in vivo. Together, theses studies enhance our understanding of the biological role of the proteasome pathway in WM, and provide the preclinical framework for clinical trials of combined NPI-0052 and bortezomib to improve patient outcome in WM and other low-grade lymphomas.
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Author contribution
AMR, XL, TH, ST, DC, KCA, MP, IMG: designed the research, performed research, analyzed the data, wrote the paper.
AMR, AS, XJ, ASM, HTN, MF: performed in vitro research.
AMR, MM, JR, AA, FA, NB: analyzed the data.

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The authors declare no other competing financial interest.
Figure 1. NPI-0052 induces decrease in DNA synthesis, triggers cytotoxicity, and induces apoptosis in WM cells.

(A) Thymidine uptake assay and cytotoxicity assessed by MTT. BCWM.1 cells were cultured with NPI-0052 (2.5-40 nM) for 48 hours. (B) Thymidine uptake assay. Several IgM-secreting cell lines, WM-WSU (♦), MEC-1 (■), and Namalwa were cultured with NPI-0052 (2.5-40 nM) for 48 hours. (C) Several IgM secreting cell lines, WM-WSU, MEC-1, Namalwa were cultured with NPI-0052 for 48 hours. Cytotoxicity was assessed by MTT assay. (D) Freshly isolated bone marrow CD19+ tumor cells from 4 patients with WM were cultured with NPI-0052 (2.5-40 nM) for 48 hours. Cytotoxicity was assessed by MTT assay. (E) Freshly isolated PBMCs from 4 healthy donors were cultured with NPI-0052 (2.5-40 nM) for 48 hours. Cytotoxicity was assessed by MTT assay. (F) BCWM.1 were cultured with NPI-0052 for 48 hours at doses that range from 2 to 30 nM and the percentage of cells undergoing apoptosis was studied by Apo2.7 staining. (G) BCWM.1 cells were cultured with NPI-0052 (2.5-20 nM) for 12 hours. Whole cell lysates were subjected to Western blotting using anti-caspase 8, - PARP, -Mcl-1, -Smac/DIABLO, -cIAP1, -XIAP, -survivin, and -α-tubulin antibodies.

Figure 2. NPI-0052-induced cytotoxicity is enhanced in combination with bortezomib.

(A) BCWM.1 cells were cultured with NPI-0052 (2.5, 5 and 10 nM) for 48 hours, in the presence or absence of bortezomib (5 and 10 nM). Cytotoxicity was assessed by MTT assay. (B) Representative isobologram of NPI-0052 associated to bortezomib with the CalcuSyn software demonstrating synergy for the combination. (C) Combination indexes (C.I.) and fractions affected (FA) of the combinations of NPI-0052 and bortezomib. All experiments were repeated in triplicate. (D) CD19+ primary WM cells were cultured with NPI-0052 (2.5, 5 and 10 nM for 48 hours, in the presence or absence of bortezomib (5 and 10 nM). Cytotoxicity was assessed by MTT assay. (E) Representative isobologram of NPI-0052 associated to bortezomib with the CalcuSyn software demonstrating synergy for the combination. (F) Combination indexes (C.I.) and fractions affected (FA) of the combinations of NPI-0052 and bortezomib. All experiments were repeated in triplicate.
(G) BCWM.1 cells were cultured with NPI-0052 (10 nM) in the presence or absence of bortezomib (10 nM) for 12 hours. Whole cell lysates were subjected to Western blotting using anti-caspase-8, -9, -3, -PARP, -Smac/DIABLO, -cIAP1, -XIAP, -survivin, -AIF, p-eIF2α, -CHOP, -p-HSP27, -HSP27, -HSP70, -HSP90 and α-tubulin antibodies.

**Figure 3. NPI-0052 (N) and bortezomib (B) inhibit NF-κB function in WM cells.**

(A) BCWM.1 cells were cultured with either NPI-0052 (10 nM), bortezomib (10 nM), or the combination for 4 hours, and then TNF-α (10 ng/mL) was added for the last 20 minutes. NF-κBp65 transcription factor-binding to its consensus sequence on the plate-bound oligonucleotide was studied from nuclear extracts. Wild type and mutant are wild type and mutated consensus competitor oligonucleotides, respectively. All results represent means (±sd) of triplicate experiments. (B;C) BCWM.1 cells were cultured with either NPI-0052 (10nM), bortezomib (10nM), or the combination for 4 hours, and TNF-α (10 ng/mL) was added for the last 20 minutes. Cytoplasmic and nuclear extracts were subjected to western blotting using anti-p-NF-κBp65, -NF-κBp50, -NF-κBp52 IκBα, -RelB, -p-IκB, -IκB, -nucleolin and -α-tubulin antibodies. (D) BCWM.1 cells were cultured with NPI-0052 (10nM) and bortezomib (10nM) for 4 hours, or control medium, and TNF-α (10 ng/mL) was added for the last 20 minutes. Immunocytochemical analysis was assessed using anti-p-NF-κBp65 antibody. DAPI was used to stain nuclei.

**Figure 4. NPI-0052 inhibits Akt pathway and synergizes with bortezomib in inhibiting Akt and 20S proteasome activities.**

(A) BCWM.1 cells were cultured with NPI-0052 (2.5-20nM) for 6 hours. Whole cell lysates were subjected to Western blotting using anti-p-Akt, -Akt, -p-GSK3α/β, -p-S6R, -p-ERK, and -α-tubulin antibodies. (B) *In vitro* Akt kinase assay. BCWM.1 cells were cultured with control media or NPI-0052 (2.5-20nM) for 6 hours. Whole cell lysates were immunoprecipitated with anti-Akt antibody. Then the immunoprecipitated was washed and subjected to *in vitro* kinase assay according to the manufacturer’s protocol. Western blotting used anti-p-GSK3α/β and anti-Akt antibodies. (C) BCWM.1 cells were transduced with Akt shRNA for 48 hours. Mock: control plasmid. BCWM.1 transfected cells or BCWM.1 control cells were treated with NPI-0052 (2.5-20nM) for 48 hours.
Cytotoxicity was assessed by MTT assay. Whole cell lysates were subjected to western blotting using anti-p-Akt, -Akt, and α-tubulin antibodies (insert panel C). (D) BCWM.1 cells or primary CD19+ tumor cells from 2 patients with WM (E i, ii, iii) were incubated for 4 hours in the presence of diluent or 10 nM NPI-0052, Bortezomib 10 nM, or Bortezomib + NPI-0052. The chymotrypsin-like (CT-L), trypsin-like (T-L), and caspase-like (C-L) activity of the 20S proteasome of BCWM.1 was determined by measurement of fluorescence generated from the cleavage of the fluorogenic substrates suc-LLVY-amc, boc-LRR-amc, and z-LLE-amc, respectively.

Figure 5. Neither growth factors nor adherence to BMSCs protect against NPI-0052-induced cytotoxicity.

(A) BCWM.1 cells were cultured with control media, and with NPI-0052 (N) (2.5-20 nM), with and without bortezomib (B) (10 nM) for 48 hours, in the presence or absence of BMSCs. Cell proliferation was assessed using [3H]-thymidine uptake assay. All data represent mean (±sd) of triplicate experiment. (B) BCWM.1 were cultured with control media or NPI-0052 (2.5-20 nM), with and without bortezomib (10 nM) for 48 hours, in the presence or absence of IL-6 (25 ng/mL) (10 µM). Proliferation was assessed by thymidine uptake assay. (C) BCWM.1 cells were cultured with control media or NPI-0052 (10 nM) with and without bortezomib (10 nM), for 8 hours. Cells were then stimulated with IL-6 (25 ng/mL) for 10 minutes. Whole cell lysates were subjected to western blotting using anti-p-AKT, anti-AKT, anti-p-STAT3 and anti-α-tubulin. (D) Colony-forming cell assay. Negative fraction after CD19+ selection of bone marrow mononuclear cells was cultured using methylcellulose semisolid technique in absence or presence of NPI-0052 (10nM, 20nM) either alone or in combination with bortezomib 10nM. BFU-E, CFU-GM, CFU-M and CFU-GEMM were counted at day 14th. All experiments have been done in triplicate.

Figure 6. NPI-0052 inhibited migration and adhesion of BCWM.1 cells in vitro and homing in vivo.

(A) Transwell migration assay showing inhibition of migration of BCWM.1 cells and primary CD19+ cells in the presence of NPI-0052 (2.5-20 nM), bortezomib (10 nM), or NPI-0052 (10 nM) in combination with bortezomib (10 nM). SDF-1 30nM was placed in
the lower chambers and induced migration as compared to control with no SDF-1 (Ctrl, control). SDF-1 was placed in the lower chambers of the NPI-0052/bortezomib-treated wells. (B) Adhesion assay with BCWM.1 cells and primary CD19+ cells in the presence or absence of NPI-0052 (10nM), either alone or in combination with bortezomib (10 nM). BCWM.1 cells demonstrated increased adhesion in fibronectin-coated wells (control) as compared to BSA-coated wells (BSA, bovine serum albumin). All data represent mean (±sd) of triplicate experiments. (C) BCWM.1 cells were cultured with control media or NPI-0052 (10 nM) with and without bortezomib (10 nM) for 4 hours, in the presence or absence of fibronectin (FN). Nuclear extracts were subjected to western blotting using anti-p-p65, -p50, and –nucleolin antibodies. (D) BCWM.1 cells were cultured with control media or NPI-0052 (10 nM), with and without bortezomib (10 nM) for 4 hours, in the presence of fibronectin. Whole cell lysates were subjected to western blotting using anti-p-FAK, anti-ILK and anti–α-tubulin. (E) In vivo flow cytometry. DiI-labeled cells treated with bortezomib (B) and NPI-0052 (N) and DiR- labeled untreated cells were injected in the tail vein of 2 BALB/c mice. Cells were counted every 5 min for 45 minutes, as described in Materials and Methods.
REFERENCES

Fig. 1
Roccaro et al.
Fig. 4
Roccaro et al.

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E i

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Fig. 5
Roccaro et al.
Fig. 6
Roccaro et al.
Dual targeting of the proteasome regulates survival and homing in Waldenstrom’s Macroglobulinemia

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