The aims of this study were (1) to evaluate the effect of intermediate (cyclophosphamide alone) or intensive (mitoxantrone, cytosine arabinoside, cyclophosphamide) priming on the cytogenetic response in mobilized bone marrow (BM) or peripheral blood (PB) progenitors in patients with chronic myelogenous leukemia (CML), (2) to determine the incidence of cytogenetic remissions after mobilized progenitor transplantation in CML, and (3) to determine the effect of in vivo priming on the ability to select Philadelphia chromosome-negative (Ph-negative) CD34+ HLA-DR− cells from mobilized BM or PB in quantities sufficient for transplantation. Between February 1994 and March 1997, 44 patients were enrolled in three sequential protocols. Although the duration of neutropenia after only cyclophosphamide mobilization was shorter, clinical morbidity for the intermediate and intensive priming protocols was similar. Cytogenetic responses in mobilized PB progenitors were similar after mobilization with either intermediate or intensive chemotherapy. The degree of Ph negativity in the mobilized product correlated with disease stage at the time of mobilization (early chronic phase [ECP] > late CP > accelerated phase).

Comparative Analysis of Autografting in Chronic Myelogenous Leukemia: Effects of Priming Regimen and Marrow or Blood Origin of Stem Cells

By Catherine M. Verfaillie, Ravi Bhatia, Michael Steinbuch, Todd DeFor, Betsy Hirsch, J effrey S. Miller, Daniel Weisdorf, and Philip B. McGlave

Chronic myelogenous leukemia (CML) is a malignancy of the human hematopoietic stem cell.1 The disease is caused by the Philadelphia chromosome (Ph)2 resulting from a translocation between the c-bcr gene located on chromosome 22 and the c-abl gene located on chromosome 9.3 The p210BCR/ABL oncoprotein is necessary and sufficient for malignant transformation.4 p210BCR/ABL has increased tyrosine kinase activity compared with p145ABL5 and binds abnormally to the actin-cytoskeleton6; these characteristics are thought to be responsible for inducing the malignant behavior of target cells.

CML is a disease that is most frequent during the fifth and sixth decade of life.7 CML is invariably lethal. After a chronic phase (CP) which lasts 3 to 4 years, the disease transforms into an accelerated phase and ultimate blast crisis.7 Although therapy with single-agent chemotherapeutic agents controls symptoms during the CP,7 disease outcome is not affected. Therapy with interferon-α (IFN-α), either alone8-11 or in combination with cytosine arabinoside12 can induce hematologic remissions in >80% of CP patients. In addition, 10% to 25% of patients obtain a major cytogenetic response, which is associated with a superior survival. However, acquisition of a hematologic response without major cytogenetic response does not significantly affect survival.8-11 Ablative chemotherapy with or without irradiation therapy followed by infusion of normal stem cells obtained from a closely matched related or unrelated donor results in 5-year disease-free survival of 30% to 80%.13,14 Success of transplantation is dependent on the degree of donor match, age of the patient, and the disease stage and duration at the time of transplantation.15 However, a large proportion of patients are ineligible for allogeneic transplantation due to age or lack of a suitably matched donor.

Several lines of evidence suggest that benign Ph-negative stem cells may coexist with the Ph-positive clone in patients with CML. At diagnosis, some patients have evidence of Ph-negative hematopoiesis on routine cytogenetic studies of the bone marrow (BM).16,17 Treatment with IFN-α8-13 busulphan,18 or intensive chemotherapy19 can result in the reestablishment of Ph-negative hematopoiesis. Culture of CML BM or peripheral blood (PB) in long-term culture reveals presence of normal Ph-negative primitive progenitors.20,21 We and others have shown that CD34+ HLA-DR− cells present in steady-state BM of early CP (ECP) CML patients are highly enriched in Ph-negative, BCR/ABL mRNA–negative, and polyclonal primitive long-term culture initiating cells.22-25 This has led to the hypothesis that autologous transplantation of PB or BM cells may restore Ph-negative hematopoiesis. Transplantation of autologous PB or BM cultured in long-term culture20,21 or exposed short term to IFN-γ,26 mafosfamide,27 or anti-myb antisense oligonucleotides28 has resulted in at least temporary...
restoration of Ph-negative hematopoiesis. More recently, several studies have indicated that transplantation of PB cells obtained after in vivo mobilization with chemotherapy and cytokines may also result in the reestablishment of Ph-negative hematopoiesis.19,29-35

In this study we sought to compare the effect of priming with intermediate or high-dose chemotherapy and granulocyte colony-stimulating factor (G-CSF) or granulocyte-macrophage colony-stimulating factor (GM-CSF) on the mobilization of Ph-negative progenitors in BM and PB. We correlated the early course of patients transplanted with either BM or PB cells obtained after in vivo chemotherapy priming with patient characteristics, type of mobilizing chemotherapy, and graft characteristics. We also examined the effect of priming on the absolute number and clonal origin of CD34⁺ HLA-DR⁻ cells in PB and BM.

MATERIALS AND METHODS

Patients

Between February 1994 and March 1997, 44 patients with CML were enrolled on three sequential autologous transplantation protocols at the University of Minnesota. Patient characteristics at the time of transplantation including age, gender, disease phase, prior therapy, BM cytogenetics, BCR/ABL status, and white blood cell (WBC) count are summarized in Table 1. Patients were classified using the guidelines approved by the Committee on the Use of Human Subjects for Research at the University of Minnesota. Patient characteristics at the time of transplantation including age, gender, disease phase, prior therapy, BM cytogenetics, BCR/ABL status, and white blood cell (WBC) count are summarized in Table 1. Patients were classified using the criteria from the National Marrow Donor Program (NMDP) characterizing CP and accelerated phase disease. Chronic phase is defined as no significant symptoms after treatment, no features of accelerated phase or blast crisis, and no cytogenetic changes other than the Ph chromosome. Accelerated phase is defined as a rapid doubling of the WBC count, >10% blasts in blood or marrow, >20% basophils and eosinophils in blood or marrow, anemia, thrombocytopenia, thrombocytosis, additional cytogenetic abnormalities, splenomegaly not responsive to therapy, and severe myelofibrosis.36

Mobilization Regimens

Between February 1994 and September 1995, 17 patients were mobilized with a single dose of cyclophosphamide (4 g/m²) and GM-CSF (250 µg/m²) from day 4 after cyclophosphamide administration until the end of the PB progenitor cell (PBPC) collections (protocol CY/G/M/BM). When the absolute neutrophil count (ANC) was 500 to 1,000/µL, a BM harvest (1.5 L) followed by three (range, three to six) PBPC products were obtained. BM and PBPC cells were cryopreserved without further manipulation and the BM was used as the graft.

From September 1995 to September 1996, 18 patients received priming cyclophosphamide (4 g/m²), day 1), mitoxantrone (4 mg/m², days 1 and 2), and cytosine arabinoside (1 g/m²) from five to 10 days after the priming regimen (cryopreserved as back-up BM stem cells). The ANC reached 500 to 1,000/µL before initiation of the mobilization regimen. BM (2 × 10⁸ mononuclear cells [MNC]/kg) was collected and cryopreserved unmanipulated as graft (median number of collections, 5; range, 2 to 10). During the same period patients underwent a diagnostic BM aspirate.

Table 1. Patient Characteristics

<table>
<thead>
<tr>
<th>Patient Characteristic</th>
<th>Cyclophosphamide GM-CSF BM Transplant CY/GM/BM</th>
<th>Cyclophosphamide, ARA-C, and Mitoxantrone G-CSF PBPC Transplant MAC/G/PB</th>
<th>Cyclophosphamide G-CSF PBPC Transplant CY/G/PB</th>
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<tbody>
<tr>
<td>Total</td>
<td>17*</td>
<td>18†</td>
<td>9‡</td>
</tr>
<tr>
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<td>29-60; 47</td>
<td>35-62; 50</td>
<td>36-62; 57</td>
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<tr>
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<tr>
<td>ECP</td>
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<td>11</td>
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<tr>
<td>&lt;100%</td>
<td>2‡</td>
<td>6#</td>
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<tr>
<td>Negative (BCR/ABL⁻)</td>
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<tr>
<td>WBC at time of mobilization (range; median)</td>
<td>2.9-84; 7.7</td>
<td>2.4-27.2; 9.8</td>
<td>2.6-36.1; 4.75</td>
</tr>
</tbody>
</table>

*Two patients not transplanted: one patient insufficient progenitors collected, one patient developed blast crisis immediately after mobilization.
†Four patients not transplanted: three patients insufficient progenitors, one patient died from pulmonary infection after mobilization.
‡Three patients not transplanted: insufficient CD34⁺ cells.
§For definition, see Materials and Methods.
¶One patient IFN-α, hydroxyurea, and busulfan; two patients splenic irradiation and hydroxyurea.
¶¶Two ECP patients: 35% and 85% Ph-positive metaphases in pretransplantation BM.
#One ECP patients: 65%, 70%, 90%, and 95% Ph-positive metaphases in pretransplantation BM; two patients previously treated for 2.5 and 3 years with IFN-α with clonal evolution: (1) 35% 46XX t(9;22); 10% 45XX t(9;22) der(7) – 12; (2) 15% 46XY t(9;22); 75% 46XY t(9;22), add (19).**One ECP CML patient on IFN-α, 65% Ph positive, no clonal evolution.
††In most instances, these results are from analysis of a minimum of 20 BM metaphases.
From September 1996 to April 1997, an additional 9 patients received cyclophosphamide (4 g/m², day 1) and G-CSF (5 µg/kg) from day 4 until the end of PBPC collections (protocol CY/G/PB). When the ANC reached 500 to 1,000/µL, PBPC containing a minimum of 2 × 10⁶ CD34⁺ cells/µL PBPC (median number of collections, 4; range, 3 to 5) were collected and cryopreserved unmanipulated as graft.

The mobilizing chemotherapy was administered on the Inpatient Bone Marrow Transplantation (BMT) Unit at the University of Minnesota. After the mobilization chemotherapy, patients were discharged on prophylactic antibiotics (penicillin, fluconazole, and ciprofloxacin). They were seen daily in the Outpatient BMT Clinic where PB counts were followed and patients evaluated for infectious complications. When indicated, platelet and red blood cell (RBC) transfusions were administered and patients received intravenous antibiotics for febrile complications either as inpatients or outpatients, as needed.

**Transplantation**

All patients were myeloablated with cyclophosphamide (60 mg/kg, days −7 and −6) and fractionated TBI (165 cGy twice daily; total dose, 1,320 cGy) on days −4, −3, −2, and −1) on the Inpatient BMT Unit at the University of Minnesota. Between February 1995 and January 1996, patients remained hospitalized until the ANC was 500/µL for 3 consecutive days. After January 1996, patients were discharged from the hospital whenever possible on day +1 after transplantation on prophylactic antibiotics. They were followed daily in the Outpatient BMT Clinic and readmitted to the Inpatient BMT Unit when febrile. All patients were eligible for additional supportive care study protocols including, but not limited to, those related to prophylaxis and treatment of infection, blood product support, mucositis, and/or nutritional support. After transplantation, patients received either GM-CSF (250 µg/m², protocol CY/GM/BM) or G-CSF (5 µg/kg; protocols MAC/G/PB and CY/G/PB) until the ANC was >2,500/µL for 3 consecutive days.

**Posttransplantation Immunotherapy**

IFN-α was administered once the ANC was >1,000/µL for 3 days without cytokine support, platelets were >80,000/µL, and patients were RBC transfusion independent. The IFN dose was 0.1 × 10⁶ U/m² daily subcutaneous (sc) × 1 week, 0.25 × 10⁶ U/m² daily sc × 1 week, 0.5 × 10⁶ U/m² daily sc × 1 week, then escalated by 0.5 MU/m² every 2 weeks to maximum tolerated dose.

**Posttransplantation Follow-Up**

Physical examination, analysis of routine chemistry, and PB studies were performed at 3 weeks and 3, 6, 9, and 12 months after transplantation and annually thereafter during scheduled outpatient visits to the BMT Clinic. BM samples were examined for morphological signs of relapse and for the presence of the Ph chromosome and the BCR/ABL mRNA by reverse transcription polymerase chain reaction (RT-PCR).

**In Vitro Studies**

We obtained a PB and BM sample before mobilization, a BM sample when the WBC count was 700 to 1,000/µL during the recovery phase after mobilization (CY/GM/BM and MAC/G/PB), and a sample from each PBPC collection (CY/GM/BM, MAC/G/PB, and CY/G/PB). Each sample was examined for the presence of the Ph chromosome, the number of colony-forming cells (CFC) and long-term culture initiating cells (LTC-IC) present, and the number and clonal origin of CD34⁺ HLA-DR⁻ cells.

**Cell selection.** PB and BM MNC were isolated by Ficoll-Hypaque (Sigma Chemical Co, St Louis, MO) density gradient separation (specific gravity, 1.077) for 30 minutes at 37°C and 400g. A CD34⁺ enriched population was obtained from PB MNC and BM MNC using Ceptrate avidin-biotin immunosorption columns (CellPro Inc, Bothell, WA) or the MiniMACS selection system (Amgen, Thousand Oaks, CA). CD34⁺ enriched cells were labeled with phycoerythrin (PE)-conjugated mouse anti-CD34 antibodies and fluorescein isothiocyanate (FITC)-conjugated mouse anti-HLA-DR antibodies (1 mg/10⁶ cells) (Becton Dickinson, San Jose, CA), incubated for 30 minutes on ice, and then washed with cold phosphate-buffered saline. Cells were selected on a FACStar-Plus laser flow cytometry system equipped with a CONSORT 32 computer (Becton Dickinson) for low forward and side scatter properties and expression of CD34 and HLA-DR antigens using mouse IgG1-PE and IgG2-FITC antibodies as control.

**Methylcellulose progenitor culture.** As described, 2 × 10⁶ BM MNC or PB MNC, or 2 to 10 × 10⁶ CD34⁺ HLA-DR⁻ cells were plated in methylcellulose containing Iscove’s modified Dulbecco’s medium (IMDM; GIBCO Laboratories, Grand Island, NY) supplemented with 30% fetal calf serum (FCS; Hyclone, Logan, UT), 3 IU erythropoietin (Epoetin; Amgen), and 10% supramenant of the carcinoma cell line 5637. Cultures were incubated in a humidified atmosphere at 37°C and 5% CO₂. The cultures were assessed at days 14 to 18 for the presence of burst-forming unit–erythroid, colony-forming unit–granulocyte-macrophage, and mixed colony-forming unit as previously described.

**Long-term cultures.** PB MNC or BM MNC (1 × 10⁶ or CD34⁺ HLA-DR⁻ cells (2 to 10 × 10⁶) were plated in contact with confluent, irradiated M2-10B4 stromal layers (generous gift from Dr C. Eaves, Vancouver, British Columbia, Canada), subcultured in 24-well plates in complete LTC medium (IMDM; GIBCO) with 12.5% FCS, 12.5% horse serum (Terry Fox Laboratories, Vancouver, Canada), 2 mMol/L-glutamine (GIBCO), 100 U/mL penicillin, 100 U/mL streptomycin (GIBCO), and 10⁻⁶ mol/L hydrocortisone. Cultures were maintained for 5 weeks in LTC medium in a humidified atmosphere at 37°C and 5% CO₂ as described. After 5 weeks, adherent and nonadherent cells were recovered by short-term trypsinization and replated in methylcellulose culture for 14 to 18 days.

**Cytogenetics**

BM or PB MNC were cultured overnight and subjected to a 1.5-hour colcemid incubation followed by lysis with hypotonic potassium chloride and fixation in acid/alcohol as previously described. Metaphases were analyzed after QFQ or GTG banding.

**PCR Amplification of BCR/ABL mRNA**

Freshly obtained PB- or BM-derived MNC, CD34⁺ cells, or sorted CML CD34⁺ HLA-DR⁻ cells were incubated overnight in IMDM + 20% FCS at 37°C in a fully humidified CO₂ incubator, and flash frozen at −70°C. RT-PCR to amplify BCR/ABL mRNA and the β-actin mRNA as mRNA control were performed as previously described.

**Statistics**

Spearman’s rank order correlation was used to measure the association between continuous variables in this study. In addition, the association between cell dose and time to engraftment was evaluated in a Cox regression analysis to account for two censored patients that died before being evaluable for engraftment. Estimates of survival and time to engraftment were calculated by Kaplan Meier estimation. Univariate comparisons of survival were completed with 95% confidence intervals (CIs) and the log-rank statistic. In comparing continuous variables between independent groups and matched groups, the paired and unpaired t-tests were used, respectively.

**RESULTS**

**Transplantations**

From February 1995 until April 1997, 44 consecutive patients with CML that presented to the University of Minnesota for autologous transplantation were enrolled in three sequential autologous transplantation protocols. Patient characteristics are...
summarized in Table 1. There were no significant differences between the three groups for the following parameters: gender, disease stage, cytogenetics at time of mobilization, or WBC count at time of mobilization. Sixteen patients were in ECP, which was defined as diagnosed less than 12 months before transplantation. Fifteen patients were in late CP (LCP), defined as diagnosed more than 1 year before transplantation but with no clinical or cytogenetic evidence of accelerated phase disease. Thirteen patients had accelerated phase disease, defined based on clinical criteria (thrombocytosis, cytopenias, splenomegaly, blast and basophil percentage, myelofibrosis, or clonal evolution). Because results for patients in LCP and with accelerated phase disease were not different, comparisons are reported between patients with ECP disease and patients with either LCP or accelerated disease combined (advanced phase [AP CML]).

After priming, the WBC count reached 700 to 1,000 at a median of 15, 18, and 13 days for protocols CY/GM/BM, MAC/G/PB, and CY/G/PB respectively (Table 2). During the neutropenic phase after chemotherapy, three, two, and one patients enrolled on the three sequential protocols were hospitalized because of infectious complications. Two patients in protocol CY/GM/BM, four patients in protocol MAC/G/PB, and three patients in protocol CY/G/PB did not proceed to transplantation. Eight (1, 4, and 3) patients had insufficient CD34+ cells available for transplantation. These eight patients all had advanced-stage disease. One patient in protocol CY/GM/BM developed blast crisis immediately after mobilization and one patient in protocol MAC/G/PB died before transplantation because of septic shock and diffuse lung damage. One additional patient enrolled in protocol MAC/G/PB developed pulmonary aspergillosis, requiring amphotericin-B therapy for 3 months before transplantation.

Fifteen (CY/GM/BM) (93.7%), 14 (MAC/G/PB) (78%), and 6 (CY/G/PB) (66%) patients proceeded to transplantation. Patients received either autologous mobilized BM cells and GM-CSF after transplantation (CY/GM/BM) or autologous mobilized PBPC and G-CSF after transplantation (MAC/G/PB and CY/G/PB). WBC (P < .01), RBC (P = .03), and platelet (P = .01) engraftment was faster for patients receiving PBPC cells (MAC/G/PB and CY/G/PB) than for patients receiving BM progenitors (CY/GM/BM) (Table 2).

Median follow-up after transplantation for patients on CY/GM/BM is 27 months (range, 12 to 35 months). Five patients (33%) died 65, 127, 238, 408, and 710 days after transplantation, respectively: one because of nonengraftment and four in blast crisis. The 1-year actuarial survival is 80% (95% CI, 60% to 100%). Median follow-up after transplantation for patients on MAC/G/PB is 12 months (range, 8 to 17). Four patients (28.5%) died 32, 220, 461, and 560 days after transplantation, respectively: one because of infection and three in blast crisis. The 1-year actuarial survival of 86% (95% CI, 68% to 100%) is not significantly different from that observed after transplantation on protocol CY/GM/BM. Median follow-up for patients on CY/G/PB is less than 6 months. One patient died in blast crisis (day 117) and one died because of gram-negative sepsis and acute lung injury occurring after engraftment (day 32).

One-year survival for ECP patients on the three protocols combined was 100% and 2-year survival was 53% (95% CI, 13% to 93%) (Fig 1A). One-year survival for AP patients was 62% (95% CI, 40% to 84%) and 2-year survival was 52% (95% CI, 26% to 78%) (Fig 1A). When compared with a historical cohort of 43 patients who underwent autologous transplantation at the University of Minnesota using IFN-γ-purged BM,26 1- and 2-year survivals were similar (P = not significant) (Fig 1B).

Of the seven patients that did not undergo a autograft because of poor mobilization, two patients underwent an unrelated donor transplantation. One patient died from toxicity related to the transplantation procedure. The second patient is alive 2+ months after transplantation. The other five patients did not receive further intensive therapy and were maintained on hydroxyurea. Three of the five patients remain in CP 1 to 2.5 years after mobilization. One of the five patients has accelerated phase disease and one patient is requiring intermittent G-CSF to support low neutrophil counts.

After transplantation patients were treated with increasing doses of IFN-α once the ANC was >1,000/µL for 3 days without cytokine support, platelets were >80,000/µL, and patients were RBC transfusion independent. Twenty-four patients were eligible for IFN-α therapy and had been followed for more than 1 year after transplantation at the time of evaluation (1-year cytogenetics chosen to evaluate the possible effect of IFN-α on cytogenetics after transplantation). The IFN-α dose was always escalated to the maximally tolerated dose. Mainly because of hematopoietic toxicity (thrombocytopenia and/or neutropenia), dose escalation was not always possible. Patients received a maximum mean dose of IFN-α of 1.57 ± 0.28 × 10^6 U/m^2d (range, 0 to 5.5 × 10^6 U/m^2d). No correlation between cytogenetics at 1 year and the dose of IFN-α was observed for either ECP or AP CML patients (r^2 = .139 for ECP CML and r^2 = .031 for AP CML).

### Composition of the Graft

BM and PB samples obtained before mobilization and at the time the ANC reached 500 to 1,000 after mobilization were evaluated for the number of CD34+ cells, CFC, and LTC-IC, as well as for the presence of Ph-positive metaphases. For ECP CML patients, the number of CD34+ cells, CFC, and LTC-IC in BM obtained during recovery after mobilization was signifi-
sificantly lower than in steady-state BM or in the three to eight combined PBPC collections, and this was irrespective of the type of mobilization regimen ($P < .05$) (data not shown). In contrast, differences were less significant for patients with AP CML.

Cytogenetic analysis of steady-state BM, and BM or PB obtained after mobilization is summarized in Figs 2 and 3. In most instances a minimum of 20 metaphases were analyzed. Before mobilization, BM of 18.6% of patients was partially Ph negative. Three patients treated to partial Ph negativity with IFN-$\alpha$ had accelerated features defined as additional chromosomal abnormalities in a fraction or all of the persisting Ph-positive population. In addition, four patients that were 100% Ph positive also had signs of clonal evolution. When cytogenetics of the individual PBPC collections were compared, no significant change in the degree of Ph positivity between the first and last collection was observed (Fig 3). For the three sequential protocols, mobilization resulted in a major cytogenetic response in PB progenitors of 20% (CY/GM/BM), 71% (MAC/G/PB), and 0% (CY/G/PB); a minor cytogenetic response in 80%, 29%, and 100%; and no cytogenetic response in 0%, 0%, and 0% of ECP CML patients (Fig 2). For AP patients, a major cytogenetic response was seen in PB progenitors of 25%, 17%, and 0% of patients; a minor cytogenetic response in 22%, 1%, and 0% of patients; and no cytogenetic response in 50%, 66%, and 100% of patients on the three sequential protocols. Cytogenetics were also available for BM MNC obtained at the time of hematopoietic recovery from patients on protocols CY/GM/BM and MAC/G/PB. The degree of Ph positivity in BM and PB MNC was similar ($r^2 = .73; P < .0001$).

**Effect of Graft Composition on Engraftment**

Patients transplanted with mobilized PBPC on protocols MAC/G/PB or CY/G/PB had earlier ANC, platelet, and RBC engraftment than patients receiving mobilized BM progenitors (protocol CY/GM/BM) (Table 2). Further, we observed a strong correlation between time to ANC and platelet engraftment and the number of CFC and LTC-IC infused (Table 3). Although the number of CFC and LTC-IC present in mobilized BM grafts (CY/GM/BM) was lower than mobilized PB grafts, this did not reach statistical significance.
Effect of Graft Composition on Cytogenetics After Transplantation

Five (33%) patients on protocol CY/GM/BM obtained a major cytogenetic response (>70% metaphases Ph negative) 3 weeks after transplantation, four (27%) obtained a minor cytogenetic response (>10% to <70% metaphases Ph negative), and six (40%) had 100% Ph-positive metaphases in recovering BM (Fig 4). All but one patient had reoccurrence of 100% Ph-positive metaphases in the BM during the 12 to 24 months after transplantation, and all but one surviving patient are 100% Ph positive at 2 years. Six (43%) patients on protocol MAC/G/BM obtained a major cytogenetic response, four (29%) obtained a minor cytogenetic response, and four (29%) recovered 100% Ph-positive BM (Fig 4). Similar results were observed for patients on CY/G/PB. Two (33%) patients obtained a major cytogenetic remission, one (17%) obtained a minor cytogenetic response, and three (50%) continued to be 100% Ph positive after transplantation.

We compared the cytogenetic response of patients transplanted in ECP versus more advanced CML. Because no significant differences were seen between patients enrolled in the three sequential protocols, we evaluated all patients combined. Sixty-seven percent (major cytogenetic response), 33% (minor cytogenetic response), and 0% (no cytogenetic response) of ECP patients; 29%, 29%, and 57% of LCP CML patients; and 11%, 11%, and 78% of AP patients achieved a major, minor, or no cytogenetic response, respectively. We observed a high correlation between the Ph status of the inoculum and the Ph status of the regenerating BM at 21 days \( (r^2 = .71; P < .0001) \) (Fig 5). This correlation was no longer present at 3 months \( (r^2 = .37) \), or later after transplantation. For instance, in five of five patients who received a completely or nearly completely Ph-negative graft, a major/complete cytogenetic response was obtained 3 weeks after transplantation. However, BM cytogenetics in four of five patients 6 to 12 months after transplantation were 90% to 100% Ph positive (Fig 6).

Twelve patients have developed cytogenetic evolution since transplantation (21 to 720 days after transplantation), and eight patients have proceeded to blast crisis. Somewhat surprisingly, of the eight patients that died in blast crisis, three were transplanted in ECP. Two of the three patients received a graft that was less than 30% Ph positive but developed clonal evolution within 6 months after transplantation leading to blast crisis at days 222 and 777, respectively. One LCP patient in whom the infused graft contained 60% Ph-positive metaphases developed blast crisis CML at 3 weeks after transplantation. Examination of over 100 metaphases did not show presence of the blast crisis clone in this patient’s graft.

Effect of Mobilization on Absolute Number and Clonal Origin of CD34+ HLA-DR+ Cells

We examined the number and BCR/ABL status of CD34+ HLA-DR+ cells in steady-state and mobilized PB and BM obtained from these patients. To compare the number of CD34+ HLA-DR cells in PB or BM, we extrapolated the actual number of cells recovered by fluorescence-activated cell sorting (FACS) from the BM sample to 2.5 L of BM and extrapolated the number of cells/collection by combining the number of cells/collection in all PBPC (2 to 8 collections) collections. Because no significant

![Fig 3](https://example.com/fi.png)  
**Fig 3.** Contamination of sequential PB collections with Ph-positive cells remains relatively constant. Marrow was obtained before priming and PB or BM were obtained after priming on protocols CY/GM/BM, MAC/G/PB, and CY/G/PB from patients with ECP or AP CML. On average, 20 metaphases from steady-state marrow, mobilized marrow, or PB collections were analyzed. Cytogenetic status of all collections are shown separately. In general, cytogenetic responses did not change significantly between the first and last PB collections. Marrow and PB cells were evaluated by metaphase analysis and/or FISH to detect the number of Ph-positive metaphases.

### Table 3. Correlation Between Cell Dose and Time to Engraftment

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<tr>
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<th>Time to WBC Engraftment</th>
<th>P</th>
<th>Time to RBC Engraftment</th>
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<th>Time to Plt Engraftment</th>
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<tr>
<td>CD34+ cells/kg</td>
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<td>.53</td>
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<td>LTC-IC/kg</td>
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<td>.07</td>
<td>1.85 (1.11-3.10)</td>
<td>.02</td>
</tr>
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* Cox regression analysis relative risk and 95% CIs.
In previous studies we also evaluated the clonal origin of CD34+ PBPC. In ECP CML patients, 2.5 L steady-state BM contained BCR/ABL–positive CD34+ cells (Fig 7). None of the BM obtained after mobilization contained >4 × 10⁶ CD34+ HLA-DR− cells/kg. Mobilized PBPC collections from 5 of 13 patients had >4 × 10⁵ CD34+ HLA-DR− cells that were BCR/ABL mRNA negative in 4 of 5 patients. Recent autografting studies in multiple myeloma suggest that a minimum dose of 6 × 10⁵ CD34+LinThy1low cells/kg may be required for timely engraftment. Using these criteria, steady-state BM of 3 patients and mobilized PB from 3 patients would contain some inactivation by HUMARA assay). We showed that RT-PCR–negative populations are polyclonal, giving further credence to the notion that these cells are highly enriched in polyclonal, benign, Ph-negative progenitors. Steady-state BM CD34+ HLA-DR− cells from five of seven evaluated CML patients were RT-PCR negative. CD34+ HLA-DR− cells in mobilized BM were BCR/ABL mRNA–negative in six of eight patients. For mobilized PBPC collections, presence of BCR/ABL mRNA was analyzed in all available samples. In five patients, collections obtained on different days were examined. In four patients, CD34+ HLA-DR− cells present in all analyzed PBPC collected were BCR/ABL mRNA negative, whereas in a fifth patient one of the two collections that was analyzed contained BCR/ABL–positive CD34+ HLA-DR− cells.

The minimum dose of CD34+ HLA-DR− cells required for timely engraftment in humans is not known. We have previously postulated that a CD34+ HLA-DR− cells dose of 4 × 10⁶/kg may be sufficient for prompt engraftment. This was based on the relative proportion of CD34+ HLA-DR− cells present within the CD34+ population (<10%) and the minimum dose of 2 × 10⁶ CD34+ cells/kg required to establish engraftment. Therefore, a dose of 4 × 10⁵ CD34+ HLA-DR− cells/kg, which is two to four times higher than the calculated minimal “safe” dose, should suffice for timely engraftment. Three of 13 ECP patients had sufficient CD34+ HLA-DR− cells/2.5 L steady-state BM, and in 3 of 3 patients these cells were BCR/ABL mRNA negative (Fig 7). None of the BM obtained after mobilization contained >4 × 10⁵ CD34+ HLA-DR− cells/kg. Mobilized PBPC collections from 5 of 13 patients had >4 × 10⁵ CD34+ HLA-DR− cells that were BCR/ABL mRNA negative in 4 of 5 patients. Recent autografting studies in multiple myeloma suggest that a minimum dose of 6 × 10⁵ CD34+LinThy1low cells/kg may be required for timely engraftment. Using these criteria, steady-state BM of 3 patients and mobilized PB from 3 patients would contain

![Fig 4. Cytogenetic outcome after transplantation. The BM or PB inoculum used for grafting as well as BM obtained 3 weeks, 3 months, 6 months, 12 months, and 24 months from all evaluable patients on protocols CY/GM/BM, MAC/G/PB, and CY/G/PB were evaluated by metaphase analysis to detect the number of Ph-positive metaphases. On average, 20 metaphases from marrow obtained after transplantation were analyzed. Patients with ECP CML and AP CML were analyzed separately. Results are depicted for all samples that were analyzed and divided as follows: (□), major cytogenetic response, 0% to 30% of metaphases or cells Ph positive; (□□), minor cytogenetic response, 30% to 50% of metaphases or cells Ph positive; (□□□), no response, 90% to 100% of metaphases or cells Ph positive.](image)

![Fig 5. Degree of Ph-positive cell contamination of the graft predicts cytogenetic response 3 weeks, but not 3 months, after transplantation. Marrow or PB inoculum and BM obtained 3 weeks and 3 months after autografting was examined by nested RT-PCR to determine the number of Ph-positive cells present. The number of Ph-positive cells found in the inoculum was then correlated with the number of Ph-positive cells present in BM after transplantation. A good correlation is observed between the cytogenetic response in the inoculum and at 3 weeks after transplantation. However, no correlation is observed between the cytogenetic response in the inoculum and 3 months after transplantation.](image)
sufficient BCR/ABL–negative CD34+ HLA-DR− cells for transplant.

In contrast to ECP CML, in patients with AP disease, the number of CD34+ HLA-DR− cells was highly variable but did not change markedly when examined in steady-state BM, in BM obtained at hematopoietic recovery after mobilization, and in the combined PB collections. As we have previously shown, CD34+ HLA-DR− cells present in steady-state BM from 8 of 10 AP CML patients contained BCR/ABL mRNA–positive cells. This did not change significantly after mobilization.

Interestingly, the ability to identify BCR/ABL mRNA–negative CD34+ HLA-DR− cells in the mobilized BM or PB products correlated significantly with the cytogenetic status at multiple timepoints after transplantation. A significant correlation was observed between presence of BCR/ABL mRNA–negative CD34+ HLA-DR− cells and Ph negativity at 3 weeks (N = 17, r² = .75, P = .001), 3 months (N = 17, r² = .65, P = .004), 6 months (N = 12, r² = .55, P = .049), and 9 months (N = 10, r² = .58, P = .034) after transplantation.

**DISCUSSION**

The goals of the present study were (1) to compare the effect of intermediate or high-dose chemotherapy priming on the cytogenetic response in mobilized BM or PB progenitors, (2) to determine the incidence of cytogenetic remissions and relapse after mobilized progenitor transplantation in ECP and more AP CML, and (3) to determine the effect of in vivo priming on the ability to select Ph-negative CD34+ HLA-DR− cells from mobilized BM or PB sufficient for transplantation.

We show that cytogenetic responses observed in PB or BM after priming with either cyclophosphamide alone or a more intensive regimen of cyclophosphamide, mitoxantrone, and cytosine arabinoside were equivalent. The degree of Ph negativity in PB collections collected after CY/GM, MAC/G, or CY/G mobilization was similar. Likewise, similar cytogenetic responses were observed in BM or PB after either mobilization regimen. The strategy to mobilize Ph-negative progenitors using intensive chemotherapy was first described by the Genoa group.19 They reported that almost exclusively Ph-negative cells, and in some cases BCR/ABL mRNA–negative cells, can be mobilized in the blood in greater than 50% of patients who underwent mobilizing chemotherapy at diagnosis.19,29,30 Outcomes after transplant with Ph-negative grafts collected early after diagnosis were favorable: reestablishment of Ph-negative hematopoiesis in 50% of patients and persistent Ph-negative hematopoiesis for at least 12 months in greater than 50% of patients. Later reports from the same group have shown that results for patients who undergo mobilization at later time points (>1 year from diagnosis) or after treatment with IFN-α
are less favorable: partial or no cytogenetic responses are observed in the mobilized graft; transplantation with such a graft rarely leads to a complete cytogenetic response even immediately after transplantation. Since then, several other groups have used chemotherapy to induce Ph-negative hematopoiesis before blood or BM harvest. Most investigators have noted a considerable variability in the results obtained. Although chemotherapy mobilization can induce complete or partial cytogenetic responses in a subset of patients, results are in general not as predictable or as compelling as those originally described.

We found that mobilization of Ph-negative cells in the blood of patients with CML is possible. However, we rarely observed a complete Ph-negative state of the graft and in no patient was the graft BCR/ABL mRNA negative. Differences between our results and those published by the Genoa group may be due to differences in the chemotherapy regimens used. Instead of ICE (ifosfamide, cytarabine, etoposide) or mini-ICE, we used cyclophosphamide alone or in combination with mitoxantrone and cytosine arabinoside. The median duration of neutropenia of 15 to 18 days after mobilization with either of the priming regimens used in our studies is similar to that reported for mobilization with the mini-ICE protocol, although shorter than seen after priming with ICE. This suggests that regimens used in our studies are of similar dose intensity as the mini-ICE regimen and that differences in dose intensity between mobilization regimens used in different studies may not significantly impact on the Ph status of the mobilized graft. We cannot exclude that the shorter duration of chemotherapy administration in our patients (5 to 7 days for ICE and mini-ICE vs 2 days for cyclophosphamide, ARA-C, and mitoxantrone used in our study) may negatively impact on the type of cells that are mobilized in the blood when the marrow recuperates. An alternative explanation is that differences reported by different investigators may be due to patient selection. The median time from diagnosis for ECP patients enrolled in our studies was 8 months (range, 7 to 11 months). The best results have been reported for mobilizations performed immediately after diagnosis. As the benign hematopoietic clone decreases with time, it is possible that the lower proportion of Ph-negative cells mobilized in the PB, observed in our studies and reported by other investigators for patients with ECP CML, may in part be due to the fact that the normal stem cell pool has decreased considerably even 8 months after diagnosis.

After transplantation with mobilized progenitors obtained using the three different mobilization regimens, no significant differences were observed in cytogenetic response. This is consistent with the observation that the three mobilization regimens induced similar degrees of Ph negativity in the graft and that the Ph status 3 weeks after transplantation is highly correlated with the degree of Ph negativity in the graft. Of interest, cytogenetic status at 3 or more months after transplantation did not correlate with the degree of Ph negativity in the mobilized graft. However, cytogenetic response at 3 to 9 months was highly correlated with the disease stage at time. Thus, as has been observed for other autograft approaches in CML, outcome after mobilized PB or BM transplants may depend more on the disease stage at the time of transplantation than the type of mobilization regimen used or source of progenitor used (BM or PB). Irrespective of the cytogenetic response in the graft or at 3 weeks after transplantation, only two patients on the first two protocols remain in major cytogenetic remission beyond 12 months after transplantation.

Of interest, cytogenetic response at 3 to 9 months was also highly correlated with our ability to identify and select large numbers of BCR/ABL mRNA-negative CD34+ HLA-DR+ cells from mobilized BM or PB. Our studies show that presence of BCR/ABL-negative CD34+ HLA-DR+ cells in the graft predicts cytogenetic remission after transplant significantly better than cytogenetic analysis of the mononuclear cells in the graft. It is possible that the correlation found between BCR/ABL-negative CD34+ HLA-DR+ cells in the graft and cytogenetics after transplant is a manifestation of disease stage at the time of transplant. Because relapse after autografting may be due to contamination of the graft, as shown by gene marking studies, and due to persistent disease in the host, as exemplified by syngeneic or T-cell-depleted allografts, cytogenetic relapses observed at 6 months or later after grafting may be the result of Ph-positive stem cells contaminating the graft or may be the result of disease persisting in the host. Relapses occurred significantly later in patients in whom the graft contained a larger population of BCR/ABL-negative CD34+ HLA-DR+ cells. We hypothesize that decreased contamination of the graft contributes to the delay in relapse observed. An alternative or complementary explanation may be that disease in this group of patients is more chemotherapy and radiation therapy responsive, resulting in a significantly greater depletion of the malignant population in the host with the mobilization and the pretransplant cytoreductive therapy. Stem cell marking studies at our institution in patients with CP CML are currently underway to discriminate between these possibilities.

Four patients died early after transplantation due to regimen associated toxicity (one graft failure and three infectious/toxic deaths). These four patients were either LCP patients or patients with AP disease: time from diagnosis was 3 to 6 years and two patients were in AP disease. As has been shown for allogeneic transplant regimens, time from diagnosis and presence of accelerated features are associated with increased toxic deaths. Of note, 27% of patients developed progressive disease 1 month to 3 years after transplantation. Sixteen percent of patients progressed to blast crisis CML. Early progression to blast crisis was independent of patient age, peripheral WBC count, platelet count, spleen size at the time of transplantation, or previous therapy. Progression to blast crisis was observed more often in patients with long-standing disease (LCP) or with accelerated features. For the three patients transplanted in presumed ECP CML who progressed to blast crisis within 1 to 3 years after transplant, none of the characteristics listed above predicted the transformation. In one late CP CML patient who progressed to blast crisis 3 weeks after transplantation, the clonal abnormalities observed at the time of blast crisis could not be detected in the graft inoculum. Progression to blast crisis was also independent of the type of mobilizing chemotherapy used. It is possible that the mobilization and preparative regimen selectively eliminates the CP clone but not subclones with additional genetic abnormalities, which may be undetectable before priming. As has been described for autologous transplantations in lymphoproliferative disorders, repeated
administration of chemotherapy may induce additional clonal abnormalities in CML. In CML, the known increased resistance to apoptosis conferred by the BCR/ABL oncprotein may enhance the tendency to acquire additional genetic abnormalities leading to rapid clonal expansion and premature blast crisis disease.

We found that use of mobilized PB progenitors rather than mobilized BM progenitors resulted in earlier engraftment. A strong correlation was observed between the number of CFC and LTC-IC infused and the time to ANC, platelet, and RBC recovery. Although differences in CFC and LTC-IC content between mobilized BM progenitors (protocol CY/GM/BM) and mobilized PB collections (protocols CY/G/PB and MAC/G/PB) did not reach statistical significance, there was a trend to higher progenitor content in the mobilized PB grafts. Thus, slower engraftment in protocol CY/GM/BM may be caused by the lower progenitor dose infused. Alternatively, differences in cytokines used for mobilization and posttransplant support or intrinsic differences between mobilized BM and PB progenitors may in part be responsible for the differences in hematopoietic recovery observed between the protocols.51,53

The last objective of the study was to evaluate if in vivo mobilization would increase the number of patients in whom ex vivo selection of a BCR/ABL mRNA–negative population of CD34+HLA-DR− cells is feasible. In ECP CML, the number of CD34+HLA-DR− cells in mobilized BM was lower than in steady-state BM. However, more CD34+HLA-DR− cells could be selected from the combined mobilized PB collections than from steady-state BM. Further, irrespective of mobilization, CD34+HLA-DR− cells were BCR/ABL mRNA negative and polyclonal in the majority of ECP CML patients. In contrast, the number and clonal origin of CD34+HLA-DR− cells was not significantly affected by the priming chemotherapy in patients with more advanced CML. The presence of large numbers of BCR/ABL mRNA–negative CD34+HLA-DR− cells in the graft was associated with superior cytogenetic response after transplantation with mobilized grafts. Therefore, we speculate that combined approaches in which the CD34+HLA-DR− cell population is selected from mobilized PB collections may result in longer lasting and more significant cytogenetic responses after transplantation.

In conclusion, we describe results of autografting using mobilized PB or BM progenitors in CML. These studies indicate that neither the intensity of the mobilizing regimen nor the source of stem cells significantly affects the cytogenetic remissions obtained immediately after mobilization or after transplantation. However, cytogenetic responses in the mobilized product or after transplantation are significantly correlated with the number of benign primitive progenitors still present, which is significantly higher in ECP than in later stages of the disease. We show a correlation between the percent of Ph-negative committed progenitors present in the inoculum and the percent of Ph-negative progenitors present 3 weeks after transplantation. However, this effect is short lived, indicating that either more primitive progenitors continue to be Ph positive and/or that disease persisting in the host after the myeloablative therapy contributes to cytogenetic relapse. Finally, all patients received IFN as maintenance therapy after transplantation. In all but 2 patients, IFN did not prevent emergence of the Ph-positive clone. Additional posttransplantation therapies, such as interleukin-2 with antileukemia lymphoid cell populations or continued administration of chemotherapy after transplantation of a graft modified by a drug resistance gene, will need to be tested to prevent the inexorable progression to cytogenetic relapse observed after auto-transplantation for CML.

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Comparative Analysis of Autografting in Chronic Myelogenous Leukemia: Effects of Priming Regimen and Marrow or Blood Origin of Stem Cells

Catherine M. Verfaillie, Ravi Bhatia, Michael Steinbuch, Todd DeFor, Betsy Hirsch, Jeffrey S. Miller, Daniel Weisdorf and Philip B. McGlave