Flavopiridol Induces Apoptosis in Chronic Lymphocytic Leukemia Cells Via Activation of Caspase-3 Without Evidence of bcl-2 Modulation or Dependence on Functional p53


Flavopiridol has been reported to induce apoptosis in lymphoid cell lines via downregulation of bcl-2. The in vitro activity of flavopiridol against human chronic lymphocytic leukemia (CLL) cells and potential mechanisms of action for inducing cytotoxicity were studied. The in vitro viability of mononuclear cells from CLL patients (n = 11) was reduced by 50% at 4 hours, 24 hours, and 4 days at a flavopiridol concentration of 1.15 μmol/L (95% confidence interval [CI] ± 0.31), 0.18 μmol/L (95% CI ± 0.04), and 0.16 μmol/L (95% CI ± 0.04), respectively. Loss of viability in human CLL cells correlated with early induction of apoptosis. Exposure of CLL cells to 0.18 μmol/L of flavopiridol resulted in both decreased expression of p53 protein and cleavage of the caspase-3 zymogen 32-kD protein with the appearance of its 20-kD subunit. Contrasting observations of others in tumor cell lines, flavopiridol cytotoxicity in CLL cells did not correlate with changes in bcl-2 protein expression alterations. We evaluated flavopiridol’s dependence on intact p53 by exposing splenocytes from wild-type (p53+/+) and p53 null (p53−/−) mice that demonstrated no preferential cytotoxicity as compared with a marked differential with F-ara-a and radiation. Incubation of CLL cells with antipapoptotic cytokine interleukin-4 (IL-4) did not alter the LC50 of flavopiridol, as compared with a marked elevation noted with F-ara-a in the majority of patients tested. These data demonstrate that flavopiridol has significant in vitro activity against human CLL cells through activation of caspase-3, which appears to occur independently of bcl-2 modulation, the presence of IL-4, or p53 status. Such findings strongly support the early introduction of flavopiridol into clinical trials for patients with B-CLL.

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Material and Methods

Patients, Cell Separation, and Culture Conditions

Written, informed consent was obtained to procure cells from patients with previously diagnosed B-CLL as defined by the modified NCI criteria and normal healthy volunteers. Clinical data provided in Table 2 includes modified Rai stage, previous treatment, and fludarabine response status at the time of cell acquisition. Criteria for being considered fludarabine refractory included lack of partial or complete response to treatment with this agent or relapse within 6 months of last fludarabine treatment. Response was judged according to the modified NCI criteria.13 Mononuclear cells were isolated from the peripheral blood using density gradient centrifugation (Ficoll-Paque Plus; Pharmacia Biotech, Piscataway, NJ). Cells were immediately cultured (1 × 10^7 cells/mL) in RPMI 1640 supplemented with 10% fetal bovine serum (FBS), 100 U/mL penicillin-G, 100 μg/mL streptomycin, and 2 mM L-glutamine (Life Technologies, Grand Island, NY) and incubated at 37°C in a 5% CO2 incubator.
IN VITRO ACTIVITY OF FLAVOPIRIDOL IN B-CLL

Treatment of Mice and Harvested Cells

Homozygous typed p53−/− mice (C57BL/J-Tp53m1Tv1; p53−/−); The Jackson Laboratory, Bar Harbor, ME) and wild-type mice (C57BL/6Ncr; p53+/+; Frederick Cancer Research and Development Center, Frederick, MD) were obtained. Cells from both spleens and thyroids were harvested from the mice and were immediately cultured (5 × 10^5 cells/mL) in RPMI 1640 supplemented with 10% FBS, 100 U/mL penicillin-G, 100 µg/mL streptomycin, and 2 mmol/L L-glutamine (Life Technologies) and incubated at 37°C in a 5% CO₂ incubator. Flavopiridol (NSC699890) and F-ara-a (Developmental Therapeutics Program, Division of Cancer Treatment, National Cancer Institute) and recombinant IL-4 (Promega Corp, Madison, WI) were obtained for in vitro studies described later in the text.

Viability Assays

Viability assays of isolated mononuclear cells from CLL patients were performed using the MTT assay. Cells (1 × 10⁶ per well) in a volume of 100 µL were placed in a 96-well flat-bottom plate and the test drug (100 µL per well at 2× final concentration) or medium alone was added to the plates. All human experiments were performed in quadruplicate. Cells were incubated for fixed times (4 hours, 24 hours, and 96 hours). After the incubation, 50 µL of MTT (Sigma Chemical Co, St Louis, MO) at a concentration of 2 mg/mL was added to each well. Cells were incubated for 24 hours and centrifuged at 300g for 5 minutes, and 150 µL of supernatant was removed. A total of 200 µL of proline sulfate (Sigma) in phosphate-buffered saline (PBS) at 25 mg/mL was added to each well. Centrifugation was repeated, followed by the replacement of 200 µL of proline sulfate. Plates were centrifuged and 200 µL of proline sulfate was removed. Plates were then allowed to air dry. The precipitated MTT formazan was solubilized with 150 µL of dimethyl sulfoxide (DMSO) with constant agitation for 4 hours. After this procedure, the optical density at 540 nm was obtained using an Anthos Reader 2001 (Anthos Labtec Inc, Frederick, MD) at a concentration of flavopiridol (0.18 and 0.33 µmol/L) for 1, 4, and 24 hours. Whole-cell lysates were prepared by pelleting 1.25 × 10⁸ PBS-washed mononuclear cells in a microcentrifuge, aspirating the supernatant, and adding 0.5 mL of cold lysis buffer, as described previously. This cell suspension was incubated at 4°C for 40 minutes with constant agitation and then centrifuged for 15 minutes at 14,000 rpm at 4°C. The supernatant was recovered, aliquoted, and frozen at −80°C.

Protein Extraction and Western Blot Analysis

The bcl-2, bax, p53, p27, and CPP32 protein expressions were analyzed by Western blot after incubation in either medium or two concentrations of flavopiridol (0.18 and 0.33 µmol/L) for 1, 4, and 24 hours. Whole-cell lysates were prepared by pelleting the 1.25 × 10⁸ PBS-washed mononuclear cells in a microcentrifuge, aspirating the supernatant, and adding 0.5 mL of cold lysis buffer, as described previously. The cell suspension was incubated at 4°C for 40 minutes with constant agitation and then centrifuged for 15 minutes at 14,000 rpm at 4°C. The supernatant was recovered, aliquoted, and frozen at −80°C.

Protein was quantified in each supernatant by the BCA method (Pierce, Rockford, IL). A varied amount (2 to 100 µg) of each sample was used for each protein studied based on varied expression of protein in human CLL cells. Once identified, a single loading concentration was used for each protein examined. Samples were diluted with Tris-buffered saline to a volume of 25 µL and then added to 25 µL of 2× Laemmli’s Sample Buffer, as previously described. The samples were boiled for 4 minutes. Rainbow-colored protein molecular weight markers (Amersham Life Science, Arlington Heights, IL) and samples were loaded onto 10% to 14% polyacrylamide gels and electrophoresed. The proteins were transferred to a 0.45-µm nitrocellulose membrane (Schleicher and Schuell, Keene, NH) using an electroblot apparatus ( Hoefer, San Francisco, CA). The transfer buffer consisted of 0.1 mol/L Tris-HCl, pH 8.8, 0.192 mol/L glycine, and 10% methanol.

After transfer of the proteins, the nitrocellulose membranes were blocked for 1 hour in TBS-T (Tris-buffered saline-0.05% Tween; JT Baker, Phillipsburg, NJ) containing 5% skim milk for 24 hours. The membranes were incubated with either 1 µg/mL of monoclonal mouse anti-human bcl-2 antibody clone 124 (Dako, Carpinteria, CA), 1 µg/mL of polyclonal goat anti-human CPP32 p20 (N-19) antibody (Santa Cruz Biotechnology, Santa Cruz, CA), 2 µg/mL polyclonal rabbit anti-human bax (Santa Cruz Biotechnology), 1 µg/mL polyclonal rabbit anti-human p27 (Oncogene Research Products, Cambridge, MA), or 0.1 µg/mL of monoclonal p53 (Ab6; Oncogene Research Products) diluted in TBS-T with 5% skim milk for 24 hours at 4°C or for 1 hour at room temperature with constant agitation. After washing 4 times with TBS-T, the blots were incubated with horseradish peroxidase-conjugated antiserum IgG (H and L chains; Pierce), horseradish peroxidase-conjugated antibody IgG (Santa Cruz Biotechnology), or horseradish peroxidase-conjugated antirabbit IgG (Caltag Laboratories, Burlingame, CA) diluted 1:5,000 with 5% skim milk in PBS for 1 hour at room temperature with constant agitation. The blots were washed 4 times with TBS-Tween and shown with chemiluminescent substrate (Pierce Super-Signal chemiluminescent; Pierce) for 1.5 minutes. Autoradiography was performed with x-ray film (Kodak, Rochester, NY). Gel-loading equivalence was

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confirmed either by repropaging with 1 µg/mL polyclonal goat antihuman actin (I-19; Santa Cruz Biotechnology) or by exposing the nitrocellulose gel to Fast Green Stain to show total protein banding pattern. Protein bands were quantified by computer densitometry.

**Polymerase Chain Reaction (PCR) of Murine Splenocytes**

The genotypes of the mice were verified (1) by amplification of the p53 exon 6/intron 6/exon 7 fragment in the p53 wild-type mouse and (2) confirmation either by reprobing with 1 µg/mL polyclonal goat antihuman actin (I-19; Santa Cruz Biotechnology) or by exposing the nitrocellulose gel to Fast Green Stain to show total protein banding pattern. Protein bands were quantified by computer densitometry.

**Table 2. Clinical Characteristics of B-Cell CLL Patients**

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<tr>
<th>ID No.</th>
<th>Diagnosis</th>
<th>Rai Stage</th>
<th>Modified Previous Treatment</th>
<th>Fluorouracil Refractory</th>
<th>Flavopiridol LC50 (4 d)</th>
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<td>CLL</td>
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<td>0.03</td>
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<td>C + P</td>
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<td>IR</td>
<td>C + P, Flu, 2CDA</td>
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<td>0.21</td>
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</table>

**Abbreviations:** LR, low risk; IR, intermediate risk; HR, high risk; C, chlorambucil; P, prednisone; Flu, fludarabine; 2CDA, cladribine; Cy, cyclophosphamide; 9AC, 9-aminocamptothecin; CHOP, cyclophosphamide, prednisone, vincristine, doxorubicin; DHAP, dexamethasone, cytarabine, cisplatin; NA, not applicable.

**Fluorescent In Situ Hybridization for 17p13 Deletions**

From peripheral blood that had been collected in EDTA collection tubes, smears were prepared from the patient 28a described in Table 2 and Fig 3 and air-dried at ambient temperatures for 1 to 7 days. Before hybridization, the slides were denatured in 70% formamide/2× SSC (0.3 mol/L sodium chloride in 0.03 mol/L citric acid, pH 7.0) at 74°C ± 1°C for 5 minutes, followed by serial dehydration in ethanol. The slides were then drained and maintained on a 45°C to 50°C slide warmer for approximately 2 minutes during the time of probe denaturation. One microliter of the probe LSI p53 (17p13.1), labeled with Spectrum Orange (Vysis, Downers Grove, IL), was mixed with 2 µL purified H2O and 7 µL of Vysis’ LSI Hybridization Buffer. The probe mixture was next denatured in a 74°C ± 1°C water bath for 5 minutes. The denatured probe mixture was applied to the denatured specimen target (∼10 µL.

**Fig 1.** The in vitro cytotoxicity achieved after exposure of CLL cells to flavopiridol for different times. (○) Human CLL cells (n = 11 patients); (□) normal mononuclear cells (n = 9 patients). Cytotoxicity is expressed as the LC50 (drug concentration required to reduce viability by 50%).
probe mixture for a 22 mm × 22 mm target area). A glass coverslip was applied to the target area and sealed with rubber cement. Hybridization was performed in a humidified chamber at 37°C for 14 to 18 hours. Posthybridization washes consisted of a 2-minute wash in 4× SSC/0.3% NP-40 at 74°C ± 1°C followed by 1 minute in 2× SSC/0.1% NP-40 (Sigma) at room temperature. The slides were then air-dried in the dark, counter-stained with Vysis’ DAPI in Antifade, cover-slipped, and examined with a Zeiss Standard Fluorescent Microscope (Olympus Optical Co, Tokyo, Japan) equipped with epifluorescence optics and dual bandpass for DAPI/Spectrum Orange. One hundred to 200 cells were counted, and the percentages of 0, 1, or 2 signals were determined. A diagnosis of monoallelic deletion of p53 was established when the percentage of cells with 1 signal exceeded the controls’ mean by greater than 3 standard deviations. The same procedure outlined above was applied to 16 blood smears that had been obtained from patients who had undergone bone marrow biopsy for staging of breast cancer and who had no evidence of metastatic breast cancer or other malignancy in the blood or bone marrow. For these 16 cases, the mean and standard deviation of the percentage of cells with 1 hybridization signal (±3 SD) was 10.3% (±20.3%). Patient 28a had 35% of cells showing 1 hybridization signal and 65% demonstrating 2 hybridization signals.

**Statistics**

Groups of data were compared using paired or nonpaired Student’s t-tests (two-sided) as appropriate. Nonparametric data were analyzed using the Wilcoxon signed-rank test. JMP Statistics software (SAS Institute, Trumbull, CT) or Quatropro software (Novell Inc, Orem, UT) was used to perform these analyses.

**RESULTS**

*Flavopiridol Is Cytotoxic Toward CLL Cells With an Optimal Exposure Period of 24 Hours*

Peripheral mononuclear cells from 11 consecutive patients with CLL studied in the laboratory (UPN 1a, 2a, 3a, 5a, 6a, 7a,
9a, 10a, 1b, 2b, 3b, and 4b; see Table 2 for clinical data) were exposed to either medium or varying (0.01, 0.033, 0.1, 0.33, 1, 3.3, 10, 33, and 100 µmol/L) concentrations of flavopiridol. Cells were incubated either in drug or medium as follows: 4 hours and then developed; 4 hours and then washed of drug and developed after in vitro incubation in fresh medium for 4 days; 24 hours and then developed; 24 hours and then washed of drug and developed after in vitro incubation in fresh medium for 4 days; and developed after 4 days of continuous exposure to the agent. No loss of viability was noted immediately after 4 hours of flavopiridol exposure (data not shown). The viability of CLL cells at each other time point and concentration are depicted in Table 1. All of the patients with CLL demonstrated in vitro response to flavopiridol, including 5 patients with fludarabine-refractory CLL. The average concentration of flavopiridol required to produce 50% cytotoxicity (LC50) was 1.15 µmol/L (range, 0.52 to 1.93 µmol/L; 95% confidence interval [CI] ±0.31 µmol/L) after 4 hours of exposure to this agent followed by incubation in fresh medium until 4 days. After 24 hours of exposure to flavopiridol with immediate addition of MTT or incubation in fresh medium until 4 days, the LC50 decreased to 0.18 µmol/L (range, 0.09 to 0.33 µmol/L; 95% CI ±0.04 µmol/L) and 0.19 µmol/L (range, 0.1 to 0.26 µmol/L; 95% CI ±0.04 µmol/L), respectively. After continuous 96-hour exposure to flavopiridol, the LC50 declined to 0.16 µmol/L (range, 0.04 to 0.24 µmol/L; 95% CI ±0.05 µmol/L). Comparing these time points, the LC50 was significantly lower for both the 24-hour (P = .02) and the 96-hour (P = .02) flavopiridol

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Fig 3. Detection of apoptosis in CLL cells (patient UPN28a) as detected by annexin-V/PI assay after continuous exposure to medium (A) 4 hours and (C) 24 hours or 0.18 µmol/L flavopiridol (B) 4 hours and (D) 24 hours. Phosphatidyl serine is restricted to the internal cell membrane but is externalized early during the process of apoptosis. Cellular uptake of propidium iodine indicates a disrupted cellular membrane generally observed during late apoptosis or with cell necrosis. Increased annexin binding to exposed phosphatidyl serine in quadrant 3 of (B) and (D) as compared with (A) and (C) is supportive of flavopiridol inducing cytotoxicity at least in part through the pathway of apoptosis in human CLL cells.
Fig 5. (A) The in vitro effect of IL-4 incubation on in vitro drug resistance to F-ara-a in human CLL cells. The cells were obtained from CLL patients after obtaining informed consent, isolated, and cultured at $1 \times 10^6$/mL in medium (control) and varying concentrations of F-ara-A (0.01 to 100 $\mu$mol/L) in the absence (●) or presence (□) of IL-4 (10 ng/mL). After 4 days of incubation, the viability was assessed using the MTT test and is expressed for each patient as the LC50 (drug concentration required to reduce viability by 50%). (B) The in vitro effect of IL-4 incubation on in vitro drug resistance to flavopiridol in human CLL cells. The cells were obtained from CLL patients after obtaining informed consent, isolated, and cultured at $1 \times 10^6$/mL in medium (control) and varying concentrations of flavopiridol (0.01 to 100 $\mu$mol/L) in the absence (●) or presence (□) of IL-4 (10 ng/mL). After 4 days of incubation, the viability was assessed using the MTT test and is expressed for each patient as the LC50 (drug concentration required to reduce viability by 50%).

Fig 4. (A) Expression of bcl-2 protein in human CLL cells at 4 and 24 hours after incubation with medium or 0.18 $\mu$mol/L of flavopiridol. The cells were obtained from CLL patients after obtaining informed consent, isolated, and cultured at $5 \times 10^6$ cells/mL in medium or flavopiridol (0.18 $\mu$mol/L). Cell lysates were prepared and protein concentration was quantified using the BCA method (Pierce). Two micrograms of protein/lane from the CLL cell lysates was loaded onto a 10% sodium dodecyl sulfate-polyacrylamide gel electrophoresis (SDS-PAGE) gel and electrophoresed. Bcl-2 protein was detected using an anti-bcl-2 monoclonal antibody (Dako). Lane equivalent loading was certified by assessment with Fast Green Staining (not shown). (B) Expression of p27 protein in human CLL cells at 4 and 24 hours after incubation with medium or 0.18 and 0.33 $\mu$mol/L of flavopiridol. The cells were obtained from CLL patients after obtaining informed consent, isolated, and cultured at $5 \times 10^6$ cells/mL in medium or flavopiridol (0.18 or 0.33 $\mu$mol/L). Cell lysates were prepared and protein concentration was quantified using the BCA method (Pierce). Five micrograms of protein/lane from the CLL cell lysates was loaded onto a 10% SDS-PAGE gel and electrophoresed. The p27 protein was detected using an anti-p27 polyclonal antibody (Oncogene). Lane equivalent loading was certified by assessment with Fast Green Staining (not shown).
exposures as compared with the 4-hour incubation. The LC50 was not significantly different \( (P = .57) \) between CLL cells exposed to flavopiridol for 24 versus 96 hours. Therefore, these data support a 24-hour treatment administration schedule for phase II studies of flavopiridol in patients with B-CLL.

Flavopiridol Is Equivalently Cytotoxic to Untreated and Previously Treated B-CLL

Because in vitro drug resistance is more frequently observed in previously treated patients, we next assessed if the degree of flavopiridol cytotoxicity varied by pretreatment status. A cohort of 27 patients with either untreated \( (n = 16) \) or previously treated \( (n = 11) \) CLL were identified from our institutions. The clinical history of these patients at the time of phlebotomy is described in Table 2. Of the previously treated CLL patients, 5 had fludarabine refractory disease. Mononuclear cells isolated from these patients were exposed to varying \( (0.01, 0.033, 0.1, 0.33, 1, 3.3, 10, 33, \text{ and } 100 \, \mu\text{mol/L}) \) concentrations of flavopiridol for 4 days. Patients with untreated B-CLL had a mean LC50 of 0.12 \( \mu\text{mol/L} \) \( (P = 0.02 \text{ to } 0.22 \, \mu\text{mol/L}; \, 95\% \, \text{CI } \pm 0.03 \, \mu\text{mol/L}) \) after 4 days of exposure to flavopiridol. A cohort of previously treated B-CLL had a similar mean LC50 of 0.15 \( \mu\text{mol/L} \) \( (0.03 \text{ to } 0.24 \, \mu\text{mol/L}; \, 95\% \, \text{CI } \pm 0.05 \, \mu\text{mol/L}) \) after 4 days of exposure to flavopiridol that was not significantly different \( (P = .77) \) from the untreated patients. Even for the 6 patients who were clinically fludarabine refractory, the LC50 was 0.17 \( \mu\text{mol/L} \) \( (0.09 \text{ to } 0.21 \, \mu\text{mol/L}; \, 95\% \, \text{CI } \pm 0.05 \, \mu\text{mol/L}), \) suggesting that in vitro sensitivity to flavopiridol is not altered by prior treatment and more advanced disease.

Flavopiridol Is Also Cytotoxic Toward Normal Mononuclear Cells

To assess the tumor selectivity of flavopiridol against CLL cells, we exposed mononuclear cell isolates from 9 healthy volunteers to varying \( (0.01, 0.033, 0.1, 0.33, 1, 3.3, 10, 33, \text{ and } 100 \, \mu\text{mol/L}) \) concentrations of flavopiridol. Cells were incubated under identical conditions to those of CLL cells, as described previously. No cytotoxicity was observed immediately after 4 hours of incubation. The LC50 after 4 hours of agent exposure followed by incubation in fresh medium until 4 days was 1.21 \( \mu\text{mol/L} \) \( (0.60 \text{ to } 1.90 \, \mu\text{mol/L}; \, 95\% \, \text{CI } \pm 0.61 \, \mu\text{mol/L}) \) for normal cells. After 24 hours of exposure followed by incubation for 96 hours or a continuous 96-hour exposure to flavopiridol, the LC50 declined to 0.24 \( \mu\text{mol/L} \) \( (0.15 \text{ to } 0.27 \, \mu\text{mol/L}; \, 95\% \, \text{CI } \pm 0.03 \, \mu\text{mol/L}) \) and 0.18 \( \mu\text{mol/L} \) \( (0.02 \text{ to } 0.21 \, \mu\text{mol/L}; \, 95\% \, \text{CI } \pm 0.05 \, \mu\text{mol/L}), \) respectively. As shown in Fig 1, the LC50 was not significantly different \( (P = .57) \) between the mononuclear cells obtained either from volunteers or patients with CLL exposed to flavopiridol for 24 versus 96 hours, respectively. In fact, comparison of the therapeutic index between B-CLL cells and normal mononuclear cells demonstrated no significant difference at 4 \( (P = 0.16), \) 24 \( (P = .70), \) and 96 \( (P = .82) \) hours, respectively.
Flavopiridol Induces Apoptosis in CLL Cells

In an attempt to characterize if the cytotoxicity induced by flavopiridol was due to apoptosis, mononuclear cells from CLL patients (n = 7) were incubated for either 4 or 24 hours in medium or 0.18 µmol/L of flavopiridol. Unlike fludarabine, which induces apoptosis only after 1 day of exposure (data not shown), flavopiridol produced apoptosis as early as 4 hours postincubation. Indeed, the mean spontaneous apoptosis rate in medium incubated CLL cells at 4 hours was 12.8% (range, 4.4% to 17.7%; 95% CI ±3.6%), which increased significantly (P = 0.01) to 25.0% (range, 11.8% to 37%; 95% CI ±6.5%) with flavopiridol exposure. At 24 hours, the mean spontaneous apoptosis rate in medium was 20% (range, 10.2% to 34.1%; 95% CIs ±7.8%) that increased significantly (P = .02) to 73.4% (range, 60.7% to 87.4%; 95% CI ±11.0%). A representative tunnel assay of CLL cells (patient UPN 9a) incubated in medium or 0.18 µmol/L of flavopiridol assessing apoptosis concurrently with cycle status is shown in Fig 2. Noteworthy is the fact that flavopiridol appears to be inducing apoptosis in G0,1 arrested cells. Because necrotic cells sometimes show uptake of TdT, a separate assessment of apoptosis was performed using annexin-V and propidium iodine. Figure 3 demonstrates a representative annexin-V/PI assay with CLL cells (patient UPN 28a) incubated in medium or 0.18 µmol/L of flavopiridol for 4 and 24 hours. This demonstrates a definitive population of cells with the altered annexin-V phospholipid observed with apoptosis, but lacking PI staining. Similar apoptosis was observed with the tunnel assay outlined above (data not shown). These data support the observation of others that flavopiridol is inducing cytotoxicity at least in part through the pathway of apoptosis.

Flavopiridol Cytotoxicity Does Not Correlate With Changes in bcl-2 or bax Protein Expression

To determine if flavopiridol was inducing early apoptosis either through decreased expression of bcl-2 or increased bax protein, we incubated mononuclear cells from CLL patients with 0.18 µmol/L flavopiridol or medium with subsequent assessment of bcl-2 protein (n = 7) or bax (n = 3) protein expression at 4 and 24 hours. Figure 4A depicts bcl-2 expression in 3 patient samples at the 0.18 µmol/L flavopiridol concentration, demonstrating minimal change in bcl-2 protein expression as compared with medium. Quantification of protein banding by densitometry in these seven experiments demonstrated similar or slightly increased expression of bcl-2 protein as compared with medium. Flavopiridol Cytotoxicity Does Not Correlate With Changes in bcl-2 or bax Protein Expression

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the Trypan blue exclusion test. After 1 day of incubation, the viability was assessed using medium (control) and varying concentrations of flavopiridol (0.01 to 1 µmol/L). The cells were obtained from spleens of typed and PCR confirmed patients with B-CLL were exposed to varying concentrations of flavopiridol. Error bars indicate 95% CIs.

Figure 5A demonstrates in Fig 5A, incubation with IL-4 for 4 days with or without 10 ng/mL of IL-4. As demonstrated in Fig 5A, incubation with IL-4 for 4 days decreased the LC50 of F-ara-a in 5 of 6 CLL cell samples tested from a mean of 11.6 µmol/L (range, 2.2 to 29.6 µmol/L; 95% CI ± 9.4 µmol/L) to 29.3 µmol/L (range, 2.89 to 74.0 µmol/L; 95% CI ± 24.6 µmol/L). The magnitude of this change was not equivalent between patients, with only 3 having significant increases over the respective controls. In contrast, as shown in Fig 5B for the same 6 CLL patients, the LC50 of cells exposed in medium and flavopiridol was 0.23 µmol/L (range, 0.2 to 0.3 µmol/L; 95% CI ± 0.03 µmol/L) versus 0.24 µmol/L (range, 0.2 to 0.28 µmol/L; 95% CI ± 0.02 µmol/L) for medium and IL-4 and flavopiridol. These data suggest that IL-4 incubation does not increase in the vitro drug resistance to human CLL cells exposed to flavopiridol.

Flavopiridol Induces Apoptosis Independent of p53 Status

It has recently been preliminarily identified that the cell cycle inhibitor p27 is variably overexpressed in CLL lymphocytes, is induced by IL-4 incubation, and may have a role in suppressing apoptosis. Because p27 binds to CDK2 and flavopiridol inhibits this kinase, we sought to determine if flavopiridol was modulating expression of this protein. We incubated mononuclear cells from 3 CLL patients in medium or flavopiridol (0.01 to 0.33 µmol/L), which induced a high rate of apoptosis as measured by the TdT/PI at 1 day, but failed to demonstrate a change in p27 protein expression. To determine if flavopiridol was inducing apoptosis via a similar pathway, we incubated mononuclear CLL cells from 5 patients with 0.18 µmol/L of flavopiridol or medium with subsequent assessment of p53 protein expression at 1, 4, and 24 hours. Figure 6A depicts a representative Western blot demonstrating a decrease in p53 protein expression with flavopiridol exposure at 4 and 24 hours as compared with medium-only control samples. Figure 6B depicts a reprobing experiment with actin, demonstrating equivalent protein loading among each of the samples. Fast Green Staining demonstrated similar results (data not shown).

Flavopiridol Causes a Decrease in p53 Protein Expression

The normal CLL cellular response to DNA damage induced by alkylator and/or purine analog exposure is an increase of p53 protein expression. To test this hypothesis, we explored whether the observed effects of flavopiridol were dependent on intact p53. Splenocytes from 4 wild-type and 3 typed p53 null type mice were exposed to varying (0.01, 0.1, 0.33, and 1 µmol/L) concentrations of flavopiridol. The absolute molecular status of the wild-type and p53 null type mice were secondarily confirmed by identifying the presence or absence of the p53 gene and neogene as previously described16,17 and is shown in Fig 7. The cytotoxicity as assayed by trypan blue after 24 hours of incubation at each concentration of flavopiridol is summarized in Fig 8. Viability of the p53 null (mean, 81%; 95% CI ± 4.3%) and wild-type (mean, 90%; 95% CI ± 2%) mice splenocytes after 24 hours of incubation in medium was similar. A noticeable decline in splenocyte viability was noted with incremental increases in flavopiridol concentrations without preferential cytotoxicity to p53+/− as compared with the p53−/− splenocytes. This nonpreferential toxicity was also observed in the thymocytes (data not shown). In contrast to this, the viability of the p53−/− splenocytes (89%) was affected minimally by 500 cGy of irradiation as compared with almost complete loss of viability (22%) in the p53+/− splenocytes. Incubation with F-ara-a at varying concentrations yielded a result similar to that observed after irradiation. After 24 hours of incubation with F-ara-a, the p53−/− splenocytes had an LC50 of greater than 100 µmol/L, contrasting with that of p53+/− cells in which the LC50 was only 3.95 µmol/L. The possible clinical relevance of these

IL-4 Induces Resistance to F-ara-A, But Not Flavopiridol

In vitro incubation of B-CLL cells with IL-4 has been identified that flavopiridol-induced apoptosis in human CLL cells does not occur as a consequence of bcl-2 protein modulation.

Flavopiridol Cytotoxicity Does Not Correlate With Changes in Expression of p27

The observation that p53 protein expression was actually decreased in response to flavopiridol exposure suggested that this agent might be operating in a unique, p53-independent fashion. To test this hypothesis, we explored whether the observed effects of flavopiridol were dependent on intact p53. Splenocytes from 4 wild-type and 3 typed p53 null type mice were exposed to varying (0.01, 0.1, 0.33, and 1 µmol/L) concentrations of flavopiridol. The absolute molecular status of the wild-type and p53 null type mice were secondarily confirmed by identifying the presence or absence of the p53 gene and neogene as previously described16,17 and is shown in Fig 7. The cytotoxicity as assayed by trypan blue after 24 hours of incubation at each concentration of flavopiridol is summarized in Fig 8. Viability of the p53 null (mean, 81%; 95% CI ± 4.3%) and wild-type (mean, 90%; 95% CI ± 2%) mice splenocytes after 24 hours of incubation in medium was similar. A noticeable decline in splenocyte viability was noted with incremental increases in flavopiridol concentrations without preferential cytotoxicity to p53+/− as compared with the p53−/− splenocytes. This nonpreferential toxicity was also observed in the thymocytes (data not shown). In contrast to this, the viability of the p53−/− splenocytes (89%) was affected minimally by 500 cGy of irradiation as compared with almost complete loss of viability (22%) in the p53+/− splenocytes. Incubation with F-ara-a at varying concentrations yielded a result similar to that observed after irradiation. After 24 hours of incubation with F-ara-a, the p53−/− splenocytes had an LC50 of greater than 100 µmol/L, contrasting with that of p53+/− cells in which the LC50 was only 3.95 µmol/L. The possible clinical relevance of these
murine experiments to B-CLL is exemplified by the in vitro response of UPN28a to flavopiridol. This patient had a 17p13 deletion, as shown in Fig 9. In vitro drug incubation with fludarabine and flavopiridol demonstrated a LC50 of greater than 100 µmol/L for F-ara-a and 0.22 µmol/L for flavopiridol. This is further demonstrated by Fig 3 illustrating marked apoptosis in CLL cells from this same patient after 24 hours of 0.18 µmol/L of flavopiridol exposure.

**Flavopiridol Exposure Results in Activation of Caspase 3**

The protein caspase 3 exists in zymogen form, is overexpressed in B-CLL, and when cleaved to its 17- to 20-kD and 10-kD fragments cleaves the DNA repair enzyme poly(ADP-ribose) polymerase (PARP) that commits the cell to apoptosis. Because caspase is activated at a point in the apoptotic pathway distal to p53, we sought to determine if flavopiridol incubation resulted in cleavage of this protein. We incubated mononuclear CLL cells from 3 patients with 0.18 µmol/L of flavopiridol or medium with subsequent assessment of caspase 3 protein expression and its fragments at 1, 4, and 24 hours. Figure 10A depicts a representative Western blot demonstrating a decrease in the zymogen caspase-3 32-kD protein. Detection of the subsequent appearance of the caspase-3 protein cleavage 20-kD fragment at 24 hours of flavopiridol exposure as compared with medium control is demonstrated in Fig 10B.

**DISCUSSION**

Whereas Senderowicz et al17 preliminarily reported the observation of in vitro apoptosis in a single patient with human B-CLL, this manuscript evaluates the effect of flavopiridol in multiple patients with this disease. Furthermore, we show that this agent has marked activity against B-cell CLL, irrespective of previous treatment, purine analog refractoriness, the presence of a 17p13 deletion, or IL-4 incubation. In a recently completed phase I study administering flavopiridol as a 72-hour infusion, a median plasma concentration of 0.44 µmol/L was attained at the recommended phase II starting dose.18 At this concentration, hypotension and diarrhea were noted, but not myelosuppression. These attainable plasma drug levels and dose-limiting toxicities have been noted by a second group.19 This mean attainable concentration of flavopiridol represents 2.4 times the LC50 of human B-cell CLL cells in vitro. The apoptosis/cytotoxicity observed with flavopiridol in CLL cells was observed early at 4 to 24 hours as compared with fludarabine, in which significant apoptosis/cytotoxicity is not noted before 4 days. In contrast, the maximal flavopiridol drug effect at this
low, clinically attainable concentration requires 24 hours of continuous exposure. Incubation with flavopiridol beyond 24 hours produced an insignificant change in the LC50 of CLL cells.

Our data also demonstrate that flavopiridol has almost an identical dose response when comparing the LC50 of human CLL cells and normal mononuclear cells. This finding suggests that flavopiridol might produce adverse effects on normal lymphocytes; consequently, studies of lymphocyte subsets should be incorporated into planned phase II studies. Additionally, our data provide support for inclusion of CLL patients on phase I/II studies of flavopiridol and subsequent phase II studies in this disease using a 24-hour continuous infusion schedule.

Additionally, a heightened awareness for possible opportunistic infections should be present in these studies.

The mechanism by which flavopiridol produces cytotoxicity in tumor cell lines or fresh human tumor cells is currently unknown. Initial preliminary investigations of flavopiridol in breast and lung cancer cell lines demonstrated that flavopiridol-induced cell cycle arrest in both G1 and G2. These studies also demonstrated that flavopiridol was cytostatic to exponentially growing cells with little cytotoxic effect demonstrable in stationary nonproliferating cells.20 A subsequent study using MCF-7 breast cancer cell lines has demonstrated flavopiridol inhibits G1 progression by a reduction of activity of CDK2 and CDK4.16 In these studies, G1 inhibition of cell cycle progression occurred independent of functional p53 and Rb status. Similar inhibition of G2 progression occurs through flavopiridol-inhibition of CDC2 activity with selective loss of phosphotyrosine content.21-23 Two recent reports challenged the notion that flavopiridol is cytostatic to proliferating cells by demonstrating overt cytotoxicity with drug incubation in several tumor cell lines independent of growth phase status.24,25 Finally, König et al26 reported that flavopiridol induced growth inhibition and apoptosis in CLL cell lines with concomitant downregulation of bcl-2 protein and mRNA expression. Because bcl-2 overexpression inhibits apoptosis, the investigators suggested that modulation of bcl-2 might in part explain how flavopiridol induces cytotoxicity. This observation of bcl-2 protein downregulation had previously been observed by Schwartz et al27 in solid tumor cell lines but only in one of the two lymphoid cell lines recently examined by Parker et al.28

Our data from human CLL cells demonstrate that flavopiridol is overtly cytotoxic to cells that are in G0-1. Others have observed that cytotoxicity both at G0-1 and other cell cycle positions can occur both through or in the absence of apoptosis depending upon the specific type of tumor cell line.24,25 The combined results of the tunnel and annexin studies on mononuclear CLL cell isolates suggest that flavopiridol induces

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**Fig 10.** (A) Expression of caspase-3 zymogen protein (32 kD) in human CLL cells at 4 and 24 hours after incubation with medium alone or 0.18 or 0.33 μmol/L of flavopiridol. The cells were obtained from CLL patients after obtaining informed consent, isolated, and cultured at 5 × 10⁶/mL in medium or flavopiridol (0.18 or 0.33 μmol/L). Cell lysates were prepared and protein concentration was quantified using the BCA method (Pierce). Fifteen micrograms of protein/lane from the CLL cell lysates was loaded onto a 14% SDS-PAGE gel and electrophoresed. The caspase-3 protein was detected using an anti-caspase-3 polyclonal antibody (Santa Cruz). Lane equivalent loading was certified by assessment with Fast Green Staining (not shown). (B) Expression of caspase-3 zymogen protein (32 kD; identified by a solid arrow) and cleavage product (20 kD; identified by an open arrow) in human CLL cells at 4 and 24 hours after incubation with medium alone or 0.18 or 0.33 μmol/L of flavopiridol. The cells were obtained from CLL patients after obtaining informed consent, isolated, and cultured at 5 × 10⁶/mL in medium or flavopiridol (0.18 or 0.33 μmol/L). Cell lysates were prepared and protein concentration was quantified using the BCA method (Pierce). Seventy-five micrograms of protein/lane from the CLL cell lysates was loaded onto a 14% SDS-PAGE gel and electrophoresed. The caspase-3 protein was detected using an anti-caspase-3 polyclonal antibody (Santa Cruz). Overexposure of the nitrocellulose gel was necessary due to the instability of the cleavage product. Lane equivalent loading was certified by assessment with Fast Green Staining (not shown).
apoptosis, although the assays used cannot exclude a component of direct cell necrosis, as has been observed in certain cell lines.24 Unlike König et al26 or Schwartz et al,27 we were unable to demonstrate quantitative decreases of bcl-2 expression after incubation of human CLL cells with flavopiridol. One reason for this discordant result might be that a higher concentration of flavopiridol was used by this group, as compared with the 0.18 to 0.33 µmol/L used in this study. However, it is notable that, in the absence of alteration of bcl-2 protein expression, prompt apoptosis was noted in all of the human CLL cell samples assessed in our laboratory. Additionally, all of the previous studies examining bcl-2 expression have included dividing cell lines that are not biologically reflective of the G0/G1 arrested human CLL cells used in this study. Whereas bcl-2 downregulation may contribute to the therapeutic efficacy of flavopiridol in solid and lymphoid cell lines, it does not appear to be necessary for induction of apoptosis in human CLL cells. What does appear to correlate with flavopiridol-induced apoptosis in human CLL cells is cleavage of caspase-3. Caspase-3 is a cystein protease that exists as an inactive zymogen in cells and has recently been identified as being overexpressed in CLL.29 Activation of this protein is believed to occur as a response to cytochrome c release from the mitochondria into the cytosol.30,31 Activation of this enzyme results in poly (ADP-ribose) polymerase (PARP) cleavage and morphologic changes consistent with apoptosis. Our results demonstrate that caspase-3 is cleaved in conjunction with notable flavopiridol-induced apoptosis, which complements the observation of Chandra et al22 that caspase-3 activation is present in human CLL cells after fludarabine and prednisone-induced apoptosis. Whether flavopiridol is initially triggering apoptosis upstream from caspase-3 or directly promoting its activation is currently unknown and remains a major focus of our laboratory efforts.

Given the heterogeneous nature of CLL and other related low-grade lymphoproliferative disorders, our laboratory’s initial preclinical evaluation includes an assessment of efficacy against molecular aberrations such as p53 mutations or incubation with IL-4, both of which predict a poor prognosis or induce resistance to chemotherapy and short survival in hematologic malignancies. Blood 84:3148, 1994


Flavopiridol Induces Apoptosis in Chronic Lymphocytic Leukemia Cells Via Activation of Caspase-3 Without Evidence of bcl-2 Modulation or Dependence on Functional p53