Minimal Residual Disease Status Before Allogeneic Bone Marrow Transplantation Is an Important Determinant of Successful Outcome for Children and Adolescents With Acute Lymphoblastic Leukemia

By Christopher J. C. Knechtli, Nicholas J. Goulden, Jeremy P. Hancock, Victoria L. G. Grandage, Emma L. Harris, Russell J. Garland, Claire G. J. ones, Anthony W. Rowbottom, Linda P. Hunt, Ann F. Green, Emer Clarke, Alan W. Lankester, Jacqueline M. Cornish, Derwood H. Pamphilon, Colin G. Steward, and Anthony Oakhill

The efficacy of allografting in acute lymphoblastic leukemia (ALL) is heavily influenced by remission status at the time of transplant. Using polymerase chain reaction (PCR)-based minimal residual disease (MRD) analysis, we have investigated retrospectively the impact of submicroscopic leukemia on outcome in 64 patients receiving allogeneic bone marrow transplantation (BMT) for childhood ALL. Remission BM specimens were taken 6 to 81 days (median, 23) before transplant. All patients received similar conditioning therapy; 50 received grafts from unrelated donors and 14 from related donors. Nineteen patients were transplanted in first complete remission (CR1) and 45 in second or subsequent CR. MRD was analyzed by PCR of Ig or T-cell receptor \(\beta\) or \(\gamma\) rearrangements, electrophoresis, and allele-specific oligoprobong. Samples were rated high-level positive (clonal band evident after electrophoresis; sensitivity \(10^{-2}\) to \(10^{-3}\)), low-level positive (MRD detected only after oligoprobong; sensitivity \(10^{-3}\) to \(10^{-5}\)), or negative. Excluding 8 patients transplanted in CR2 for isolated extramedullary relapse (all MRD\(^-\)), MRD was detected at high level in 12 patients, low level in 11, and was undetectable in 33. Two-year event-free survival for these groups was 0\%, 36\%, and 73\%, respectively (\(P < .001\)). Follow-up in patients remaining in continuing remission is 20 to 96 months (median, 35). These results suggest that MRD analysis could be used routinely in this setting. This would allow identification of patients with resistant leukemia (who may benefit from innovative BMT protocols) and of those with more responsive disease (who may be candidates for randomized trials of BMT versus modern intensive relapse chemotherapy).

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A LLOGENEIC BONE marrow transplantation (allo-BMT) provides a survival advantage over chemotherapy for patients with acute lymphoblastic leukemia (ALL) who sustain early BM relapse or present with poor-risk features at diagnosis.\(^{1,4}\) However, 30\% to 40\% of transplant recipients will still relapse after the procedure.\(^{4,7-15}\) Although a number of recent therapeutic interventions could potentially improve this situation, eg, intensification of conditioning or posttransplant immunotherapy,\(^{16}\) such measures may increase toxicity and should ideally only be targeted toward those at highest risk of further relapse.

As far as allo-BMT is concerned, outcome is poor for patients who enter transplant with a high leukemia cell burden. This is illustrated by the results from patients transplanted for resistant disease or in relapse.\(^{13,17-22}\) By extrapolation, because many patients transplanted in remission still relapse, it seems likely that presence of disease persisting at levels just below the remission threshold might worsen outcome. This has already been shown in patients undergoing autologous BMT where several groups have shown that the submicroscopic level of leukemia in the marrow graft, reflecting the leukemia cell burden in the patient before transplant, has a significant bearing on outcome.\(^{23-28}\)

Submicroscopic disease is otherwise termed minimal residual disease (MRD) and can be assessed by several techniques in ALL, the most widely applicable of which involves amplification of Ig heavy chain (IgH) or T-cell receptor (TCR) gene rearrangements by polymerase chain reaction (PCR).\(^{27-30}\) Using combinations of IgH, TCR\(\beta\), and TCR\(\gamma\) primer pairs, a molecular marker of the leukemic clone can be identified in more than 95\% of B-lineage and more than 90\% T-lineage ALL. This can be used to track MRD with a sensitivity of 0.01\% in at least 90\% of patients.\(^{31}\) MRD analysis (using either IgH PCR or \(bcr-abl\) reverse transcriptase [RT]-PCR) to identify early signs of relapse after allo-BMT has been reported previously.\(^{32-35}\) However, delaying the tracking of MRD to the post-allo-BMT period inevitably restricts the therapeutic modalities available for the eradication of residual leukemia cells detected at this late stage. We decided to study MRD before allo-BMT to investigate whether useful prognostic information could be acquired on which to base earlier, more comprehensive decisions about the use of different conditioning regimens, T-cell depletion strategies, and post-BMT immunomodulation, or possibly to delineate patients worthy of comparative trials of modern intensive chemotherapy versus allo-BMT. Using a method described previously,\(^{31}\) we assessed pretransplant MRD retrospectively in a cohort of 64 patients undergoing allo-BMT in remission for relapsed or high-risk ALL from either sibling or unrelated donors. This study shows the profound impact of submicroscopic disease load on event-free survival (EFS).

PATIENTS, MATERIALS, AND METHODS

Patients. Those eligible for study were children and adolescents with ALL aged less than 18 years at diagnosis who underwent...
allogeneic BMT between January 1, 1990 and August 1, 1996. All patients were in remission and less than 20 years of age at the time of BMT. Of 145 such patients identified, 74 were excluded due to a lack of adequate diagnostic material (39 patients) or suitably archived pre-BMT material (35 patients). This left 71 patients open to study, but MRD was not evaluable in a further 7 who lacked an amplifiable gene rearrangement.

Of the 64 patients open to study, 40 were male and 24 female. Ages at diagnosis ranged from 1.3 to 16.9 years (median, 4.4), white blood cell (WBC) counts at diagnosis from 0.8 to 606 × 10^9/L (median, 28) and ages at BMT from 2.0 to 19.8 years (median, 7.2). The diagnosis of ALL was confirmed by morphological and immunophenotypic analysis using standard criteria. Thirty-three patients had common ALL, 14 pre-B ALL, 2 null-B ALL, 1 mature B ALL, and 14 T-lineage ALL.

Patient cytogenetic results and remission status at BMT are summarized in Table 1. Nineteen patients were transplanted in first complete remission (CR1) for high-risk disease ([t(4;11), t(9;22), presentation extensive according to criteria described previously]. Details on the occurrence of aGVHD are given in Table 1. Two patients in continuing MRD results are presented, excluding patients dying from transplant-related causes, as the number of patients who relapsed divided by the number of patients studied for each possible MRD result.

**BMT protocol.** All transplants were performed at the Royal Hospital for Sick Children, Bristol, UK. The approach to HLA typing, BM graft processing, and supportive care was as described previously. Donor characteristics are given in Table 1. All patients were conditioned with cyclophosphamide and etoposide as consolidation therapy after remission reinduction for first relapse.

**MRD results.** DNA was extracted from BM mononuclear cells (BM MNCs) using QIAamp kits according to the manufacturer's instructions (Qiagen GmbH, Hilden, Germany) or by conventional phenol-chloroform extraction and ethanol precipitation. In 26 cases stored presentation mononuclear cells were not available and DNA was obtained from archival BM aspirate slides as described previously. Because of concerns over the variable quality of DNA obtained from archival slides, these were not used as a source of DNA for the analysis of pre-BMT samples.

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### Table 1. Patient Characteristics and MRD Results

<table>
<thead>
<tr>
<th>Donor</th>
<th>No. of Patients Relapsing No. With Given MRD Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>Unrelated</td>
<td>50</td>
</tr>
<tr>
<td>Sibling</td>
<td>12</td>
</tr>
<tr>
<td>Syngeneic twin</td>
<td>1</td>
</tr>
<tr>
<td>Parent (full HLA match)</td>
<td>1</td>
</tr>
<tr>
<td>aGVHD</td>
<td>4</td>
</tr>
<tr>
<td>None</td>
<td>30</td>
</tr>
<tr>
<td>Grades I-II</td>
<td>32</td>
</tr>
<tr>
<td>Grades III-IV</td>
<td>2</td>
</tr>
</tbody>
</table>

MRD results are presented, excluding patients dying from transplant-related causes, as the number of patients who relapsed divided by the number of patients studied for each possible MRD result. Abbreviations: EM, extramedullary; IEMR, isolated extramedullary relapse; neg, MRD not detected; +, low-level MRD detected (sensitivity 10^−2 to 10^−3); ++, high-level MRD detected (sensitivity 10^−2 to 10^−1).

*28 fully HLA-matched, 22 HLA-mismatched.

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MRD ANALYSIS BEFORE ALLO-BMT FOR ALL

WBC count remission (CR1) for high-risk disease [t(4;11), t(9;22), presentation extensive according to criteria described previously.39,40 Details on the occurrence of aGVHD are given in Table 1. Two patients in continuing MRD results are presented, excluding patients dying from transplant-related causes, as the number of patients who relapsed divided by the number of patients studied for each possible MRD result. Abbreviations: EM, extramedullary; IEMR, isolated extramedullary relapse; neg, MRD not detected; +, low-level MRD detected (sensitivity 10^−2 to 10^−3); ++, high-level MRD detected (sensitivity 10^−2 to 10^−1).

*28 fully HLA-matched, 22 HLA-mismatched.
Characterization of clone-specific rearrangements and investigation of remission specimens. A more complete description of the methodology can be found elsewhere. In essence, leukemic material from the time of last relapse (or from the time of diagnosis if the patient was transplanted in first remission), was screened for IgH, TCRγ, or TCRγ rearrangements using PCR amplification with FR3-Jg, V62-D3, Vγ1/9-JγII, and, in two cases of T-ALL, V61-J61 primer pairs. Clonal bands were sequenced either directly or via a cloning step. Twenty base oligonucleotides were synthesized to map to the DJJ (IgH), VND (V62-D63), or VNJ (Vγ1/9-JγII and V61-J61) junctions.

Having ascertained that the BM MNC DNA from each pre-BMT specimen was amplifiable by control PCR, 1 µg was amplified in a 100-µL reaction using the same conditions as above but an outer downstream primer was used for FR3 and V62-D83 PCR as a maneuver against contamination. A non-DNA-containing negative control and two samples each containing 1 µg of normal BM MNC DNA as well as 10-fold dilutions of leukemia cell DNA in normal BM MNC DNA were amplified in parallel as controls. The resultant PCR products were size-resolved by 8% polyacrylamide gel electrophoresis (PAGE) and transferred to a nylon support by semidry electroblotting. The membranes were then probed with the leukemia-specific oligonucleotide end-labeled with γ32P-dATP followed by autoradiography. High-level MRD was defined as that evident as a clonal band after PAGE only (ie, before allele-specific oligoprobing; sensitivity 10^-2 to 10^-3) and low-level MRD as that identified after PAGE and oligoprobing (sensitivity 10^-3 to 10^-5).

Statistical analysis. An overall χ² test with partition was used to compare relapse rates between the three MRD groups. Actuarial probabilities of EFS were calculated using the method of Kaplan and Meier where an event was defined as relapse or death. Univariate and multivariate analysis using the Cox proportional hazards model was performed to assess the independence of MRD as a risk factor for relapse. The results have been analyzed up to November 1, 1997, which allows a minimum follow-up of 20 months for patients in continuing complete remission (CCR).

RESULTS

Clone-specific rearrangements. Including the 7 patients in whom a clone-specific rearrangement could not be identified, 121 clonal rearrangements were identified for the 55 patients with B-lineage ALL (73%, 31%, and 44% had at least one clonal IgH, V62, and TCRγ rearrangement, respectively) and 18 for the 16 with T-lineage ALL (75% had at least one TCRγ rearrangement and a V61-J61 rearrangement was identified for the 2 patients negative by TCRγ PCR).

Clone-specific probes. Eighty-five oligonucleotide (41 IgH, 9 V62-D63, 33 Vγ1/9-JγII, and 2 V61-J61) probes were used in the study. One probe only was used to investigate the 27 patients with only one rearrangement and 22 of the patients with more than one rearrangement available for study. Eleven patients were studied with 2 probes, 2 with 3 probes, and 2 with 4 probes: in 10 of these cases probes were designed to rearrangements at different loci. Discrepant results from probes for different loci in the same patient were found in 3 cases: 2 patients were negative with 1 probe and low-level positive with the other, and the other patient was negative with 2 probes and low-level positive with the third. These cases were deemed low-level positive for the purpose of analysis.

The sensitivity of 7 probes was not evaluable because of the poor quality or small amount of diagnostic material available. A sensitivity equivalent to the detection of one leukemic cell in at least 10,000 normal cells was shown in 71 (92%) of the remaining 77 probes as assessed by 10-fold dilutions of leukemic DNA in normal BM MNC DNA.

Patients. Thirty-four (53%) patients remain in CCR with a median follow-up of 35 months (range, 20 to 96) from BMT. Twenty-five (39%) patients have relapsed after BMT with a median time to relapse of 5 months (range, 2.5 to 19). Twenty-two patients relapsed in the marrow only and 3 suffered a combined medullary and extramedullary relapse. Five (8%) patients, all with unrelated donors, died of complications unrelated to relapse (aGVHD and respiratory syncytial virus pneumonitis; adenovirus pneumonitis; hemolytic uremic syndrome and transfusion-associated GVHD; thrombotic thrombocytopenic purpura and cardiac failure; and pneumonitis of unknown cause). These figures compare with a CCR rate of 48%, relapse rate of 36%, and transplant-related mortality rate of 16% in the overall group of 145 patients available for study.

Six patients failed to engraft. Stored autologous marrow, obtained immediately before BMT conditioning, was returned to 4 patients between days 28 and 35 post-BMT. One patient developed autologous reconstitution. The remaining patient was reconditioned with in vivo CAMPATH 1G and cyclophosphamide 60 mg/kg for 2 days with CSA and MTX for GVHD prophylaxis before being administered peripheral blood progenitor cells from the original donor 91 days after the first BMT.

Patterns of MRD. Results of MRD analysis from pre-BMT samples for each patient subgroup, not including those from patients dying of transplant-related causes, are given in Table 1. All 8 patients transplanted in CR2 for isolated extramedullary relapse were found to be MRD⁺ (1 relapsed and 1 died from transplant-related mortality [TRM]) and have been excluded from the following statistical analyses (see Discussion). A statistically significant difference in relapse rate was found between the patients tested as high-level MRD⁺, low-level MRD⁺, or MRD⁻ (overall χ² = 21.25, P < .001). The incidence of relapse was also statistically significant when comparing patients with high-level MRD and those with low-level or negative MRD (partitioned χ² = 18.20, P < .001) but not on comparison of patients with low-level positive MRD and negative MRD (partitioned χ² = 3.05, P = .081). Relapse rates, including patients dying of TRM, were 74% for patients found to be MRD⁺ (100% for high-level MRD⁺ and 45% for low-level MRD⁺) and 20% for patients MRD⁻ (see also Table 1).

Kaplan-Meier plots of EFS, inclusive of patients dying from TRM (n = 4) but exclusive of patients relapsing before allo-BMT in an isolated extramedullary site (n = 8), are shown in Fig 1. The 2-year EFS for patients who were MRD⁻ was 17% compared with 73% for the group that was MRD⁺. Subdivision of the MRD⁺ group gave the following results for 2-year EFS: high-level MRD⁺, 0%; low-level MRD⁺, 36%; low-level MRD⁺ or MRD⁻, 64%.

Only MRD was significantly related to EFS (P < .001) out of all the prognostic variables examined by univariate analysis (Table 2A). Limited multivariate analysis confirmed the significance of MRD (P < .001) after separate adjustment for pre-BMT CR status, presence of Philadelphia chromosome, type of donor (related v unrelated), and for all of these factors (Table 2A). The other variables remained nonsignificant in all of
these models. Similar analysis was performed on the subgroup of patients transplanted in CR2 after medullary relapse (Table 2B). Pre-BMT MRD and whether pre-BMT relapse occurred on first-line chemotherapy were significantly related to EFS ($P < .001$ in both cases) on univariate analysis. The effect of MRD remained significant after adjustment for Philadelphia chromosome positivity ($P < .001$) and was of borderline significance when adjustment was made for pre-BMT relapse occurring on treatment (effect of MRD $P = .055$). MRD remained of borderline significance after adjustment for both of these variables ($P = .058$) where the effect of pre-BMT relapse occurring on treatment was significant ($P < .051$) and that of Philadelphia chromosome positivity was not significant.

DISCUSSION

Chemosensitivity of the leukemia clone is an important prerequisite for successful outcome after allo-BMT for ALL. This is well illustrated by the poor outcome in patients with disease refractory to conventional chemotherapy or with advanced disease. After remission induction (or reinduction) and consolidation, MRD acts as a surrogate marker of remaining chemoresistance and we reasoned that MRD analysis before allo-BMT might provide useful prognostic information.

The first notable observation is that MRD was not detected in pre-BMT marrow in any of the 8 patients transplanted in CR2 for isolated extramedullary relapse, whether this had occurred during or after conventional therapy. Because MRD is usually present in the marrow at the time of isolated extramedullary relapse of ALL, this implies that postrelapse chemotherapy had cleared disease to below the threshold of detection (even in the single patient from this group who relapsed after transplant). Taken together with the fact that tracking marrow MRD in patients undergoing first-line treatment for ALL is an unreliable method for predicting extramedullary relapse, we conclude that pretransplant MRD assessment is likely to have little value for the prediction of outcome after BMT in this minor subgroup of patients.

The remainder of this discussion will therefore concentrate on the 52 evaluable patients treated in CR1 for high-risk disease or in higher remission states after BM relapse, either in isolation or combined with extramedullary relapse. In these patients, we have shown a strong correlation between the persistence of MRD before BMT and risk of post-BMT relapse. All 12 patients with high-level MRD went on to relapse compared with only 12 of the 40 (30%) who were MRD- or found to have MRD detectable only after allele-specific probing ($P < .001$). The patients with high-level MRD made up half of those who relapsed in this study and are readily detectable by a clonality test that could be performed in any routine molecular biology laboratory.

To some extent, the poor outcome of some of the 12 patients with high-level MRD could have been predicted from first principles. Eight had relapsed whilst still receiving 7 cases) or within 6 months of finishing (1 case) first-line chemotherapy, and 3 had Philadelphia chromosome-positive ALL (Ph$^+$-ALL) transplanted in CR1. Such patients are already known to be at high risk. However, statistical analysis suggested that pre-BMT MRD level was a risk factor independent of on-treatment pre-BMT relapse and cytogenetics by multivariate analysis (Table 2B) and did yield potentially important prognostic information—the remaining patient with high level MRD relapsed 12 months off treatment and would otherwise have been viewed as having a lower risk of relapse. In patients with Ph$^+$-ALL as a whole, all 4 of those found to have high-level MRD relapsed whereas 4 out of the 5 patients with undetectable or low-level MRD remain free of disease. Most notably, of the patients relapsing during conventional first-line chemotherapy, the only one to have cleared MRD continues in remission 28 months after BMT.

Four of the 9 patients with low-level MRD before BMT remain in remission, suggesting that conditioning therapy or a graft-versus-leukemia effect successfully eliminated their residual disease. Two of these patients were transplanted for Ph$^+$-ALL in CR1 and survive in CCR 24 and 64 months post-BMT. However, only 1 of the 4 patients with low-level MRD transplanted in CR2 remains in remission, suggesting that
the finding of MRD in this setting highlights those needing more innovative therapy. Conversely, 7 of the 31 patients who were MRD− went on to relapse. This “false-negative” prediction may reflect inadequate sensitivity of the assay\(^5\)\(^0\)\(^5\)\(^1\) or sampling error due to heterogeneous distribution of MRD throughout the marrow\(^5\)\(^2\)\(^-\)\(^5\)\(^4\).

Despite obvious limitations, including the use of retrospective analysis, the bias toward T-depleted unrelated donor (UD)
BMT, and slight under-representation of patients suffering transplant-related mortality, this study constitutes the first major examination of the effect of leukemia cell burden on outcome in patients receiving allo-BMT for ALL. It is interesting to consider the impact that the straightforward detection of clonal bands after a single round of PCR might have had on clinical decision making. Twelve patients with high-level MRD could have been offered alternative treatment (eg, further cytoreduction before conditioning, intensified conditioning, T-replete grafts, and/or post-BMT immunotherapy), which might have improved upon their universally poor outcome. By contrast, allo-BMT resulted in a 2-year EFS of 64% in the remaining 40 patients who were either low-level MRD- or MRD0 and transplanted in CR1 or after medullary relapse. Allo-BMT was more successful in the subgroup of patients who were MRD- (2-year EFS: 73% overall and 67% for patients transplanted in CR2 after medullary relapse). An important question remains as to how modern intensive chemotherapy would compare with allo-BMT in this latter group of patients whose marrow disease has been cleared to undetectable levels by the chemotherapy administered either as remission induction and consolidation or after relapse.

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transplants may cure patients with acute leukemia never achieving remission with chemotherapy. Blood 80:1090, 1992


Alveolar cell carcinoma of the lung. A 52-year-old woman smoker with a history of breast carcinoma 6 years earlier was evaluated for a single lung nodule. Light microscopy showed a bronchiolo-alveolar growth pattern of an adenocarcinoma which did not resemble the earlier breast carcinoma in breast or axillary lymph nodes. Electron microscopy was diagnostic for alveolar cell carcinoma. The tumor cells formed glands and were filled with lamellar bodies, often referred to as surfactant bodies. These secretory granules are diagnostic for adenocarcinoma cells of type II alveolar pneumocyte origin in primary and metastatic tumor sites. Original magnification x 13,000. (Courtesy of Ann M. Dvorak, MD, Department of Pathology, Beth Israel Deaconess Medical Center, Harvard Medical School, Boston, MA.)
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