Molecular Remission in PML/RARα-Positive Acute Promyelocytic Leukemia by Combined All-trans Retinoic Acid and Idarubicin (AIDA) Therapy

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Two hundred fifty-three patients with newly diagnosed acute promyelocytic leukemia (APL) were eligible to enter the multicentric GIMEMA-AIEOP “AIDA” trial during the period July 1993 to February 1996. As a mandatory prerequisite for eligibility, all patients had genetic evidence of the specific t(15;17) lesion in their leukemic cells confirmed by karyotyping or by reverse transcription-polymerase chain reaction (RT-PCR) of the PML/RARα fusion gene (the latter available in 247 cases). Median age was 37.8 years (range, 2.2 to 73.9). Induction treatment consisted of oral all-trans retinoic acid (ATRA), 45 mg/m²/d until complete remission (CR), given with intravenous Idarubicin, 12 mg/m²/d on days 2, 4, 6, and 8. Three polychemotherapy cycles were given as consolidation. Hematologic and molecular response by RT-PCR was assessed after induction and after consolidation. At the time of analysis, 240 of the 253 eligible patients were evaluable for induction. Of these, 11 (5%) died of early complications and 229 (95%) achieved hematologic remission. No cases of resistant leukemia were observed. Of 139 cases studied by RT-PCR after induction, 84 (60.5%) were PCR-negative and 55 (39.5%) PCR-positive. One hundred sixty-two patients were evaluable by RT-PCR at the end of consolidation. Of these, 159 (98%) tested PCR-negative and 3 (2%), PCR-positive. After a median follow up of 12 months (range, 0 to 33), the estimated actuarial event-free survival for the whole series of 253 eligible patients was 83% ± 2.6% and 79% ± 3.2% at 1 and 2 years, respectively. This study indicates that the AIDA protocol is a well-tolerated regimen that induces molecular remission in almost all patients with PML/RARα-positive APL. Preliminary survival data suggest that a remarkable cure rate can be obtained with this treatment. © 1997 by The American Society of Hematology.
not uniformly) correlated to a rapid increase of white blood cell counts\textsuperscript{21,22} and pseudotumor cerebri, which is more frequently observed in younger patients.\textsuperscript{23}

Preliminary clinical studies have been performed with various combinations of ATRA and CHT in an attempt to obtain more durable remissions and reduced ATRA-related toxicity. The combinations, as demonstrated in a randomized trial, seem to improve disease-free survival over that achieved with chemotherapy alone.\textsuperscript{24} Since 1983, the Italian cooperative group, Gruppo Italiano Malattie Ematologiche Maligne dell’Adulto (GIMEMA), adopted disease-tailored protocols for APL, showing that anthracyclines alone are as effective in inducing remission as polychemotherapy schemes.\textsuperscript{25} In 1993, aiming at improving the efficacy of APL-targeted treatment, a new protocol combining ATRA and Idarubicin (AIDA protocol) was designed by the GIMEMA, first as a pilot,\textsuperscript{26} then in association with the Associazione Italiana di Ematologia ed Oncologia Pediatrica (AIEOP), as a larger multicentric study. Prospective RT-PCR analyses were performed on all patients at presentation to assess study eligibility and after treatment to better evaluate response and minimal residual disease.

**MATERIALS AND METHODS**

*Patients.* Two hundred seventy-four consecutive patients with newly diagnosed APL were registered during the period July 1993 to February 1996. All cases were diagnosed as AML, French-American-British (FAB) M3 or M3 variant (M3v) by conventional morphocytochemical criteria\textsuperscript{27} in 71 participating institutions (see Appendix). The majority of these centers belong to the Italian cooperative groups GIMEMA and AIEOP, while five belong to other European countries. Eligibility criteria to be enrolled in the AIDA protocol included: age between 1 and 75 years, karyotypic and/or molecular evidence of the t(15;17) in leukemic blasts at presentation, serum creatinine <2.5 mg/dL, serum alkaline phosphatase, bilirubin, and aspartate aminotransferase (AST) <3 times the upper normal limit, no cardiac contraindications to anthracycline chemotherapy, negative pregnancy test, and informed consent. Cases presenting with hyperleukocytosis were also included in the study.

**AIDA protocol.** Induction therapy consisted of 45 mg/m\textsuperscript{2}/d ATRA administered orally until the achievement of CR or for a maximum of 90 days, and four 12 mg/m\textsuperscript{2} doses of Idarubicin given intravenously (IV) on days 2, 4, 6, and 8. In patients less than 20 years old, ATRA doses were adjusted to 25 mg/m\textsuperscript{2}. After the achievement of hematologic remission, patients received three consolidation polychemotherapy courses as reported.\textsuperscript{28} Each consolidation course was administered at recovery from the previous course, when polymorphonuclear cells and platelet counts were >1,500/\mu L and >100,000/\mu L, respectively.

At the end of consolidation, residual disease was estimated by RT-PCR before deciding further therapy. PCR-negative patients were randomized into four arms including chemotherapy alone with 6-mercaptopurine and methotrexate (arm 1), ATRA alone (arm 2), alternating chemotherapy and ATRA (arm 3), or no further therapy (arm 4). Patients who were PCR-positive underwent, if eligible, allogeneic bone marrow transplantation in first CR.

**ATRA syndrome** was defined as ‘‘definitely present’’ or ‘‘overt’’ in the presence of the following five signs and symptoms: fever, dyspnea, pleural and/or pericardial effusion, pulmonary infiltrates on chest radiograph, and unexplained weight gain greater than 5 kg, according to the original report by Frankel et al.\textsuperscript{22} We defined as ‘‘indeterminate’’ ATRA syndrome a combination of two to four signs and symptoms of the above mentioned ± lower extremities edema and hypotension. In all other patients, the ATRA syndrome was defined as ‘‘definitely absent.’’\textsuperscript{28} Rules for supportive therapy, treatment of the ATRA syndrome, and prevention and control of the coagulopathy have been reported in detail elsewhere.\textsuperscript{28}

**Collection and preparation of samples for molecular studies.** Samples for RT-PCR analyses were requested at diagnosis, at the time of CR after induction, and at the end of consolidation. The exception of some cases with hyperleukocytosis in which peripheral blood (PB) samples were studied at presentation, all other diagnostic and remission samples were obtained from the bone marrow (BM). Mononuclear cells, obtained by centrifuging BM or PB specimens on a Ficoll-Hypaque density gradient, were washed twice in sterile phosphate-buffered saline (PBS), suspended in a 4-mol/L guanidium thiocyanate (GTC) solution and stored at −20°C. These procedures were performed in each GIMEMA and AIEOP center using RNaase-free disposable materials. The GTC solution was previously prepared by the referral molecular biology laboratories and distributed to all peripheral centers. Samples cryopreserved in GTC were then sent in dry ice to two referral molecular biology laboratories for RT-PCR studies (Hematology, University ‘‘La Sapienza’’ of Rome and Clinica Pediatrica, University of Milano, Monza).

**RT-PCR of PML/RAR\textsubscript{a}**. Total RNA was extracted by the method of Chomczynsky and Sacchi.\textsuperscript{29} The integrity of RNAs was assessed in all diagnostic and remission samples by electrophoretic run through a formaldehyde minigel. The protocol and the primers used to amplify the PML/RAR\textsubscript{a} hybrid gene have been reported elsewhere.\textsuperscript{3} To assess the efficiency of the RT step and to further verify RNA integrity, a cDNA fragment containing RAR\textsubscript{a} exons 2 and 3 was coamplified in each analyzed sample (internal control). A positive control (amplification of RNA extracted from the promyelocytic cell line NB4) and a negative control (all reagents plus water with no RNA) was included in each experiment. To assess the sensitivity of our RT-PCR method, total RNA isolated from a diagnostic sample with 100% blasts infiltration was serially diluted by mixing it with the t(15;17) negative myeloid cell line GF-D8 RNA.\textsuperscript{3} Our assay allowed us to detect the PML/RAR\textsubscript{a} transcript in the presence of 0.1 ng total RNA, that is a final dilution of 10−6.

**Evaluation of response.** CR was defined as the reconstitution of normal BM cellularity with less than 5% leukemic promyelocytes, together with PB cell counts of polymorphonuclear leukocytes (PMNs) >1,500/\mu L and platelets >100,000/\mu L. Molecular remission was defined as the disappearance, on ethidium bromide-stained electrophoresis gel, of the specific PML/RAR\textsubscript{a} amplification band identified at diagnosis, in the presence of RNA integrity as evaluated by minigel visualization and successful amplification of the internal control. Overall survival (OS) and event-free survival (EFS) duration was calculated by the method of Kaplan and Meier. The median observation was 12 months (range, 0 to 33).

**RESULTS**

*Patient characteristics at diagnosis.* Of the 274 patients registered, 21 (7.7%) were considered ineligible. Exclusion criteria for these 21 patients are reported in Table 1. These 21 patients were withdrawn from the study and treated differently.

The clinical and biological characteristics of the 253 eligible patients are shown in Table 2. Median age was 37.8 years (range, 2.2 to 73.9). Thirty-two cases (12.6%) were classified as microgranular or variant (M3v) APL on morphologic examination. The median white blood cell (WBC) count was 2.5 × 10\textsuperscript{9}/L (range, 0.2 to 140.0). Bleeding symptoms were present at diagnosis in 67% of patients; of these,
HIV-positive 1
t(11;17)/PML-RAR 1
Other concomitant malignancy 1
Poor performance status/active serious infection 1
Karyotype NA/specimen for RT-PCR not sent 1

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apy.

fact, a bcr3 breakpoint was observed in 20 of 32 (62%) M3v ³ Karyotype NE, no mitosis or poor quality metaphases.
² PML-RAR * Karyotype, not available.
due to intracranial hemorrhage and occurred at days
Abbreviations: NA, not available; HIV, human immunodeficiency virus.
* All had the t(15;17).

The type of PML/RAR
a transcript as detected by RT-
-PCR analysis for the evaluation of the PML/RARα hybrid at the end of induction and before consolidation therapy was performed in 139 cases. As to the other patients who achieved CR, four cases were not evaluable due to poor quality RNA and no samples were sent in for the remaining cases. Fifty-five (39.5%) of the 139 patients analyzed in CR after induction were PCR-positive and 84 (60.5%) PCR-negative. There was no significant correlation between the type of PML breakpoint and molecular response to induction therapy.

Molecular response after consolidation and follow-up analysis. Of the 229 patients who entered hematologic CR, 5 died of complications during consolidation, 1 was lost to follow up, 1 relapsed after the second cycle, and 60 have yet to complete consolidation therapy. Of the remaining 162 tested by RT-PCR after consolidation, 159 (98%) were PCR-negative and three (2%) PCR-positive. The three PCR-positive patients had all been positive at the end of induction. The remaining 52 patients who had been PCR-positive at the end of induction converted to PCR negativity after consolidation.

PCR assays between cycles of consolidation were performed in a limited number of cases in this study. Of 23 patients PCR-positive postinduction, 16 (69.5%) converted to PCR-negative and 7 (30.5%) remained PCR-positive after the first consolidation. Of these seven PCR-positive, 2 were still PCR-positive and 2 converted to PCR-negative following the second consolidation (no analyses were available at this time in the other three cases. However, all three converted to PCR-negative after the third cycle). In summary, of 20 postinduction PCR-positive cases tested either after one or two consolidation cycles, 18 (90%) achieved molecular remission before receiving the third chemotherapy consolidation course.

Sixteen (9.8%) of the 162 patients evaluable after consolidation did not complete the three courses because of therapy-related toxicity. Clinical and molecular data available in this
series are shown in Table 4. Median age was 61 years. In the majority of cases, treatment withdrawal was due to infection and/or prolonged BM hypoplasia. These patients were not randomized for maintenance; 7 patients received heterogeneous therapy as maintenance, whereas 9 had no further treatment. The median remission duration of patients in this group is 23 months (range, 2 to 33).

The results of the RT-PCR studies performed at diagnosis, after induction, and after consolidation in the whole series are shown in Table 5. The three patients who tested PCR-positive at the end of consolidation underwent allogeneic BMT from an HLA-identical sibling. Of the 159 who tested PCR-negative, 14 went off the study for protocol violation (9 cases), treatment-related toxicity (4 cases), and refusal to continue the therapeutic program (1 case). The remaining 145 patients were randomized for maintenance and are currently under evaluation for the effect of the different treatment approaches on relapse-free survival.

As of March 1996, 17 patients relapsed at 5 to 20 months from the achievement of hematologic remission. Among these, two had not completed all cycles of consolidation chemotherapy. Fifteen had typical hypergranular morphology at presentation, whereas two were classified as M3v. The median WBC count in these series of 17 patients was $7.2 \times 10^9/L$ (0.9 to 54.9). The type of breakpoint within the PML gene, available in 16 cases, was bcr 1-2 in nine patients, the majority of cases, treatment withdrawal was due to infection and/or prolonged BM hypoplasia. These patients were not randomized for maintenance; 7 patients received heterogeneous therapy as maintenance, whereas 9 had no further treatment. The median remission duration of patients in this group is 23 months (range, 2 to 33).

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The estimated 12 and 24 months OS for all of the 253 eligible patients was 90% ± 1% and 87% ± 2%, whereas the EFS was calculated at 83% ± 2.6% and 79% ± 3.2%, respectively (Fig 1). The comparison of EFS (calculated from the achievement of CR) between patients who were PCR-positive and PCR-negative at the end of induction showed no statistically significant difference.

![Fig 1. Kaplan-Meier estimates of overall survival and event-free survival (EFS).](image_url)
**Table 6. Clinical Features of Patients With Overt or “Definitely Present” ATRA Syndrome**

<table>
<thead>
<tr>
<th>UPN</th>
<th>Age/Sex</th>
<th>FAB</th>
<th>Initial (day of treatment)</th>
<th>Peak (day of treatment)</th>
<th>Therapy</th>
<th>Outcome</th>
</tr>
</thead>
<tbody>
<tr>
<td>07006</td>
<td>63/F</td>
<td>M3</td>
<td>0.5</td>
<td>5.9 (6)</td>
<td>ATRA discont./Dexamet.</td>
<td>CR</td>
</tr>
<tr>
<td>24009</td>
<td>46/M</td>
<td>M3</td>
<td>54.2</td>
<td>101.0 (2)</td>
<td>ATRA discont./Dexamet.</td>
<td>CR</td>
</tr>
<tr>
<td>24010</td>
<td>36/F</td>
<td>M3</td>
<td>7.2</td>
<td>18.2 (4)</td>
<td>ATRA discont./Dexamet.</td>
<td>CR</td>
</tr>
<tr>
<td>35005</td>
<td>45/M</td>
<td>M3</td>
<td>11.6</td>
<td>25.8 (7)</td>
<td>ATRA discont./Dexamet.</td>
<td>CR</td>
</tr>
<tr>
<td>55003</td>
<td>40/F</td>
<td>M3</td>
<td>0.6</td>
<td>1.6 (16)</td>
<td>ATRA discont./Dexamet.</td>
<td>CR</td>
</tr>
<tr>
<td>24001</td>
<td>31/F</td>
<td>M3</td>
<td>1.5</td>
<td>5.1 (4)</td>
<td>ATRA discont./Dexamet.</td>
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Abbreviation: discont./Dexamet., discontinuation/Dexamethasone.

**ATRA syndrome and other therapy-related toxicity.** Of 240 patients evaluable for induction therapy, 6 (2.5%) developed an overt or “definitely present” ATRA syndrome and one patient died of it. Clinical features including WBC initial count and peak, specific treatment for the syndrome, and outcome of these patients are reported in Table 6. In 11 additional cases, a combination of signs and symptoms was observed, which allowed us to classify them as having “indeterminate” ATRA syndrome. Finally, ATRA syndrome was considered “definitely absent” in 223 of 240 patients (93%).

Other ATRA-related adverse reactions included mucosal and skin dryness (29% of cases), hypotension (7%), headache (13%), severe bone pain (6%), pseudotumor cerebri (2%), and hyperchleoroemia (6%). Cutaneous ulcers were observed in two patients (1%).

As to the other therapy-related toxicity, mainly due to anthracycline treatment, these were limited to stomatitis (6%), hemorrhages (15%), nausea and vomiting (5%), diarrhea (2%), cardiac (2.5%), hepatic (3%), and renal (0.5%) toxicities considering together only World Health Organization (WHO) grades 3 and 4.

Of the 5 deaths occurring after the end of induction, 3 were recorded during the first consolidation course and 2 during the second course. Three deaths were due to bacterial infection, one to hepatitis, and one to an ATRA syndrome developed in CR.

**DISCUSSION**

Of all acute leukemias, APL is at present the form to which molecular studies contribute the most clinically relevant information. Three lines of evidence support this assumption, i.e., (1) the PML/RARα genetic lesion is absolutely APL-specific and found in virtually 100% of cases; (2) the disease responds specifically to a distinct treatment approach that is also effective against the life-threatening coagulopathy; and (3) PML/RARα detection in the leukemic blasts predicts response to ATRA. A similar combination of circumstances is not observed in any other human leukemia.

The mandatory prerequisite for entry into this study was molecular or cytogenetic evidence of the t(15;17) in leukemia cells at diagnosis. The characterization of the PML/RARα junction type, available in 247 of 253 (98%) eligible patients, also provided an ideal specific marker for the sensitive assessment of response to therapy. Based on past experience, gained as a referral laboratory for RT-PCR analysis in a multicentric study, we put special emphasis on the logistic organization of the molecular studies. In particular, we recommended that rapid isolation and storage of the mononuclear (MNC) cell fraction of marrow aspirates in an RNAase inhibitor (guanidium isothiocyanate), be performed locally in each participating center before shipment. This procedure turned out to be extremely important for the extraction of good quality RNA in the vast majority of cases.

The results of induction therapy with ATRA and Idarubicin show that all PML/RARα positive patients are responsive to this treatment. As a genetic diagnosis of APL was not required in any previously published study, it might seem inappropriate to compare our data with results obtained using other protocols, whether they included ATRA or not. However, it is conceivable that the lower CR rate reported in other studies could have been due, at least in part, to PML/ RARα negative patients being enrolled.

Several new acute leukemia entities, which morphologically resemble typical APL but manifest distinct genetic and/or immunophenotypic features, have been described recently. They include cases with variant translocation that involve RARα on 17q; with partner chromosomes other than 15, for example t(11;17) and t(5;17) associated APL, as well as cases with a myeloid-natural killer (CD56+) immunophenotype. Because these cases do not express the PML/RARα fusion gene and fail to respond to ATRA, patients with no cytoge- netic or molecular proof of the t(15;17) in their leukemia blasts at presentation were excluded from our series (Table 1).

The AIDA induction regimen appears to be well tolerated. In fact, the incidence and the severity of complications, including hemorrhagic deaths, ATRA syndrome, and other therapy-related toxicity were lower in our series than in other induction protocols irrespective of whether or not they included ATRA. The combination of a differentiating agent and a cytotoxic drug administered in the early phase of therapy very likely provided a counteraction against either the bleeding diathesis due to the coagulopathy or the occurrence of the severe pulmonary symptoms associated with the ATRA syndrome. Several investigators have shown that ATRA treatment significantly improves the APL-associated coagulopathy, while cytotoxic chemotherapy is known to prevent the onset of the ATRA syndrome, being often anticipated in patients considered at risk because of hyper-
leukocytosis at presentation.\textsuperscript{21} These two severe complications, which so far represented the major causes of induction death in APL, were recorded in a minority of cases in this study. Overall, 11 of 240 patients (5\%) died during induction treatment.

Following the guidelines of the New York Group (Frankel et al\textsuperscript{22} and previous personal communication by Dr R.P. Warrell Jr, April 1993), we recommended to all physicians of our Cooperative Group to promptly administer IV dexamethasone as prophylactic treatment at the earliest clinical sign of dyspnea. It is conceivable that, in conjunction with early chemotherapy administration, this policy contributed to prevent the onset of a full-blown and life-threatening ATRA syndrome in the vast majority of our patients.

Concerning consolidation, our clinical and molecular data suggest that the administration of less postremission treatment might be considered in the future, at least for elderly patients. In fact, as shown in Table 4, the median age of patients withdrawn from the study because of therapy-related toxicity was 61 years. Furthermore, the preliminary follow-up analysis in this series showed a short-term outcome comparable to that of patients receiving the three consolidation courses.

PCR results between consolidation cycles, although only available in a fraction of cases, indicate that the vast majority of patients achieve molecular remission after two cycles. A prolonged follow-up analysis is needed to establish the prognostic significance of these early achieved molecular remissions and to verify whether less postremission treatment could be effective in APL, regardless of age.

Molecular remission was achieved in 60\% of our cases after induction and 98\% after consolidation. We are not sure whether the PCR positivity detected at the end of induction reflects persistence of resistant blasts or delayed ATRA-induced maturation. We are also unable to assess whether the different kinetics of molecular response and leukemic-cell clearance have prognostic value. However, the attainment of a remission status corresponding to less than \(10^{-4}\) PML/RAR\(\alpha\)-positive cells (according to the sensitivity of our assay) in almost all patients is further testimony for the therapeutic efficacy of the AIDA regimen.

While PCR positivity during hematologic remission in APL is generally considered a strong predictor of clinical relapse, the achievement of a PCR-negative status is no guarantee of cure, as indicated by the 17 relapses occurring in patients who had previously tested PCR-negative. This probably reflects the limited sensitivity of the RT-PCR assay for PML/RAR\(\alpha\).\textsuperscript{4} At the time of the present analysis, due to the low number of adverse events, no predictive features could be found that were associated with a higher probability of relapse. In particular, no statistically significant association was found between treatment outcome and type of PML breakpoint, WBC count, or PCR status after induction. However, it is interesting to observe that patients who relapsed had higher median WBC counts at diagnosis. Future analysis of these parameters in a larger series and on a longer follow-up, might provide relevant information as to the possibility of identifying patients at higher risk of relapse.

Because of the uncertainty of the fate of patients who achieve PCR negativity, it is too early to predict the long-term outcome in the present series. However, the actuarial 1- and 2-year EFS estimates (83\% ± 2.6\% and 79\% ± 3.2\%, respectively) are promising figures with respect to long-term outcome. In addition, APL patients who relapse are easily rescued into second remission and become long-term survivors more often than patients with other myeloid leukemias.\textsuperscript{37}

In conclusion, our results indicate that a specific treatment approach targeted to a molecular abnormality might dramatically change the natural history of APL, turning this once rapidly fatal disease into one of the most frequently curable acute leukemias. In addition, APL offers a model that should trigger interest to identify other genetico-clinical subsets of acute leukemia so that currently available therapy and future advancements can be more precisely directed at treating these diseases.

**APPENDIX**


REFERENCES


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