Deep-sequencing approach for minimal residual disease detection in acute lymphoblastic leukemia

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The persistence of minimal residual disease (MRD) during therapy is the strongest adverse prognostic factor in acute lymphoblastic leukemia (ALL). We developed a high-throughput sequencing method that universally amplifies antigen-receptor gene segments and identifies all clonal gene rearrangements (ie, leukemia-specific sequences) at diagnosis, allowing monitoring of disease progression and clonal evolution during therapy. In the present study, the assay specifically detected 1 leukemic cell among greater than 1 million leukocytes in spike-in experiments. We compared this method with the gold-standard MRD assays multiparameter flow cytometry and allele-specific oligonucleotide polymerase chain reaction (ASO-PCR) using diagnostic and follow-up samples from 106 patients with ALL. Sequencing detected MRD in all 28 samples shown to be positive by flow cytometry and in 35 of the 36 shown to be positive by ASO-PCR and revealed MRD in 10 and 3 additional samples that were negative by flow cytometry and ASO-PCR, respectively. We conclude that this new method allows monitoring of treatment response in ALL and other lymphoid malignancies with great sensitivity and precision. The www.clinicaltrials.gov identifier number for the Total XV study is NCT00137111. (Blood. 2012;120(26):5173-5180)

Introduction

The clinical management of patients with acute lymphoblastic leukemia (ALL) relies on accurate prediction of relapse hazard to determine the intensity of therapy and to avoid over- or undertreatment.1-3 Traditional prognostic factors include presenting clinical and biologic features such as age, blast count at diagnosis, immunophenotype, and genetic abnormalities.1-2 Based on a large body of evidence involving thousands of patients, the measurement of residual leukemia levels, “minimal residual disease” (MRD), during therapy has now emerged as the most important predictor of outcome in ALL.3,4 As a result, risk-classifications based on MRD assessment are now a critical component of ALL clinical treatment protocols.

Current methodologies to monitor MRD in ALL include flow cytometric detection of aberrant immunophenotypes, which can detect 1 leukemic cell among 10 000 (0.01%) normal cells, and allele-specific oligonucleotide PCR (ASO-PCR) amplification of immunoglobulin (Ig) and T-cell receptor (TCR) genes, which has a sensitivity of 0.001%.3,5 Although these methods have proven to be reliable in a clinical setting, they have limitations. Flow cytometry requires a high level of expertise to interpret results proficiently. ASO-PCR requires the development of reagents and assay conditions for each individual patient, which is laborious and time-consuming. Moreover, these methods have limited or no capacity to monitor the evolution of different leukemic subclones during treatment, with the potential of false-negative results. Finally, patients who achieve MRD− status by standard criteria but have very low levels of persistent leukemia have a higher risk of relapse than those with no detectable MRD,5 suggesting that improvements in sensitivity of MRD monitoring methods might improve precision in predicting relapse.

We developed a novel, sequencing-based method to identify cells with specific molecular signatures. The method employs consensus primers to universally amplify rearranged Ig and TCR gene segments in a sample and relies on high-throughput sequencing and specifically designed algorithms to identify clonal gene rearrangements in diagnostic samples and quantify these rearrangements in follow-up MRD samples. In the present study, we assessed the suitability of this method to monitor MRD in ALL. We determined its sensitivity and specificity, delineated the extent of genetic diversity (including clonal evolution) present at diagnosis, and compared its capacity to measure MRD with that of flow cytometry and ASO-PCR in follow-up samples from more than 100 patients with ALL.

Methods

Clinical samples

BM samples were collected at diagnosis and during treatment from 110 children with newly diagnosed B-lineage ALL, representing 22.1% of the 498 patients enrolled in the Total XV study at St Jude Children’s Research Hospital.7 The sample selection for this study was based on the demonstrated presence of an immunoglobulin heavy chain locus (IGH, IgH) clonal rearrangement at diagnosis, ASO-PCR MRD data in a follow-up sample, and abundant surplus DNA available. This study was conducted in accordance with Declaration of Helsinki principles, was


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approved by the St Jude Institutional Review Board, and written informed consent was obtained from the parents or guardians of each child (and assent from the patients as appropriate).

**MRD measurements by ASO-PCR and flow cytometry**

ASO-PCR MRD studies were performed as described previously, with MRD quantification performed by limiting-dilution (n = 34) or real-time PCR (n = 76). Briefly, we made 10-fold serial dilutions of diagnostic DNA in pooled peripheral blood DNA extracted from mononuclear cells of 4-6 healthy donors and analyzed each dilution in duplicate (in quadruplicate for the single-copy dilution). For the limiting dilution method, we used either a 2-round (semi-nested or with the same patient-specific and consensus primer in both rounds) or a 1-round PCR assay with an initial touchdown phase and quantitated MRD using Poisson statistics. Real-time PCR analysis was performed by a single PCR amplification with sequence-specific TaqMan hydrolysis probes on an ABI PRISM Sequence Detection System 7700 (Applied Biosystems). MRD studies by flow cytometry were performed using a combination of markers identified at diagnosis, as described previously.

**MRD measurements with the Sequenta LymphoSIGHT method**

Genomic DNA, isolated using AllPrep DNA mini and/or micro kits (QIAGEN), was amplified using locus-specific primer sets for IgH@ complete (VαDHα), IgH@ incomplete (DαHα), and TCRs including TRB@, TRD@, and TRG@, which were designed to allow for the amplification of all known alleles of the germline IgH and TCR sequences (for a description of the primer design and amplification and sequencing reactions, see supplemental Methods, available on the Blood Web site; see the Supplemental Materials link at the top of the online article). A clonotype was defined when at least 2 identical sequencing reads were obtained (see supplemental Methods).

The frequency of each clonotype in a sample was determined by calculating the number of sequencing reads for each clonotype divided by the total number of passed sequencing reads in the sample. To define leukemic gene rearrangements in samples obtained at diagnosis, we used a frequency threshold of 5% (ie, any clonotype present at a frequency of > 5% was regarded as originating from the leukemic clone). In preliminary studies, the frequency of individual clonotypes among normal B-cell populations was consistently below this threshold.

We used the following criteria to identify any clonotypes present in the same diagnostic sample that might have evolved from the leukemic clone through Vβ replacement: (1) identical J and D segments, (2) identical J segment deletion size, (3) identical D segment deletion size (the side next to the J segment), (4) random N base insertions between the J and D segments, and (5) different V segments.

The leukemia-derived sequences identified at diagnosis were used as a target to assess the presence of MRD in follow-up samples. For MRD quantitation, we generated multiple sequencing reads for each rearranged B cell in the reaction. For example, in cells containing an IgH rearrangement (ie, B cells), the MRD assay was designed to achieve approximately 10% coverage per B cell.

To determine the absolute measure of the total leukemia-derived molecules present in the follow-up sample, we added a known quantity of reference IgH sequence into the reaction and counted the associated sequencing reads. The known quantity of reference IgH sequence was derived from a pool of plasmids containing 3 unique IgH clonotypes quantitated using standard RT-PCR methods. The resulting factor (ie, the number of molecules per sequence read) was then applied to the leukemia-associated clonal rearrangement reads to obtain an absolute measure of the total leukemia-derived molecules in the reaction. A similar calculation can be performed to assess the total number of rearranged IgH molecules or B-lineage cells in the reaction. Finally, we calculated the total leukocytes in the reaction by measuring the total DNA using standard Picogreen methods and RT-PCR using genomic markers such as β-actin DNA.

These metrics were combined to calculate a final MRD measurement, which is the number of leukemia-derived molecules divided by the total leukocytes in the sample. In cases in which there were multiple index clones, we used the highest frequency index clone to calculate the percentage of MRD in follow-up samples. This quantitation scheme is illustrated schematically in Figure 1B.

### Assessment of Sequenta LymphoSIGHT platform technical performance

Diagnostic samples from 12 ALL patients were used for technical assessment of the LymphoSIGHT platform. The selected samples had a > 95% clonal rearrangement frequency among B-lineage cells. In addition, the samples were almost exclusively composed of leukemia B-lineage cells based on equivalence between the total number of rearranged IgH molecules and leukocytes in each sample.

Serial dilutions of the 12 selected samples were prepared in duplicate in normal PBMCs at a range between 1 in 1 million and 1 in 1000 cells. Dilutions were then subjected to amplification and sequencing in 2 replicate experiments. Correction factors for sample purity and dilution preparations were applied and RT-PCR based measurements of the sample concentration were used for increased accuracy. We compared expected and measured frequencies in log space. For correlation and slope, the lowest dilution was excluded because some values were 0.

### Results

**Sensitivity, precision, and quantitative capacity of the immune repertoire sequencing assay**

Figure 1 provides an overview of the Sequenta LymphoSIGHT method. Using universal primer sets, we first amplified IgH@ and TCR sequences from genomic DNA in a 2-stage PCR. The amplified product was sequenced to obtain a high number of reads (eg, 10^6 reads; Figure 1A). Typically, the number of reads exceeded the number of starting molecules, allowing every starting molecule to be sampled. The sequence reads were analyzed to determine similar sequences that form a clonotype. After clonotype determination, a standard quantitation scheme was used to calculate MRD metrics (Figure 1B, “Methods”), including clonotype frequency, number of leukemia-associated molecules in the reaction, and MRD level.

We assessed the technical performance of the method using diagnostic samples from 12 of the 110 ALL patients included in the study who carried 13 leukemic IgH clonotypes. Serial dilutions of leukemic cells in normal PBMCs, ranging from < 1 in 1 million to > 1 in 1000 cells, were prepared and analyzed in duplicate. The high precision of the assay was demonstrated by the low average relative SDs (range, 4.1%-7.6%) at clonotype frequencies at or above 3 × 10^-3 (supplemental Table 1). Therefore, the assay is highly quantitative for frequencies above 10^-3. Random error increased at clonotype frequencies below 10^-3, as expected from Poisson statistics with low number of input molecules in the reaction (supplemental Table 1). For each clonotype, the assay showed high r^2 values with a range of 0.977 to 0.996 (mean 0.988 and median 0.991) between each of the expected and the measured clonotype frequencies (Figure 2 and supplemental Table 2). The slopes ranged from 0.878 to 1.14 (mean 1.00 and median 0.977), illustrating the quantitative nature of the assay over at least 3 orders of magnitude.

The immune repertoire sequencing assay unequivocally detected leukemic signatures in all dilutions with expected concentration of at least 1 leukemic cell in 1 million leukocytes (a sensitivity of 10^-3; Figure 2). We also tested the sensitivity of the assay to detect levels of leukemic cells below 1 in 1 million leukocytes (Figure 2). We detected an average expected concentration of 7.4 × 10^-7 and expected number of molecules of 5. We did not
detect leukemia signals in 2 cases with low expected concentrations ($4.2 \times 10^{-7}$ and $6.7 \times 10^{-7}$ with 2 and 4 expected molecules, respectively), a result that was likely caused by insufficient leukemia-derived molecules in the reaction and is consistent with Poisson sampling.

Analysis of all clonotypes together reflects multiple sources of error, including error associated with quantitation of the original leukemic DNA, pipetting error in the dilution preparations, error in measurement of the original sample purity (ie, samples may not have been composed of 100% leukemic B cells), and random and systematic error associated with the assay. Despite these potential sources of error, we measured an $r^2$ value of 0.94 and a slope of 1.01 between the expected and measured clonotype frequencies. Therefore, the cell dilution experiments clearly demonstrated the immune repertoire sequencing assay to be precise and quantitative with sensitivity levels at or below 1 leukemic cell per 1 million leukocytes.

**Detection of clonal rearrangements of multiple receptor genes in diagnostic samples**

We used the sequencing assay to detect rearrangements of immune cell receptor genes, $IgH@$ complete (VHDJH), $IgH@$ incomplete (DJK), $TRB@$, $TRD@$, and $TRG@$, in diagnostic BM samples from 100 of the 110 ALL patients known to have $IgH$ rearrangements. An additional 10 samples were only assayed for $IgH$ VH DJH and were not included in this clonal gene rearrangement analysis. As
expected, all 100 diagnostic samples demonstrated a high-frequency clonal rearrangement for at least one receptor, herein referred to as a “calibrating receptor.” The majority (n = 94) had at least 2 calibrating receptors, with 51 having 3 or more.

The IgH complete VHDJH assay was the most frequent gene rearrangement: at least one IgH VHDJH clonal rearrangement was detected in 96 of the diagnostic ALL samples (Table 1). TRD was the second most informative receptor, and at least one TRD clonal rearrangement was detected in 63 of the samples (Table 1). In contrast, TRB clonal rearrangements were only identified in 16 samples (Table 1). Concomitant clonal rearrangements of IgH (mature and/or immature) and at least 1 TCR gene were found in 86 of 100 samples collected at diagnosis.

We also assessed the cumulative number of clonal gene rearrangements that were present in each sample, because more than one clonal rearrangement could be detected with one receptor. Among the 100 diagnostic samples studied, 98, 80, and 51 had 2 or more, 3 or more, and 4 or more clonal rearrangements across all receptors, respectively (Table 1).

One advantage of our method is that all rearranged genes are captured, enabling the comprehensive delineation of the landscape of IgH genetic diversity and clonal selection that occurred during the leukemogenic process. We analyzed 106 of the 110 diagnostic ALL samples with complete IgH VHDJH gene rearrangements for evidence of IgH clonal evolution because of ongoing secondary recombination events during disease progression. The evolved clonotypes were identified by virtue of their sequence relatedness to the high-frequency clonal rearrangement. The number of evolved clones per ALL sample varied widely from 0-6933 clonotypes. Fifty-six of 106 samples (53%) demonstrated VHDJH to V HDJH evolution, which is consistent with a V H replacement model. These samples could be categorized into 5 groups based on the number of evolved clones present, with 39 patients (37%) having 1-50 evolved clones and 17 patients (16%) having a high degree of evolution (> 50 evolved clones; Figure 3). These data show that the sequencing assay captured the extensive evolution that is present in diagnostic samples, a potential cause of false-negative
results during MRD monitoring by ASO-PCR focusing on selected gene rearrangements.

Comparison of MRD results by immune repertoire sequencing with those by standard MRD assays

We assessed MRD using the sequencing assay in follow-up samples collected during therapy from 106 patients with newly diagnosed B-lineage ALL and IgH V\(_{H}\)D\(_{H}\)J\(_{H}\) clonal gene rearrangements at diagnosis. In addition to the previously demonstrated presence of IgH gene rearrangements at diagnosis, the main criteria for inclusion in the study was the availability of abundant surplus DNA collected during follow-up. In the Total XV trial, MRD was measured by flow cytometry and ASO-PCR during and at the end of remission induction therapy (on days 19, 26, and 46), during continuation therapy (at weeks 7, 17 and 48), and at the end of therapy (at week 120 for girls and week 146 for boys). Of the 106 samples studied, 45 were collected during induction therapy (on days 19, 26, and 46), during continuation therapy, and 17 at the end of therapy (supplemental Table 3), thus providing a full representation of the different types of BM cellularity found during ALL treatment.

We first analyzed concordance between MRD results obtained by our assay and flow cytometry, which was used to monitor MRD in 105 of the 106 cases. The sequencing test was performed without knowledge of the results of flow cytometry testing. The 2 methods gave concordant MRD\(^+\) or MRD\(^-\) results in 95 of 105 (90%) samples (Figure 4A and supplemental Table 3). In 10 of 105 samples (10%), MRD was positive by sequencing, but undetectable by flow cytometry (Figure 4A and Table 2); 7 of these 10 samples were also positive by ASO-PCR. Most of the discordance between the sequencing assay and flow cytometry can be explained by the sensitivity limitations of flow cytometry. For example, MRD levels ranged between 0.00004% and 0.011% by sequencing in 9 of the 10 discordant samples (Table 2). MRD was undetectable by flow cytometry and measured at 0.96% and 1.2% by sequencing and ASO-PCR, respectively, in the final discordant sample (Table 2).

We next compared the MRD results obtained by immune repertoire sequencing and ASO-PCR. Again, the sequencing test was performed without knowledge of the previously collected results by ASO-PCR. Results were concordant in 102 of 106 follow-up samples (96%): 35 (33%) were MRD\(^+\) and 67 (63%) were MRD\(^-\) by both methods (Figure 4B and supplemental Table 3). In 3 of 106 samples, MRD was positive by sequencing (0.0014%, 0.0004%, and 0.00004%), but undetectable by ASO-PCR (Figure 4B and Table 2), a result that could be explained by the fact that these levels of MRD would be outside of the quantitative range of ASO-PCR. In the remaining sample, a faint signal corresponding to 0.0020% MRD was detected by ASO-PCR (performed by a limiting-dilution assay), but MRD was undetectable by sequencing (Figure 4B and Table 2). The reason for this discrepancy is unclear.

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**Figure 3. Clonal evolution mechanisms at the IgH gene locus.** Diagnostic samples containing a clonal gene rearrangement, as determined using the VDJ (n = 106) assay were categorized into 5 groups based on the number of evolved clones present in the diagnostic sample. The fraction of all samples having a given number of evolved clones is shown.

**Figure 4. Comparison of MRD results obtained by sequencing, flow cytometry, and ASO-PCR.** MRD results obtained using the sequencing method were compared with flow cytometry results for 105 ALL patients (left) and with ASO-PCR results for 106 ALL patients (right). In each panel, the numbers of concordant measurements are shown in the lower left and upper right and the number of discordant measurements are shown in the upper left and lower right.

**Table 2. Summary of discordant MRD results for the sequencing, flow cytometry, and ASO-PCR methods**

<table>
<thead>
<tr>
<th>Patient ID</th>
<th>Input cells, n</th>
<th>Sequencing (%</th>
<th>Flow cytometry (%</th>
<th>PCR (%)</th>
</tr>
</thead>
<tbody>
<tr>
<td>9</td>
<td>248 496</td>
<td>0.00004</td>
<td>UD</td>
<td>UD</td>
</tr>
<tr>
<td>12</td>
<td>133 669</td>
<td>0.0027</td>
<td>UD</td>
<td>0.0017</td>
</tr>
<tr>
<td>25</td>
<td>142 310</td>
<td>0.0106</td>
<td>UD</td>
<td>0.0005</td>
</tr>
<tr>
<td>30</td>
<td>120 307</td>
<td>0.0032</td>
<td>UD</td>
<td>0.0076</td>
</tr>
<tr>
<td>31</td>
<td>1 109 253</td>
<td>0.0014</td>
<td>UD</td>
<td>UD</td>
</tr>
<tr>
<td>41</td>
<td>626 380</td>
<td>0.0005</td>
<td>UD</td>
<td>0.0051</td>
</tr>
<tr>
<td>58</td>
<td>171 326</td>
<td>0.0102</td>
<td>UD</td>
<td>0.0120</td>
</tr>
<tr>
<td>73</td>
<td>983 289</td>
<td>0.0004</td>
<td>UD</td>
<td>UD</td>
</tr>
<tr>
<td>98</td>
<td>162 217</td>
<td>0.0097</td>
<td>UD</td>
<td>0.0038</td>
</tr>
<tr>
<td>29</td>
<td>161 142</td>
<td>0.9607</td>
<td>UD</td>
<td>1.2000</td>
</tr>
<tr>
<td>36</td>
<td>1 070 242</td>
<td>UD</td>
<td>UD</td>
<td>0.0020</td>
</tr>
</tbody>
</table>

UD indicates undetectable.
The DNA analyzed by the sequencing test in this sample corresponded to 1.07 million input cells. Therefore, if the ASO-PCR estimate was correct, DNA from approximately 20 ALL cells should have been present in the sample and this number of clonal rearrangements should have been easily detectable by the sequencing assay. However, MRD estimates by ASO-PCR can be inaccurate at such extremely low levels, and it is possible that the sample analyzed by sequencing did not contain any leukemic DNA at all. Alternatively, the ASO-PCR signal may have been an artifact. This discrepancy notwithstanding, these comparisons with the gold standard MRD assays provide strong evidence of the reliability and sensitivity of the sequencing assay when applied to clinical samples collected from patients undergoing chemotherapy.

When present in the detection range, MRD levels measured by flow cytometry are typically accurate, because this method directly counts leukemic cells among normal cells.4,17 In the 29 samples with detectable MRD in all 3 platforms, MRD levels measured by the sequencing assay were highly correlated with those of flow cytometry. Specifically, the MRD level measured by the 2 platforms showed an $r^2$ of 0.83 and a slope of 1.03, supporting the quantitative accuracy of the sequencing assay. ASO-PCR versus flow cytometry MRD results showed an $r^2$ of 0.75 and a slope of 1.04.

We tested the concordance between MRD results using the $IgH$ $V_JD_J$ sequencing assay and other receptor rearrangement sequencing assays (ie, $IgH$ $DJ_H$ and TCR assays) in a subset of 9 samples that had previously been identified as having non-$IgH$ recombinations. In all cases, results were concordant with the previously observed data. Specifically, 7 samples were MRD$^-$ according to both the $IgH$ $V_JD_J$ and a second receptor, and 2 samples were MRD$^+$ according to the $IgH$ $V_JD_J$ and a second receptor (supplemental Table 4).

**Discussion**

We developed a novel approach to monitoring response to treatment in patients with leukemia. The method employs consensus primers and high-throughput sequencing to universally amplify and sequence all rearranged $IgH$ and TCR gene segments present in a leukemic clone. It also allows the identification of all clonal gene
deep-sequencing method for MRD detection

References


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Authorship

Contribution: M.F., J.Z., M.M., and V.E.H.C. performed the sequencing assays and analyzed and interpreted the data; P.S. and E.C.-S. provided the ASO-PCR and flow cytometry MRD data; C.-H.P. led the clinical trial under which the samples were collected for MRD studies; M.F. and D.C. designed the research, analyzed and interpreted the data, and wrote the manuscript; and all authors provided input on and approved the final version of the manuscript.

Conflict-of-interest disclosure: M.F., J.Z., M.M., and V.E.H.C. are employees of and stockholders in Sequenta Inc. The remaining authors declare no competing financial interests.

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