Marrow Transplantation for Chronic Myeloid Leukemia: The Influence of Plasma Busulfan Levels on the Outcome of Transplantation


The influence of busulfan (BU) plasma concentration on outcome of transplantation from HLA identical family members for the treatment of chronic myelogenous leukemia (CML) was examined in 45 patients transplanted in chronic phase (CP) (n = 39) or accelerated phase (AP) (n = 6). All patients received the same regimen of BU, 16 mg/kg orally and cyclophosphamide (CY), 120 mg/kg intravenously. Plasma concentrations of BU at steady state (CSSBU) during the dosing interval were measured for each patient. The mean CSSBU was 917 ng/mL (range, 642 to 1,749; median, 917; standard deviation, 213). Of patients with CSSBU below the median, seven (five of 18 in CP and two of four in AP) developed persistent cytogenetic relapse and three of these patients died. There were no relapses in patients with CSSBU above the median. The difference in the cumulative incidence of relapse between the two groups was statistically significant (P = .0003). CSSBU was the only statistically significant determinant of relapse in univariable or multivariable analysis. The 3-year survival estimates were 0.82 and 0.64 for patients with CSSBU above and below the median (P = .32). There was no statistically significant association of CSSBU with survival or nonrelapse mortality, although the power to detect a difference in survival between 0.82 and 0.64 was only 0.24, similarly CSSBU above the median was not associated with an increased risk of severe regimen-related toxicity. We conclude that low BU plasma levels are associated with an increased risk of relapse.

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A LLLOGENEIC MARROW transplantation from HLA identical siblings is the most effective treatment for chronic myelogenous leukemia (CML). The results of transplantation have improved over the past 10 years and the best results are obtained when patients are transplanted early during chronic phase (CP). In a recent Seattle study, the 4-year probability of survival for 128 patients transplanted within 1 year of diagnosis after conditioning with either busulfan and cyclophosphamide (BU + CY) or cyclophosphamide and total body irradiation (CY + TBI) was 0.86.

In a prospective randomized study of marrow transplantation for patients with CML in CP, we demonstrated that the BU + CY regimen was better tolerated than and associated with survival and relapse probabilities, which compared favorably to the CY + TBI regimen. All patients received methotrexate (MTX) and cyclosporine (CSP) as prophylactic immunosuppression against acute graft-versus-host disease (GVHD). Since November 1992, all patients older than 14 years with CML in CP or accelerated phase (AP) receiving transplants from HLA-identical related donors have been conditioned with the BU + CY + MTX + CSP regimen and through 1995, we have transplanted 168 patients in CP using this regimen. It has been demonstrated that patients receiving oral BU achieve widely varying plasma concentrations and that low concentrations predispose to graft rejection, while high levels increase the risk of toxicity. The antileukemic effect of the BU + CY regimen putatively resides largely in BU, and it is possible that higher levels will protect against relapse of CML. It is important to evaluate this possibility because variations in BU plasma concentration can be overcome with a high degree of accuracy by individually adjusting the oral dose to achieve a desired target concentration.

In the present report, we evaluate the influence of plasma BU levels on relapse and survival in patients transplanted for the treatment of CML at the Fred Hutchinson Cancer Research Center (Seattle, WA) using a BU + CY regimen.

MATERIALS AND METHODS

Patient Eligibility and Accrual

Between 1991 and 1995, BU pharmacokinetics were studied in 45 patients with CML in CP (n = 39) or AP (n = 6) undergoing marrow transplantation from HLA-identical family member donors. Patients were selected on the basis of the availability of laboratory resources and all patients selected are included in this report. The diagnosis of CML was established by standard criteria: all patients were Ph chromosome positive and were categorized as being in CP or AP on the basis of previously defined characteristics. Disease and phase status were confirmed immediately before transplantation.

Informed Consent

Risks of the treatment protocols were fully explained to the patients, donors, and relatives. Informed consent was obtained using forms approved by the Institutional Review Board of the Fred Hutchinson Cancer Research Center.

Treatment Regimen

All patients in this study were conditioned for marrow transplantation with a regimen consisting of BU 1.0 mg/kg administered orally four times daily on each of 4 successive days (total dose, 16 mg/kg) followed by 60 mg/kg of CY administered intravenously on each of 2 successive days (total dose, 120 mg/kg). BU was administered as the median. The difference in the cumulative incidence of relapse between the two groups was statistically significant (P = .0003). CSSBU was the only statistically significant determinant of relapse in univariable or multivariable analysis. The 3-year survival estimates were 0.82 and 0.64 for patients with CSSBU above and below the median (P = .32). There was no statistically significant association of CSSBU with survival or nonrelapse mortality, although the power to detect a difference in survival between 0.82 and 0.64 was only 0.24, similarly CSSBU above the median was not associated with an increased risk of severe regimen-related toxicity. We conclude that low BU plasma levels are associated with an increased risk of relapse.

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2-mg tablets in gelatin capsules. Antiemetics were given in accordance with our standard practice, which includes ondansetron 8 mg orally before each BU dose, diphenhydramine 25 to 50 mg every 4 to 6 hours as needed, and lorazepam 0.5 to 2.0 mg every 4 to 6 hours as needed. Phenytoin was administered to all patients in accordance with our standard protocol, which includes a loading dose of 15 mg/kg divided among three doses before BU administration and maintenance dosing of 300 mg orally daily continued until 24 hours after the last dose of BU.

Prophylaxis against acute GVHD consisted of MTX and CSP.13,14 All patients were scheduled to receive intravenous MTX 15 mg/m² on day 1 and 10 mg/m² on days 3, 6, and 11. CSP was administered intravenously at a dose of 3 mg/kg per day in two divided doses starting on the day before marrow infusion (day −1). Oral CSP, 12.5 mg/kg per day was substituted for intravenous administration when tolerated. Starting on day 50, oral CSP administration was tapered by 5% weekly and discontinued on day 180. Doses of CSP were adjusted if necessary (usually because of renal or hepatic dysfunction), and MTX administration was adjusted for severe mucositis, extravascular fluid accumulation, or impairment of renal function. Acute and chronic GVHD were diagnosed and graded using established criteria.15 Acute GVHD was treated with prednisone, antithymocyte globulin, or monoclonal antibodies.16,17 Chronic GVHD was treated with prednisone alone or with CSP.18,19

Tissue Typing Studies

Forty-three donors were HLA genotypically identical siblings, one was a phenotypically identical father, and one was a phenotypically identical son, of the recipients as shown by serologic typing for HLA-A, B, DR, and DQ testing in mixed lymphocyte culture assays (recently replaced by typing for DRB and DQB alleles by hybridization of sequence specific oligonucleotide probes to PCR amplified DNA).20-22

Pharmacokinetic Studies

Details of the chemical (gas chromatography/mass spectrometry) and pharmacokinetic analyses have been described.10 To provide accurate assessments of BU exposure during conditioning, blood samples were collected at 0, 1, 2, 4, and 6 hours after any two of the morning doses on days 2, 3, or 4 of BU administration (ie, the fifth, ninth, and 13th doses). C₈₅BU is the ratio of BU area under the curve (AUC) over the dosing interval to the time between doses (6 hours). The C₈₅BU evaluated as a determinant of outcome was the mean of the values observed in each patient.

Regimen-Related Toxicity

Regimen-related toxicity was scored using the criteria described by Bearman et al.23 The overall grade assigned was the maximum grade for the bladder, renal, pulmonary, hepatic, central nervous system (CNS), mucosal, and gastrointestinal organ systems during the first 28 days posttransplant.

Engraftment and Rejection

Evidence of graft rejection was sought in patients who relapsed. When a gender difference existed between donor and patient, in situ points survival, persistent cytogenetic relapse, and acute GVHD.

Infection

Blood samples were cultured weekly for the presence of cytomegalovirus (CMV). Interstitial pneumonia (IP) was diagnosed by culture, histology or histochemistry of bronchoalveolar lavage, open lung biopsy, or autopsy.

Various infectious disease prophylaxis strategies were employed during this study including isolation in laminar air flow rooms, the prophylactic use of systemic antibiotics, fluconazole, acyclovir, ganciclovir, and the use of screened or filtered blood products.26 All CMV seronegative patients received either screened or filtered blood products. Acyclovir was given for prophylaxis to all herpes simplex virus seropositive patients throughout the study period. Ganciclovir was given to all CMV seropositive recipients at engraftment or at the first development of antigenemia.27-29 All patients received fluconazole.30

Causes of Death

Deaths after persistent posttransplant relapse were categorized as due to leukemia irrespective of the proximate cause. Deaths in the absence of persistent relapse were categorized as nonrelapse mortality. Infection was listed as the cause of death when a bacterial, viral, or fungal infection other than IP was the proximate cause of death in patients who had not relapsed. Infections were further categorized according to their association with or without GVHD. Deaths due to IP formed a separate category.

Statistical Analysis

The endpoints examined included survival, relapse, mortality from causes other than relapse (nonrelapse mortality), and acute GVHD. Acute GVHD was measured as grade 2 or greater during the first 100 days posttransplant or as grade 3 or 4 during the first 100 days posttransplant. Estimates of survival were obtained by the method of Kaplan and Meier31,32 where patients were censored at last follow-up if still alive. Estimates of the incidence of persistent relapse and acute GVHD were obtained using cumulative incidence estimates,33 where death without persistent relapse was regarded as a competing risk for the endpoint of persistent relapse and death without acute GVHD was regarded as a competing risk for the endpoint of acute GVHD. Patients who did not reach the appropriate endpoint or fail from the appropriate competing risk were censored on the date of latest cytogenetic evaluation for evaluating relapse and on day 100 for evaluating acute GVHD in the cumulative incidence estimates. Proportional hazards regression analysis was performed on the endpoints survival, persistent cytogenetic relapse, and acute GVHD. Variables tested for association with outcome were C₈₅BU categorized as less than or greater than the median, age (categorized as less than or greater than 40 years), the interval from diagnosis to transplant (categorized as less than or greater than 1 year), donor gender, and the permutations of CMV seropositivity of patient and donor. The association of these variables with the hazard appropriate for each endpoint was examined in univariable analysis, and vari-
Table 1. Distribution of C<sub>SS</sub>BU in Study Patients (n = 45)

<table>
<thead>
<tr>
<th>Frequency</th>
<th>BU Average Steady State Concentration (ng/mL)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Mean</td>
<td>917</td>
</tr>
<tr>
<td>Standard deviation</td>
<td>213</td>
</tr>
<tr>
<td>Minimum</td>
<td>642</td>
</tr>
<tr>
<td>25th Percentile</td>
<td>736</td>
</tr>
<tr>
<td>Median</td>
<td>917</td>
</tr>
<tr>
<td>75th Percentile</td>
<td>1017</td>
</tr>
<tr>
<td>Maximum</td>
<td>1749</td>
</tr>
</tbody>
</table>

ables that showed statistically significant or suggestive associations were examined as covariables in multivariable analysis. All P values from these models were derived from the Wald test or from likelihood ratio tests where the Wald test could not be computed, and are two-sided. No adjustments were made for multiple comparisons. The data set was locked for recording events on November 1, 1996.

RESULTS

Busulfan Levels

Table 1 presents the distribution of C<sub>SS</sub>BU for the patients in this study. The mean of these levels was 917 ng/mL (range, 642 to 1,749) and the median level was 917 ng/mL. The median clearance was 3.03 mL/min/kg, comparable to previous observations in adults.\(^{10,11}\)

Table 2 shows the patient characteristics at the time of transplant for the groups categorized as having C<sub>SS</sub>BU below or above the median. As shown, the two groups were generally similar except for a trend towards more younger patients in the group with higher C<sub>SS</sub>BU levels.

Regimen-Related Toxicity (RRT)

The median RRT score for patients above and below the median C<sub>SS</sub>BU was 1 and there was no statistically significant difference in the distribution of RRT scores between the two groups (Mann-Whitney test, \(P = .477\)). The most common RRT was mucositis (95% of patients) followed by veno-occlusive disease of the liver (VOD) (34%). Only one patient (C<sub>SS</sub>BU 833 ng/mL) developed severe RRT (grade 3 or 4), and that patient died on day 34 of VOD.

Relapse

Seven patients (five of 39 patients transplanted in CP and two of six patients transplanted in AP) had reappearance and persistence of Ph chromosomes in marrow cell metaphases after the transplant on days 57, 79, 183, 211, 227, 423, and 749. All relapses occurred in patients with C<sub>SS</sub>BU below the median value.

In the group of 21 patients with C<sub>SS</sub>BU below the median who were evaluated for relapse, the median number of cytogenetic examinations before relapse was detected was four (range, three to seven), and the median number of metaphases examined in each test was 20 (range, one to 30). In the group of 23 patients with C<sub>SS</sub>BU at or above the median, the median number of cytogenetic examinations was four (range, three to nine), and the median number of metaphases examined was 20 (range, two to 30).

Figure 1 shows the cumulative incidence estimates of persistent relapse for patients categorized as having C<sub>SS</sub>BU below (cumulative incidence, 0.384) or above (cumulative incidence, 0.000) the median. The difference between the groups was statistically significant (\(P = .0009\) univariate). In univariable Cox analysis, no other variable was significantly associated with relapse. However, because previous experience in larger series had demonstrated an association of relapse with donor gender and with age, the association of C<sub>SS</sub>BU with relapse was examined in multivariable analysis, and the \(P\) value was .0003 after adjustment for male donor and age greater than 40 years.

Graft Rejection

All patients achieved functional marrow engraftment and there were no significant differences between the groups of patients with C<sub>SS</sub>BU above or below the median for speed of engraftment as measured by the days on which granulocytes reached 100/\(\mu\)L (medians, 14 and 15, respectively, \(P = .54\)) or 500/\(\mu\)L (medians, 20 and 24, respectively, \(P = .52\)) or the days after which platelets were maintained without transfusion at 20,000/\(\mu\)L for 7 days (medians, 20 and 21 days, respectively, \(P = .26\)).

Tests for chimerism demonstrated persistent donor hematopoiesis in all of the patients who relapsed.

Survival

Eleven patients (nine of 39 patients transplanted during CP and two of six patients transplanted during AP) have

Table 2. Patient Characteristics

<table>
<thead>
<tr>
<th>Characteristic</th>
<th>(&lt;917) ng/mL</th>
<th>(\geq 916) ng/mL</th>
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</thead>
<tbody>
<tr>
<td>No. of patients</td>
<td>22</td>
<td>23</td>
</tr>
<tr>
<td>Gender</td>
<td></td>
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</tr>
<tr>
<td>Patient male with</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Donor male</td>
<td>8</td>
<td>8</td>
</tr>
<tr>
<td>Donor female</td>
<td>7</td>
<td>6</td>
</tr>
<tr>
<td>Patient female with</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Donor male</td>
<td>4</td>
<td>4</td>
</tr>
<tr>
<td>Donor female</td>
<td>3</td>
<td>5</td>
</tr>
<tr>
<td>Patient age</td>
<td></td>
<td></td>
</tr>
<tr>
<td>24 through 39 years</td>
<td>7</td>
<td>13</td>
</tr>
<tr>
<td>40 through 49 years</td>
<td>9</td>
<td>4</td>
</tr>
<tr>
<td>50 through 57 years</td>
<td>6</td>
<td>6</td>
</tr>
<tr>
<td>Interval diagnosis to transplant</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Less than 1 year</td>
<td>19</td>
<td>17</td>
</tr>
<tr>
<td>One year or more</td>
<td>3</td>
<td>6</td>
</tr>
<tr>
<td>CMV serostatus</td>
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<td></td>
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<tr>
<td>Patient seronegative and</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Donor seronegative</td>
<td>4</td>
<td>4</td>
</tr>
<tr>
<td>Donor seropositive</td>
<td>2</td>
<td>5</td>
</tr>
<tr>
<td>Patient seropositive and</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Donor seronegative</td>
<td>2</td>
<td>3</td>
</tr>
<tr>
<td>Donor seropositive</td>
<td>14</td>
<td>11</td>
</tr>
<tr>
<td>Year of transplant</td>
<td></td>
<td></td>
</tr>
<tr>
<td>1991 or 1992</td>
<td>4</td>
<td>2</td>
</tr>
<tr>
<td>1993 to 1994</td>
<td>12</td>
<td>14</td>
</tr>
<tr>
<td>1995</td>
<td>6</td>
<td>7</td>
</tr>
</tbody>
</table>
Fig 1. The cumulative incidence of relapse for patients transplanted for CML in CP or AP categorized on the basis of BU steady state concentrations (C<sub>ss</sub><sub>B</sub>) greater than or less than the median (917 ng/mL) during conditioning. Patients were censored on the date of last cytogenetic examination. One patient who died on day 34 did not have any posttransplant cytogenetic examinations and was not evaluated for relapse. Tic marks denote patients at risk of relapse. The difference between the groups is statistically significant (P = .0009).

The cumulative incidence of death without relapse was 0.208 in patients with C<sub>ss</sub><sub>B</sub> below the median and 0.176 in patients with C<sub>ss</sub><sub>B</sub> above the median. These incidences were not significantly different.

**GVHD**

*Acute GVHD.* One patient (C<sub>ss</sub><sub>B</sub> 833 ng/mL) developed grade 4 acute GVHD and died of VOD on day 34. Grade 3 acute GVHD developed in two patients in the group with C<sub>ss</sub><sub>B</sub> below the median and five patients with levels above the median. The cumulative incidence of grade 2 or worse acute GVHD was 0.57 in patients in the group with levels above the median and 0.54 in the group with levels below the median (P = .94).

*Chronic GVHD.* Of the 23 patients with C<sub>ss</sub><sub>B</sub> above the median, 17 (74%) developed clinically extensive chronic GVHD and three of these died (one of aspergillosis on day 211 and two of CMV pneumonia on days 160 and 268). Of the 22 patients with C<sub>ss</sub><sub>B</sub> below the median, 14 developed clinically extensive chronic GVHD, and three of these died (one each of aspergillosis on day 604, CMV pneumonia on day 280, and pneumonia of unknown etiology on day 215).

**Status of Survivors**

Thirty patients survive in continuing remission (19 from the group of 23 patients with C<sub>ss</sub><sub>B</sub> above the median value and 11 from the 22 patients with values below the median). There was no significant difference in the distribution of
Karnofsky scores between the two groups, although two of the patients with the higher C\textsubscript{SS}BU and none of those with the lower levels had scores of less than 80. Table 3 presents the Karnofsky scores for these patients.

### DISCUSSION

This study demonstrates a significantly increased risk of recurrent disease in patients with C\textsubscript{SS}BU below the median level during conditioning with the BU + CY regimen used in this study. No relapses occurred among patients who achieved BU levels above the median. The increased rate of relapse was not mediated by graft rejection, and BU levels were not significantly associated with survival, RRT, speed of engraftment, the incidence of acute or chronic GVHD, or the Karnofsky scores of disease-free survivors.

The lack of an association of C\textsubscript{SS}BU with graft rejection agrees with our previous report\(^1^0\) of a relationship between C\textsubscript{SS}BU and graft rejection in patients receiving grafts from HLA-identical siblings. In that study, rejection was observed only at C\textsubscript{SS}BU less than 200 ng/mL, ie, substantially lower than the lowest C\textsubscript{SS}BU observed in the present study (642 ng/mL).

The absence of severe (grade 3 or 4) RRT at C\textsubscript{SS}BU higher than 900 ng/mL contrasts with the higher incidence previously reported.\(^1^0\) In the previous study, severe RRT was observed in four of 11 patients with C\textsubscript{SS}BU above 900 ng/mL, whereas, in the present study no severe RRT was observed in patients with C\textsubscript{SS}BU above the median (917 ng/mL). The previous report included patients with a variety of diagnoses. In that study, only one of the patients with severe RRT had CML, and the others had diagnoses of acute myelogenous leukemia, multiple myeloma, and myelodysplasia. The relationship between RRT and BU plasma concentration reported in our previous study was in general agreement with that reported by others.\(^1^1\) It is likely that the ability to tolerate higher C\textsubscript{SS}BU in BU + CY regimens is diagnosis-dependent and perhaps reflects a more intensive use of prior cytotoxic therapy in patients transplanted for conditions other than CML.

We have reported elsewhere that adjustment of the oral BU dose based on first dose pharmacokinetics resulted in BU plasma levels within 10\% of the target.\(^1^2\) Given the findings reported here, we have revised our current protocol for allogeneic marrow transplantation of patients with CML in CP by incorporating this dose-adjustment strategy to ensure that the C\textsubscript{SS}BU is at least 900 ng/mL. We are not adjusting BU doses downward because we have not observed an increased risk of severe RRT in CML patients treated with this regimen and achieving high C\textsubscript{SS}BU. Maintaining C\textsubscript{SS}BU above 900 ng/mL may result in a reduced relapse rate compared with the overall relapse rate seen in this study and previously\(^1^3\) in patients receiving BU + CY conditioning.

### REFERENCES


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