Subcutaneous Erythropoietin for Treatment of Refractory Anemia in Hematologic Disorders. Results of a Phase I/II Clinical Trial

By Mario Cazzola, Luisa Ponchio, Yves Beguin, Vittorio Rosti, Gaetano Bergamaschi, Nicola L. Liberato, Vittorio Fregoni, Giulio Nalli, Giovanni Barosi, and Edoardo Ascani

We have used recombinant human erythropoietin (rHuEPO) in a phase I/II clinical trial to evaluate its ability to reverse refractory anemia in hematologic disorders. rHuEPO was administered subcutaneously 5 days per week at escalating doses (50 to 150 U/kg per day). The aim of treatment was a hemoglobin (Hb) level ≥10 g/dL without blood transfusion. Of 25 patients treated, 17 were evaluable, most of them with a regular need for transfusion. Eight of these had lymphoproliferative disorders (three cases of malignant lymphoma and five of monoclonal gammopathy) and were exposed to cytotoxic therapy. The other nine patients had hematopoietic stem cell disorders (four cases of myelodysplastic syndrome, three of idiopathic myelofibrosis, and two of chronic myelogenous leukemia). All patients with lymphoproliferative disorder had serum EPO levels inappropriately low for the degree of anemia, while patients with stem cell disorder showed variable values. Erythroid marrow activity was inadequate in all cases. Seven of eight patients with lymphoproliferative disorder responded to treatment maintaining Hb above 10 g/dL without transfusion. The median dose of rHuEPO required for correction of anemia was 75 U/kg. In four cases response was maintained with 50 U/kg, three times per week. There was no complete response among patients with hematopoietic stem cell disorder, although transfusion requirement was eliminated or reduced in four cases. Four patients developed functional iron deficiency during rHuEPO treatment and required iron supplementation to obtain response. Aggravation of splenomegaly was observed in two cases of myeloproliferative disorder. We conclude that: (1) subcutaneous administration of rHuEPO can be effective and safe in patients with lymphoproliferative disorder exposed to chemotherapy and showing inappropriate EPO response to anemia; (2) this is less likely if hematopoietic stem cell disorders, although favorable responses may be observed in occasional patients; and (3) functional iron deficiency as a cause of nonresponse to rHuEPO is frequent also in nonrenal anemia.

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R ECOMBINANT HUMAN erythropoietin (rHuEPO) has been shown to be effective, safe, and well tolerated in correcting the anemia of renal failure,13 which is due to a relative or absolute deficiency of EPO production by the diseased kidney.4 EPO response to anemia is frequently inadequate also in patients with chronic disorders;5 acquired immunodeficiency syndrome,6 and cancer.7 These conditions may involve excessive release of cytokines such as interleukin-1 (IL-1) and tumor necrosis factor (TNF), which might blunt the normal exponential relation between hematocrit and serum EPO.8 In animal models, these cytokines have been found to exert suppressive effects on erythropoiesis that can be reversed with exogenous EPO.9

A number of hematologic disorders are characterized by refractory anemia:10 these include hematopoietic stem cell disorders (aplastic anemia, myelodysplastic and myeloproliferative syndromes), malignant lymphomas, and monoclonal gammopathies. Various mechanisms are responsible for anemia in these conditions11,12 and defective EPO production might contribute to impaired red blood cell (RBC) production in some cases.1

In a combined phase I/II clinical trial, we have tested the efficacy and safety of rHuEPO administered subcutaneously to patients with anemia associated with malignant lymphoma, monoclonal gammopathy, and hematopoietic stem cell disorders.

PATIENTS AND METHODS

This study was approved by the ethical committee of the Department of Internal Medicine and Medical Therapy of the University of Pavia. The clinical trial protocol was a nonrandomized, escalating-dose regimen designed to investigate the efficacy and safety of rHuEPO for treatment of anemia in lymphoproliferative disorders and hematopoietic stem cell disorders. Patients were followed at the Clinica Medica 2, Department of Internal Medicine and Medical Therapy, University of Pavia, Pavia, and at the Department of Medicine, Ospedale di Bormio e Sondalo, Sondalo, Italy.

Subjects of the study. The 25 subjects who entered the study fulfilled the following major criteria: (1) age ≥18 years; (2) hemoglobin (Hb) value <10 g/dL, without or with transfusional requirement; (3) no evidence of additional cause for anemia apart from the basic disorder. No restriction was applied with respect to life expectancy, so that also subjects with advanced disease were enrolled. All patients gave written informed consent to participation in the trial.

EPO. The rHuEPO used in the study was provided by Boehringer Mannheim Italia S.p.A., Monza, Italy.

Treatment with rHuEPO. rHuEPO was administered once daily subcutaneously for 5 days per week (Monday to Friday), on an outpatient basis whenever possible.

The aim of treatment was a Hb level ≥10 g/dL without transfusion, and this was defined as complete response. Elimination of transfusion requirement with Hb levels <10 g/dL, or reduction in transfusion requirement were both defined as partial response.

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The initial dose of rHuEPO was 50 units per kilogram of body weight. If complete response was not achieved within 4 weeks, dose was increased to 75 units per kilogram. Using the same criterion, escalations to 100 and 150 units per kilogram were performed at 4-week intervals. If there was no response within 16 weeks, dose of rHuEPO was increased to 75 units per kilogram. Using the same criterion, dose of rHuEPO was adjusted so that Hb concentration was maintained at about 11 g/dL. Adjustment was performed on both dose and number of weekly administrations.

**Blood counts.** Complete blood counts were performed weekly. Reticulocyte count was corrected to account for anemia.

**Body iron status and iron supplementation during the study.** Body iron status was evaluated by measuring serum iron, TIBC, and serum ferritin. Oral iron supplements (80 to 100 mg/d) were commenced if at least one of the following events occurred: (1) serum iron <50 μg/dL; (2) transferrin saturation <20%; (3) serum ferritin <100 μg/L.

**Serum EPO assay.** Circulating EPO levels were measured by a commercially available radioimmunoassay (Incstar Corp, Stillwater, MN), which uses rHuEPO for tracer and standards. To define EPO levels appropriate or inappropriate for a given degree of anemia, the exponential regression of serum EPO versus hematocrit (Hct) was determined in reference subjects (102 normal individuals or patients with iron deficiency anemia, hemolytic anemia, or hypoplastic anemia) and the 95% confidence limits were defined. For Hct values ≤38%, the regression equation was: log(EPO) = 4.746 - (0.093 × Hct). For Hct values >38%, the regression equation was: log(EPO) = 1.381 - (0.005 × Hct). Based on these equations, the observed/predicted log(EPO) ratio (O/P ratio) was derived for each sample. The O/P ratio averaged 1.00 ± 0.11 in reference subjects (95% confidence interval: 0.80 to 1.19).

**Measurement of serum transferrin receptor (TfR).** The amount of serum TfR was estimated with an enzyme-linked polyclonal antibody assay, using purified placental receptor-transferrin complexes as a reference standard and rabbit antibodies, as described in detail elsewhere. TfR levels in 165 normal control subjects were 5,000 ± 1,100 μg/L, 95% confidence limits ranging from 2,900 to 7,100 μg/L.

Because serum TfR provides an estimate of erythroid marrow activity, it was used in a functional classification of anemia, whose rationale is described in detail elsewhere. Erythroid activity was determined as the ratio between the patient’s serum TfR and the mean normal value. An erythroid activity equal to or greater than three times normal was considered as adequate marrow response to anemia. Values below the normal range in the presence of anemia were defined as absolute marrow failure, whereas values from normal to three times normal were defined relative marrow failure.

**Data analysis and presentation.** Data were stored, analyzed, and reported with the packages Exstatix (Select Micro Systems Inc, Yorktown Heights, NY), and DeltaGraph (DeltaPoint Inc, Monterey, CA), both run on a Macintosh SE/30 (Apple Computer Inc, Cupertino, CA) personal computer.

## RESULTS

Twenty-five patients entered the clinical trial. Of these, only 17 completed the study, showed adequate compliance, and were considered evaluable. Eight subjects did not complete the study.

**Withdrawals.** Reasons for withdrawals are reported in Table 1. Of the eight withdrawals, five were due to disease progression responsible for the patient’s death (case no. 1 to no. 4) or leukemic transformation (case no. 5). Three other patients were withdrawn by investigators: a patient with idiopathic myelofibrosis showed aggravation of splenomegaly during treatment, with spleen size returning to basal values after discontinuation of rHuEPO; a woman with myelodysplastic syndrome showed elevation of serum transaminases, whose levels returned normal after rHuEPO.

<table>
<thead>
<tr>
<th>Patient, No., Sex, Age (yr)</th>
<th>Condition</th>
<th>Reason of Withdrawal and Comment on Response</th>
</tr>
</thead>
<tbody>
<tr>
<td>1, F, 58</td>
<td>Multiple myeloma, clinical stage III, refractory to chemotherapy, high transfusion requirement</td>
<td>Died of progressive disease after 6 wk of treatment. No evidence of response to rHuEPO</td>
</tr>
<tr>
<td>2, F, 75</td>
<td>Multiple myeloma, clinical stage III, high transfusion requirement</td>
<td>Died of renal failure after 3 wk of treatment. Showed reticulocytosis</td>
</tr>
<tr>
<td>3, M, 46</td>
<td>Waldenström’s macroglobulinemia, transfusion requirement</td>
<td>Died of progressive disease after 8 wk of treatment. Showed reticulocytosis</td>
</tr>
<tr>
<td>4, F, 43</td>
<td>Non-Hodgkin lymphoma, refractory to radiotherapy and chemotherapy, transfusion requirement</td>
<td>Died of progressive disease after 5 wk of treatment. No evidence of response</td>
</tr>
<tr>
<td>5, M, 62</td>
<td>Myelodysplastic syndrome (refractory anemia with excess of blasts in transformation), transfusion requirement</td>
<td>Progressed to AML during the second month, and rHuEPO was stopped. No evidence of response</td>
</tr>
<tr>
<td>6, M, 69</td>
<td>Idiopathic myelofibrosis, transfusion requirement</td>
<td>rHuEPO was stopped due to aggravation of splenomegaly after 4 wk of treatment. No evidence of response</td>
</tr>
<tr>
<td>7, M, 63</td>
<td>Multiple myeloma, clinical stage III, pancytopenia and transfusion requirement</td>
<td>rHuEPO was stopped after 3 wk of treatment because of severe epistaxes (platelet count was 13 × 10^5/L). Showed reticulocytosis</td>
</tr>
<tr>
<td>8, F, 70</td>
<td>Myelodysplastic syndrome (refractory anemia, transfusion requirement</td>
<td>Elevation of serum AST and ALT; levels returned normal after discontinuation of rHuEPO. No evidence of response in 6 wk</td>
</tr>
</tbody>
</table>
was stopped; and in another patient (multiple myeloma), treatment was discontinued because of the appearance of severe epistaxes, likely due to the pre-existing thrombocytopenia.

**Evaluative patients.** Seventeen patients had data suitable for analysis. Eight of them had lymphoproliferative disorders (three cases of malignant lymphoma and five of monoclonal gammopathy, see Table 2). The other nine patients had hematopoietic stem cell disorders (four cases of myelodysplastic syndrome, three cases of idiopathic myelofibrosis, and two cases of chronic myelogenous leukemia, see Table 3).

**Appropriateness of EPO response to anemia and erythroid marrow activity.** As shown in Fig 1, 10 of 17 patients had values for serum EPO inappropriately low for the degree of anemia, and other four patients had borderline values. In particular, all patient with lymphoma or monoclonal gammopathy showed low EPO levels. The most defective values were found in the three patients with renal failure (arrowed symbols in Fig 1; patients no. 7 and 8 in Table 2 and patient no. 1 in Table 3).

Additional information was provided by the ratio of observed-to-predicted EPO levels. As shown in Fig 2, all patients with lymphoma or monoclonal gammopathy had O/P ratios below the reference range, whereas all but two patients with hematopoietic stem cell disorder had values within the reference range.

In all the patients studied, erythroid marrow activity ranged from 0.3 to 2 times normal, without any significant difference between lymphoproliferative disorders and hematopoietic stem cell disorders (Fig 3). Thus, erythroid activity was inappropriately low for the degree of anemia, indicating that the marrow response to long-lasting anemia was inadequate in all cases.11,12

**Erythroid response to rHuEPO and body iron status.** As reported in Table 2, seven of eight patients with lymphoma or monoclonal gammopathy showed a complete response to rHuEPO, eliminating the need for transfusion and maintaining Hb levels greater than 10 g/dL. The median rHuEPO dose required for correcting anemia was 75 U/kg. The only patient who did not respond was a woman with refractory IgM multiple myeloma: she had been heavily exposed to cytotoxic therapy and needed several plasmapherises during rHuEPO administration for control of a severe hyperviscosity syndrome. It is interesting to note that erythroid response was associated with an improvement in the basic disorder in only one case (no. 3 in Table 2); the remaining patients showed an erythropoietic response despite a stable or even worsening basic condition.

Three of the seven responders developed functional or relative iron deficiency, ie, a combination of low serum iron (<50 µg/dL), low transferrin saturation (<20%), and normal to high serum ferritin. In these three cases oral iron supplementation resulted in a further increase in Hb concentration, as shown by the example reported in Fig 4.

In four cases, response was maintained with a rHuEPO dose of 50 U/kg, three times weekly, for periods ranging from 4+ to 9+ months.

None of the nine patients with hematopoietic stem cell disorder showed a complete response to treatment (Table 3). Partial responses were observed in cases no. 3, 6, 8, and 9; this latter case is reported in Fig 5. With the only exception of case no. 6, responses were transient. Patient no. 6 (idiopathic myelofibrosis) developed functional iron deficiency and required iron supplementation to obtain response. This case was peculiar also in that the partial response was maintained after rHuEPO was stopped; likely, chemotherapy was responsible for worsening of anemia before treatment with rHuEPO.

**Response predictive factors and response indicators.** All patients showing a complete response to rHuEPO had serum EPO levels inappropriately low for the degree of anemia and O/P ratios below the reference range. There was no relationship between response and erythroid marrow activity (Spearman R .12, P > .05).

All responding patients showed an increase in reticulocyte count and serum transferrin receptor, and a decrease in serum iron and serum ferritin (examples are reported in Figs 4 and 5). Circulating transferrin receptor appeared to be the earliest and most reliable predictor of response. In the group of lymphoproliferative disorders, all seven responders showed a consistent increase in its level after 2 weeks of treatment. The maximum increase in circulating transferrin receptor ranged from 35% to 200% (median value: 133%). Despite these percentage changes, maximum values ranged from normal to about three times basal, so that in no case erythroid response increased into the "adequate range" of Fig 3.

Nonresponders showed no significant increase in serum transferrin receptor level both at 2 weeks and later in the course of treatment. However, there were two exceptions: a patient with idiopathic refractory anemia and a patient with idiopathic myelofibrosis (cases no. 1 and no. 5 in Table 3). In these two cases, there was a consistent increase in circulating transferrin receptor with marginal elevations in reticulocyte count and no change in transfusion requirement, suggesting that rHuEPO essentially stimulated ineffective erythropoiesis.

**Adverse effects.** Two patients were withdrawn from study because of possible adverse effects: aggravation of splenomegaly in a patient with idiopathic myelofibrosis (case no. 6 in Table 1) and elevation of serum transaminases in a patient with myelodysplastic syndrome (case no. 8 in Table 1). We also noticed a splenic infarction in a patient with chronic myelogenous leukemia (case no. 8 in Table 3); however, this woman had had a splenic infarction a few months before, during progression from chronic to accelerated phase of chronic myelogenous leukemia (CML). rHuEPO was discontinued for 1 week, and no adverse effect was observed after restarting it with the patient’s consent.

**DISCUSSION**

The potency of rHuEPO has not gone unnoticed outside nephrology.15 Preliminary reports indicate that EPO can correct the anemia associated with malignant lymphoma or multiple myeloma,16,17 whereas it is probably less effective in
<table>
<thead>
<tr>
<th>Patient No., Sex, Age (yr)</th>
<th>Condition</th>
<th>Hb (g/dL)</th>
<th>Transfusion Requirement (U/mo)</th>
<th>Response Pattern</th>
<th>Management Issues, Adverse Effects, and Outcome</th>
</tr>
</thead>
<tbody>
<tr>
<td>1, M, 45</td>
<td>Malignant lymphoma (WF:E, diffuse, small cleaved), clinical stage IV (heavy marrow involvement), at clinical onset and under chemotherapy</td>
<td>7.8</td>
<td>Occasional</td>
<td>Complete response, obtained with 150 U/kg of rHuEPO. Peak Hb: 12.8 g/dL</td>
<td>Treatment induced functional iron deficiency and response required iron supplementation (see Fig 4). Hb level is now maintained between 11 and 12 g/dL with 3,000 U of rHuEPO (50 U/kg) administered 3 times weekly (duration of response: 8+ mo)</td>
</tr>
<tr>
<td>2, M, 66</td>
<td>Malignant lymphoma (WF:H, large cell immunoblastic) in relapse. No bone marrow involvement; worsening of anemia with chemotherapy</td>
<td>8.8</td>
<td>2</td>
<td>Complete response, obtained with 50 U/kg of rHuEPO. Peak Hb: 12.1 g/dL</td>
<td>Hb level was maintained above 10 g/dL with 3,000 U of rHuEPO 3 times weekly. Did not respond to chemotherapy and died of progressive disease: response was maintained for 3 mo</td>
</tr>
<tr>
<td>3, F, 33</td>
<td>Malignant lymphoma (large cell, B with sclerosis) clinical stage IV (no marrow involvement). Worsening of anemia with chemotherapy</td>
<td>8.0</td>
<td>0</td>
<td>Complete response, obtained with 75 U/kg of rHuEPO. Peak Hb: 15.5 g/dL</td>
<td>Treatment induced functional iron deficiency, and response required oral iron supplementation. Complete remission was obtained with MACOP-B, and Hb level remained normal after rHuEPO was discontinued</td>
</tr>
<tr>
<td>4, M, 52</td>
<td>Multiple myeloma in relapse. Worsening of anemia with chemotherapy</td>
<td>8.1</td>
<td>6</td>
<td>Complete response, obtained with 75 U/kg of rHuEPO. Peak Hb: 11.0 g/dL</td>
<td>Hb was maintained between 10 and 11 g/dL with the same dose of rHuEPO. Patient died of progressive disease: response was maintained for 4 mo</td>
</tr>
<tr>
<td>5, M, 55</td>
<td>Multiple myeloma in relapse, under chemotherapy</td>
<td>7.7</td>
<td>2</td>
<td>Complete response, obtained with 100 U/kg of rHuEPO. Peak Hb: 14.7 g/dL</td>
<td>At the peak Hb level of 14.7 g/dL rHuEPO was discontinued. Hb fell to 10.4 g/dL; rHuEPO was restarted and a new response has now been obtained (duration of response: 9+ mo)</td>
</tr>
<tr>
<td>6, F, 66</td>
<td>Multiple myeloma in relapse, under chemotherapy</td>
<td>7.2</td>
<td>Occasional</td>
<td>No response</td>
<td>rHuEPO induced a sustained reticulocytosis but there was no stable increase in Hb. This patient underwent frequent plasmaphereses due to hyperviscosity syndrome</td>
</tr>
<tr>
<td>7, F, 69</td>
<td>Multiple myeloma in relapse, under chemotherapy</td>
<td>7.5</td>
<td>4</td>
<td>Complete response, obtained with 50 U/kg of rHuEPO. Peak Hb: 11.7 g/dL</td>
<td>This patient had renal failure (creatinine: 3.50 mg/dL). Response maintained for 4+ mo with 3 weekly administrations (50 U/kg)</td>
</tr>
<tr>
<td>8, M, 57</td>
<td>AL amyloidosis, under chemotherapy</td>
<td>8.9</td>
<td>3</td>
<td>Complete response, obtained with 75 U/kg of rHuEPO. Peak Hb: 12.8 g/dL</td>
<td>This patient had renal failure (creatinine: 2.30 mg/dL). Response required iron supplementation due to the appearance of functional iron deficiency, and is maintained with 3 week administrations (50 U/kg) (duration of response: 7+ mo)</td>
</tr>
</tbody>
</table>
the treatment of the anemia associated with hematopoietic stem cell disorders.16-22

In the present clinical study, we tried to define the abnormalities in erythron function and to correlate them with the effects of rHuEPO. EPO production was quantitated by serum levels and erythropoiesis was evaluated by the determination of serum transferrin receptor and reticulocyte count. Serum EPO level is useful in investigating whether defective EPO production contributes to anemia.4,13 Circulating transferrin receptor is derived primarily from erythroid precursors in the bone marrow, and its level provides a reliable measurement of total erythroid activity.14,25 The corrected reticulocyte count is an index of RBC production, ie, of effective erythropoiesis.10

Instead of only quantitating serum EPO levels in absolute terms, we evaluated them in relation to the degree of anemia. Serum EPO levels were inappropriately low for the degree of anemia in more than half of the patients studied (Fig 1). All patients with malignant lymphoma or multiple myeloma showed a markedly defective production as indicated by the low O/P ratios (Fig 2). These patients had been exposed previously, and were exposed during the study to cytotoxic therapy. This is a factor potentially responsible for defective EPO production. It has been shown, in fact, that chemotherapy can blunt the normal exponential increase in circulating EPO that occurs with anemia.3,22 In this respect, it is notable that borderline O/P ratios (0.78 and 0.82) were found in the two patients with chronic myelogenous leukemia under chemotherapy. As already mentioned, EPO production may be suppressed also by an excessive release of cytokines such as IL-1 and TNF.7 The blunted EPO response, however, is unlikely to be the only factor responsible for anemia in the above conditions. Erythroid marrow can be suppressed directly by inhibitory cytokines, and by chemotherapy or radiotherapy themselves.

Patients with hematopoietic stem cell disorders showed variable EPO responses, more often within the normal

<table>
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<tr>
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<th>Transfusion Requirement (U/mo)</th>
<th>Response Pattern</th>
<th>Management Issues, Adverse Effects, and Outcome</th>
</tr>
</thead>
<tbody>
<tr>
<td>1, M, 80</td>
<td>Myelodysplastic syndrome (refractory anemia with ring sideroblasts, Transfusional hemochromatosis)</td>
<td>6.2</td>
<td>8</td>
<td>No response</td>
<td>This patient had mild renal failure (creatinine: 2.7 mg/dL)</td>
</tr>
<tr>
<td>2, M, 58</td>
<td>Myelodysplastic syndrome (refractory anemia with excess of blasts)</td>
<td>8.4</td>
<td>4</td>
<td>No response</td>
<td>Percentage of bone marrow blasts was stable</td>
</tr>
<tr>
<td>3, F, 68</td>
<td>Myelodysplastic syndrome (refractory anemia)</td>
<td>7.5</td>
<td>2</td>
<td>Partial response (Hb maintained between 9 and 10 g/dL without transfusion for 3 mo)</td>
<td>Reappearance of transfusion requirement after rHuEPO was discontinued</td>
</tr>
<tr>
<td>4, M, 44</td>
<td>Myelodysplastic syndrome (refractory anemia)</td>
<td>6.4</td>
<td>8</td>
<td>No response</td>
<td>Stable disease</td>
</tr>
<tr>
<td>5, M, 56, 6, F, 57</td>
<td>Idiopathic myelofibrosis, treated with hydroxyurea for thrombocytosis (this produced prolonged myelosuppression)</td>
<td>8.2</td>
<td>6</td>
<td>No response</td>
<td>No change in spleen size</td>
</tr>
<tr>
<td></td>
<td>Idiopathic myelofibrosis</td>
<td>6.5</td>
<td>2</td>
<td>Partial response (elimination of transfusion requirement) Peak Hb: 9.6 g/dL</td>
<td>Treatment included functional iron deficiency and response required oral iron supplementation. rHuEPO was tapered off, and Hb was stable between 9 and 10 g/dL 5 mo after HuEPO was stopped. Hydroxyurea had been stopped one month before initiation of rHuEPO treatment</td>
</tr>
<tr>
<td>7, M, 65</td>
<td>Idiopathic myelofibrosis</td>
<td>7.0</td>
<td>6</td>
<td>No response</td>
<td>No change in spleen size</td>
</tr>
<tr>
<td>8, F, 63</td>
<td>Chronic myelogenous leukemia in accelerated phase, treated with hydroxyurea and 6-mercaptopurine</td>
<td>8.8</td>
<td>6</td>
<td>Reduction in transfusion requirement (from 6 to 2 U/mo) for 2 mo</td>
<td>Splenic infarction during the second week of treatment (there had been a previous one before treatment). rHuEPO was continued and spleen size returned to basal. There was no apparent effect on peripheral and marrow blast counts</td>
</tr>
<tr>
<td>9, F, 73</td>
<td>Chronic myelogenous leukemia with worsening anemia</td>
<td>7.6</td>
<td>5</td>
<td>Elimination of transfusion requirement for 3 mo (peak Hb 9.8 g/dL, see Fig 5)</td>
<td>Stable disease</td>
</tr>
</tbody>
</table>

Table 3. Clinical Data and Response to rHuEPO in the Nine Patients With Hematopoietic Stem Cell Disorders
Fig 1. Relationship between serum EPO levels and Hct in the patients studied. Reference subjects are represented by their 95% confidence limits (dashed lines). The three patients arrowed had mild degrees of renal failure (see Tables 2 and 3). The two patients with high EPO levels were studied at the Sondalo Hospital (1,200 m above sea level).

range (Fig 2). This agrees with results of a study on myelodysplastic syndromes. Despite of an appropriate EPO production, however, erythroid marrow activity was inadequate in all subjects, and this is due to the intrinsic stem cell defect.

While planning this study, the subcutaneous route for rHuEPO administration was chosen for convenience. In addition, a number of studies have shown that subcutaneous injections provide more sustained plasma levels, suggesting that this route could be also more effective.

There was a substantial difference in response to rHuEPO between patients with malignant lymphoma or monoclonal gammopathy and those with hematopoietic stem cell disorders. The first ones responded to treatment and maintained Hb values above 10 g/dL, whereas the second ones showed only partial or transient responses. Such a difference was evident also when considering the three patients with mild degrees of renal failure: the two patients with monoclonal gammopathy (nos. 7 and 8 in Table 2) showed prompt responses with low doses of rHuEPO, whereas the patient with sideroblastic anemia (no. 1 in Table 3) did not respond.

One reason for the above difference is undoubtedly the different degree of EPO response to anemia. According to Erslev, patients with values <100 mU/mL are very likely to respond to rHuEPO, those with levels from 100 to 500 mU/mL would require higher doses, and those with values >500 mU/mL are very unlikely to have any beneficial effect. All responding patients in this study had values lower than 100 mU/mL, so that defective EPO production likely contributed to their impaired RBC production.

However, additional factors must influence the response to rHuEPO, because patients with hematopoietic stem cell disorder and defective EPO production did not respond to treatment. Subjects with malignant lymphoma or monoclonal gammopathy have residual normal stem cells that sustain erythropoiesis, and erythroid progenitors can be stimulated by pharmacologic doses of EPO. In contrast, hematopoiesis is clonal in patients with stem cell disorders, and the erythroid cells belong to the abnormal clone. The proliferative potential of the most immature erythroid progenitors and their sensitivity to growth factors are reduced in myelodysplastic syndromes and cannot be
with rHuEPO may be of limited benefit in these subjects. In fact, clinical trials have shown that only about 10% of patients with myelodysplastic syndrome respond to rHuEPO with a stable increase in Hb, while another 10% show just a reduction in transfusion requirement. Responding patients have lower EPO levels and, probably, erythroid progenitors still responsive to the growth factor; therefore, determining serum EPO and assaying circulating erythroid progenitors might be useful in selecting patients for rHuEPO treatment.

**Fig 3.** Erythroid marrow activity in the patients studied. Erythroid activity was determined as the ratio between the patient's serum transferrin receptor and the mean normal value. An erythroid activity equal to or greater than three times normal was considered as adequate marrow response to anemia. Values below the normal range (i.e., below the lower 95% confidence limit) were defined as absolute marrow failure, whereas values from normal to three times normal were defined relative marrow failure. The rationale of this functional classification of anemia has been described in detail elsewhere.

Corrected by saturating rHuEPO concentrations in vitro. Moreover, RBC production is variably ineffective in both myelodysplastic syndromes and myeloproliferative disorders. These observations would suggest that treatment

**Fig 4.** The effect of rHuEPO on erythropoiesis and iron status in a patient with intermediate-grade malignant lymphoma (Working Formulation: E, diffuse, small cleaved) and heavy marrow involvement (90% infiltration). At clinical onset, this 45-year-old man had moderate anemia (Hb 9.5 g/dL) but Hb decreased after starting chemotherapy. rHuEPO treatment was started 3 weeks after initiation of chemotherapy, when Hb was 7.5 g/dL. Response to rHuEPO was initially suggested by the increase in serum TfR (from 6,800 to 13,900 pg/L) but was scarce in terms of Hb. Blood transfusions were required to control exertional dyspnea. At 150 U/kg, Hb started to increase but serum iron decreased to 48 μg/dL and transferrin saturation to 15%. Iron supplementation was given: there was prompt reticuloendothelial exhaustion and Hb increased steadily from 10.3 to 12.8 g/dL. Bone marrow involvement by lymphoma did not change significantly during rHuEPO treatment, and the patient was considered unresponsive to chemotherapy. Hb level is now maintained between 11 and 12 g/dL with 3,000 U of rHuEPO (50 U/kg) administered 3 days per week.
Few adverse effects were observed in this study. However, aggravation of splenomegaly in myeloproliferative disorders needs to be underlined because two similar cases have been recently reported.\textsuperscript{31} Stimulation of extramedullary erythropoiesis\textsuperscript{32} likely plays a role.

A management issue to remark is the high frequency of functional iron deficiency associated with rHuEPO treatment. Erythropoietic response can be blunted by an impaired iron supply to the erythroid marrow.\textsuperscript{32} This condition is characterized by a reduced serum iron ( <50 µg/dL) and transferrin saturation ( <20%). Serum ferritin is less reliable for this purpose since it primarily reflects storage iron.\textsuperscript{36} Functional iron deficiency might be detected also by the measurement of serum transferrin receptor\textsuperscript{33}: an increase in serum transferrin receptor with a stable Hb may indicate that the iron demand exceeds the ability of the reticuloendothelial system to release iron to transferrin. The elevation in serum transferrin receptor in patients with iron deficiency is related to an increased transferrin receptor number on individual erythroblasts rather than to an increase in erythroid cellularity.\textsuperscript{34} As a practical conclusion, we suggest to give oral iron supplementation routinely to all patients treated with rHuEPO who do not have iron overload. It can be anticipated that some patients who do not respond to oral iron might have beneficial effects from intravenous iron.\textsuperscript{35}

The final question is cost. In Italy, the price of one unit of RBCs is about $75, but the cost for the community may be as high as $200 if one accounts for all the costs related to blood donation and transfusion. The price of 1,000 U of rHuEPO is about $14 for hospital pharmacies. A transfusion requirement of 4 U of blood per month would cost the community $9,000 to $10,000 per annum, excluding transfusion complications. Should such a patient respond to rHuEPO, 75 U/kg five times weekly, and be maintained with 50 U/kg three times weekly, the cost would be $8,500 per annum. Under these circumstances rHuEPO would be also cost effective.

In conclusion, subcutaneous administration of rHuEPO can be effective and safe in patients with lymphoproliferative disorder exposed to chemotherapy and showing inappropriate EPO response to anemia. Phase III clinical trials are required to better define the impact of this treatment on the clinical course of these conditions.

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