Nonmyeloablative allogeneic transplantation with or without 90yttrium ibritumomab tiuxetan is potentially curative for relapsed follicular lymphoma: 12-year results

Issa F. Khouri,1 Rima M. Saliba,1 William D. Erwin,2 Barry I. Samuels,3 Martin Korbling,1 L. Jeffrey Medeiros,4 Rosamar Valverde,1 Amin M. Alousi,1 Paolo Anderlini,1 Qaiser Bashir, Stefan Ciurea,1 Alison M. Gubils,5 Marcos de Lima,1 Chitra Hosing,1 Partow Kebraieai,1 Uday R. Popat,1 Nathan Fowler,6 Sattva S. Neelapu,6 Felipe Samañiego,6 Richard E. Champlin,1 and Homer A. Macapinlac7

Departments of 1Stem Cell Transplantation and Cellular Therapy, 2Imaging Physics, 3Diagnostic Radiology, 4Hematopathology, 5Pharmacy Clinical Programs, 6Lymphoma and Myeloma, and 7Nuclear Medicine, The University of Texas MD Anderson Cancer Center, Houston, TX

In 2008, we reported favorable 5-year outcomes of nonmyeloablative allogeneic stem cell transplantation after fludarabine, cyclophosphamide, rituximab (FCR) conditioning for relapsed and chemosensitive follicular lymphoma. However, innovative strategies were still needed to treat patients with chemotherapy-refractory disease. We therefore subsequently performed a trial in which 90Y-ibritumomab tiuxetan (0.4 mCi/kg) was added to the fludara- bine, cyclophosphamide conditioning regimen (90YFC). Here, we report updated results of the FCR trial and outcomes after 90YFC. For the FCR group (N = 47), since the last update, one patient developed recurrent disease. With a median follow-up of 107 months (range, 72-142 months), the 11-year overall survival and progression-free survival rates were 78%, and 72%, respectively. For the 90YFC group (N = 26), more patients had chemotherapy-refractory disease than did those in the FCR group (38% and 0%, respectively (P < .001). With a median follow-up of 33 months (range, 17-94 months), the 3-year progression-free survival rates for patients with chemotherapy-refractory and chemoresistant disease were 80% and 87%, respectively.

Study design

Methods

FCR group. The FCR trial included 47 patients with relapsed FL. All patients had undergone NST between March 1999 and April 2005 after a conditioning regimen of FCR. The eligibility criteria included age 19 to 70 years; chemosensitive, relapsed disease; and a partial response or better available, according to our department Standard Practice Guidelines.


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**90YFC group.** This trial (www.clinicaltrials.gov; NCT00048737) included 26 consecutive FL patients who had undergone NST at our institution between April 2004 and July 2010. Patients in the 90YFC group had the same eligibility criteria as did those in the FCR group, except that a single allele disparity for HLA-A, -B, or -C and patients with refractory disease were allowed in this trial. There were no count restrictions.

Written informed consent was obtained from all patients for both studies in accordance with the Declaration of Helsinki. The 2 studies were reviewed and approved by The University of Texas MD Anderson Cancer Center Institutional Review Board.

**Clinical evaluation**

In both groups, patients were evaluated 1, 3, 6, and 12 months after NST; every 6 months up to 5 years; and yearly thereafter. Responses were scored using standard criteria for patients with lymphoma.7,8 In addition, functional imaging functional imaging with 18F-fluoro-deoxyglucose positron emission tomography (PET) scans was repeated after NST in patients with avid scans at study entry. PET scans were visually reviewed at our facility by the same nuclear medicine specialist (H.A.M.) and scored according to the scale described by Barrington et al.⁸

**Preparative regimen  
FCR.** All FCR patients received 30 mg/m² of fludarabine and 750 mg/m² of cyclophosphamide intravenously for 3 days (−5 to −3 before NST).⁵ They were then given 375 mg/m² of rituximab on day −13 and 1000 mg/m² on days −6, +1, and +8. NST was performed on day 0.

**90YFC.** On day −14 before NST, patients were treated with 250 mg/m² of rituximab, followed by a tracer of 5 mCi of In-111-ibritumomab tiuxetan (111In), as previously described.¹⁰ Five planar whole-body γ-camera images were obtained within the first hour and at 4, 24, 72, and 144 hours after injection for both dosimetric analysis and to confirm the expected biodistribution of the radiotracer. Dosimetry was performed on day −15 to ensure that normal organ (liver, lung, kidney) doses would not exceed 20 Gy, and that less than 1 cGy/hr of 90Y-ibritumomab tiuxetan was being absorbed by the red marrow at the time of stem cell infusion. On day −7, patients were infused with 250 mg/m² of rituximab, followed by 0.4 mCi/kg (maximum total dose, 32 mCi) of 90Y. On days −5 to −3, patients received FC at the dose and schedule described in Figure 1.

**SCT and GVHD**

Donor chimerism and engraftment were assessed using PCR-based methods, as previously described in detail.¹¹ Donor lymphocyte infusions (DLIs) were administered, in combination with rituximab, to patients with persistent or progressive disease after NST, if GVHD was not present.⁵ Tacrolimus doses were rapidly tapered. Rituximab was then given at a dose of 375 mg/m² intravenously followed by 3 weekly doses of 1000 mg/m². A DLI of 1 × 10⁷ CD3-positive T cells/kg was given after the first 2 doses of rituximab if no GVHD occurred. An escalated DLI dose was given at 6-week intervals if there was persistent active disease and no GVHD. DLIs were not routinely administered in patients with stable mixed chimerism if they remained in remission. Patients experiencing a rapid decrease of donor cells received DLIs with the goal of achieving complete donor chimerism. GVHD prophylaxis consisted of tacrolimus and methotrexate.⁵ Patients who underwent transplantation from a matched unrelated or mismatched donor received 1 mg/kg of rabbit antithymocyte globulin intravenously on days −2 and −1 before NST. Acute and chronic GVHD were graded according to consensus criteria that have been reported.¹²,¹³

**Statistical methods**

Actuarial estimates of OS and PFS rates were calculated using the Kaplan-Meier method.¹⁴ OS was estimated from the date of transplantation to the date of death or last follow-up. The incidence of disease progression and GVHD were estimated using the cumulative incidence method,¹⁵ considering death in remission and death without GVHD as competing risks. P values ≤ .05 were considered statistically significant.

**Results**

**Patient characteristics**

Patients in both the FCR and the 90YFC groups had similar age and baseline characteristics (Table 1), including sex distribution, time from diagnosis, serum lactate dehydrogenase and β₂-microglobulin levels, and number of prior chemotherapies. However, more 90YFC patients had chemorefractory disease (nonresponsive or progression while on chemo-immunotherapy) at transplantation (P < .001), were PET⁺ (P = .01), and had a matched unrelated or mismatched donor (P < .001; Table 1).

90YFC patients with chemorefractory disease (n = 10) at study entry had been treated with a median of 4 lines of therapy (range,
Patients in the °YFC group who received a matched sibling donor had similar baseline characteristics to those who received a mismatched unrelated donor transplant. In particular, median age was 58 years (range, 29-66 years) and 52 (range, 44-62 years), respectively (P = .3), and chemorefractory disease was present in 40% and 38% of patients (P = .6) at transplantation.

### Transplantation and engraftment

All patients received unmanipulated grafts from peripheral blood (45 patients in the FCR group and 25 in the °YFC group) or bone marrow (2 patients and 1 patient, respectively).

The median number of CD34+ cells infused in the FCR and °YFC groups was 4.5 and 4.7 × 10^6/kg, respectively. Neutrophil counts recovered to more than 0.5 × 10^9/L a median of 11 days after NST (range, 8-17 days) in both groups. Platelet counts recovered to more than 20 × 10^9/L after a median of 10 days (range, 6-17 days) in the FCR group and 12 days (range, 10-80 days) in the °YFC group (P = .08).

### Donor chimerism

Forty-six patients (98%) in the FCR group and all patients in the °YFC group experienced donor cell engraftment. By day 30 after NST, the median values of donor T cells in the 2 groups were 89% and 87%, respectively (P = .6), whereas the median values of donor myeloid cells were 83% and 97%, respectively (P = .01). One patient in the FCR group and no patients in the °YFC group developed primary graft failure. Secondary graft failure occurred in 2 FCR patients and 1 °YFC patient. The latter underwent a mismatched unrelated donor transplant.

### DLI and final response

All patients achieved complete remission (CR) in the FCR group after NST. The patient with a primary graft failure experienced CR after a second NST. Three relapses occurred, 2 of which were previously reported. The third relapse was observed in a patient 6 years after the initial transplant.

A total of 4 patients received DLI in the FCR group. Two were related to a rapid decrease in donor chimerism without lymphoma relapse: they achieved complete donor chimerism. Two of the relapsed patients described in the preceding paragraph underwent DLI plus rituximab for management of their disease progression and experienced CR. Response remains continuous in 1 patient at 24+ months; the other patient recently experienced recurrent disease, 7 years after his initial response. He was recently reinuced into CR with DLI plus rituximab.

Twenty-five patients (96%) achieved CR in the °YFC group, including 9 of 10 who had refractory disease before NST. Two patients experienced relapsed disease. One patient (no. 2; Table 2) experienced progression 42 months after NST. She underwent a second NST (rather than DLI, per personal preference) and was in remission at last follow-up, 20 months later. A second heavily pretreated chemorefractory patient (no. 9; Table 2) did not experience CR after NST; he progressed and died 3 months later with diffuse large B-cell lymphoma. Only 1 patient received a DLI. This patient was in CR but experienced a secondary graft failure. He remained in CR at last follow-up.

### Survival

**FCR group.** With a median follow-up duration of 107 months (range, 72-142 months), the estimated OS and PFS rates at 11 years were 78% (95% confidence interval [CI], 62%-87%) and 72% (95% CI, 56%-83%), respectively (Figure 2).

**°YFC group.** With a median follow-up duration of 33 months (range, 17-94 months), the estimated OS and PFS rates at 3 years were 88% (95% CI, 68%-96%) and 85% (95% CI, 64%-94%), respectively.

### Table 2. Chemorefractory patients at study entry: prior treatments and current status

<table>
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<th>Patient no.</th>
<th>Prior chemotherapy</th>
<th>Current status</th>
<th>PD with cytopenia</th>
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<td>1</td>
<td>R-CVP, R-CHOP → MR</td>
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<td>10</td>
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PD indicates duration of response; R, rituximab; CVP, cyclophosphamide, vincristine, and prednisone; CHOP, cyclophosphamide, doxorubicin, vincristine, and prednisone; MR, maintenance rituximab; PR, partial remission; FDR, fludarabine, dexamethasone, rituximab; RIE, rituximab, ifosfamide, etoposide; PD, progressive disease; NA, not applicable; XRT, involved-field radiation therapy; CR, continuous complete remission; SD, stable disease; ICE, ifosfamide, carboplatin, and etoposide; ESHAP, etoposide, cisplatin, cyclophosphamide, and methylprednisolone; Hyper-CVAD, hyperfractionated cyclophosphamide, vincristine, doxorubicin, and dexamethasone; FND, fludarabine, mitoxantrone, and dexamethasone; F, fludarabine; GEMOX, gemcitabine and oxaliplatin; and VB, bortezomib and bendamustine.

2-7 lines of therapy; Table 2). Disease bulk of more than 7 cm (range, 7-29.4 cm) was present in 5 patients (50%). Two patients (20%) had lung involvement, 1 with recurrent pleural effusion. One other patient (10%) had spleen and bone involvement. None of these patients had histologic evidence of FL transformation.

Patients in the °YFC group who received a matched sibling donor had similar baseline characteristics to those who received a matched unrelated transplant. In particular, median age was 58 years (range, 29-66 years) and 52 (range, 44-62 years), respectively (P = .3), and chemorefractory disease was present in 40% and 38% of patients (P = .6) at transplantation.
respectively (Figure 3). Results were similar to those in the FCR group, in whom the 3-year OS and PFS estimates were 85% (95% CI, 71%-93%, \( P = .7 \)) and 83% (95% CI, 69%-91%, \( P = .9 \)), respectively.

A similar outcome was observed in the \(^{90}\)YFC group patients with chemosensitive and chemorefractory disease at study entry. The 3-year OS rates were 94% (95% CI, 63%-99%) and 80% (95% CI, 41%-95%), respectively (\( P = .3 \)); the 3-year PFS rates were 87% (95% CI, 59%-97%) and 80% (95% CI, 41%-94%), respectively (\( P = .7 \); Figure 4). Patients with chemorefractory disease had a longer median follow-up than chemosensitive patients (42 vs 31 months, respectively). The 6-year OS estimates for chemorefractory patients was 80% (95% CI, 41%-95%).

**PET status and outcomes**

In the \(^{90}\)YFC group, 25 patients underwent PET at study entry; 11 (44%) were FDG-avid (Table 1). The 3-year OS rates were 93% (95% CI, 55%-99%) and 91% (95% CI, 51%-99%) for PET\(^{-}\) and PET\(^{+}\) patients, respectively (\( P = .9 \)). The 3-year PFS rates were 86% (95% CI, 54%-96%) and 91% (95% CI, 51%-99%; \( P = .7 \); Figure 5).

In the FCR group, 7 of 47 patients (15%) had positive functional images by PET scan. The 3-year OS rates were 90% (95% CI, 75%-96%) and 57% (95% CI, 17%-84%) for the PET\(^{-}\) and PET\(^{+}\) patients, respectively (\( P = .06 \)). The 3-year PFS rates were 87% (95% CI, 72%-95%) and 57% (95% CI, 17%-84%; \( P = .08 \)).

**GVHD and toxicity**

The incidences of grade 2 or 3 acute GVHD in the FCR and \(^{90}\)YFC groups was 13% and 23%, respectively (\( P = .2 \)). In the FCR group, grade 3 acute GVHD was observed in only 1 patient, and no patients had grade 4 acute GVHD. Two patients in the \(^{90}\)YFC group developed grade 3 acute GVHD; none had grade 4.

The 3-year cumulative incidence of chronic GVHD in the FCR and \(^{90}\)YFC groups was 58% and 39%, respectively (\( P = .2 \)), and the incidence of its extensive form was 40% and 24% (\( P = .3 \)).

We previously reported 7 deaths in the FCR group. Six were secondary to infections, and 1 of unknown causes. Four of the 6 fatal infections occurred in patients with a history of acute (\( n = 1 \)) or chronic GVHD (\( n = 3 \)). One patient had herpes Zoster infection 4 months before initiating his transplantation procedure; this recurred in a disseminated form 5 months after his transplantation. One additional patient died of viral pneumonia. Since our report in 2008, 3 additional deaths have occurred in patients in CR: 1 secondary to pancreatic cancer (a parent died of the same cause), 1 related to infection in a patient with a history of *Bronchitis obliterans*, and 1 a sudden death of unknown origin. The disease in the last case had been restaged 6 months earlier and was found to still be in CR. None of the patients died with recurrent FL.

Three patients died in the \(^{90}\)YFC group (Table 2): 1 patient died of disease progression (day 188; patient no. 9), 1 of acute GVHD (day 98; patient no. 8), and 1 patient who had undergone a mismatched transplant and died of chronic GVHD (day 159). Thus, the treatment-related mortality rates at 100 days and 1 year were 4% and 8%, respectively, in the \(^{90}\)YFC group and 2% and 13%, respectively, in the FCR group.

**Discussion**

Our median 9-year follow-up data revealed that NST is effective against relapsed FL. Only one additional relapse has occurred since our original publication in 2008. The addition of \(^{90}\)Y to the conditioning regimen overcame the strong negative prognostic factor of disease chemorefractoriness at transplantation, where the 6-year OS has significantly improved to 80%. This occurred despite the presence of bulky disease of more than 7 cm in 50% of refractory patients, and no additional toxicities or GVHD risk were observed, even in patients who received transplants from unrelated donors. These results fulfill our ultimate objective of curing patients with relapsed FL with no undue transplantation-related mortality.

The main cause of failure after NST with FCR has been related to infections in patients with a history of GVHD. The use of high-dose rituximab has not been associated with an increased risk of fatal infections in patients with lymphoma who received an autologous SCT at our institution. In a multivariate analysis, we have recently found that decreased peripheral blood immunoglobulin (Ig) levels during the early periods after NST in patients with chronic lymphocytic leukemia have been associated with an increased risk of infection and inferior survival. Studying the role of IgG monitoring and replacement in these patients is under investigation.

Favorable outcomes after FC or FCR have been reported by other researchers. The Cancer and Leukemia Group B 109901 trial reported outcomes in 23 patients with relapsed chemosensitive FL. The nonmyeloablative conditioning regimen consisted of 20 mg/m² of fludarabine for 5 days and 1000 mg/m² of cyclophosphamide daily for 3 days. With a median follow-up of 31.2 months,
We recently reported the results of autologous SCT in patients with relapsed, chemosensitive FL who had undergone 2 lines of prior therapy and for whom no donor was available for NST.21 These patients also received $^{90}$Y (0.4 mCi/kg), with carmustine, etoposide, cytarabine, and melphalan myeloablative conditioning. Their PFS rate at 5 years was 45%, with no plateau of the curve. These findings, together with the short times to progression after therapy with single-agent $^{90}$Y, suggest that the responses seen in chemorefractory FL patients who received allogeneic transplants after $^{90}$YFC were related to a GVL effect of NST facilitated by the improved initial disease control with $^{90}$Y. Patients who had relapsed chemosensitive FL had similar outcomes after NST with FCR and $^{90}$YFC.

The use of $^{90}$Y as a nonmyeloablative conditioning for lymphoma was recently evaluated by Bethge et al in 40 patients with lymphoid malignancies, including 17 FL patients.22 $^{90}$Y (0.4 mCi/kg) was combined with fludarabine (days −4 to −2) and 200 cGy of total body irradiation. The 2-year nonrelapse mortality rate was 45% for the whole group. The incidence of grade 2 to 4 acute GVHD was 45%, resulting in a 2-year event-free survival rate of 57% for FL patients. Gopal et al used a similar regimen.23 Their 2-year nonrelapse mortality rate of 15.9% was significantly lower than that of Bethge et al.22 The cumulative incidence of acute GVHD was not provided, but 10% of patients developed grade 3 acute GVHD. However, that report included only 6 FL patients. Interpreting these disparate results remains difficult; they may be related to selection bias (single center in the study of Gopal et al23 vs multicenter in the study of Bethge et al22), patient heterogeneity, or other inherent unknown causes.

In conclusion, the long follow-up period of 9 years in this study provides evidence that NST after FCR conditioning can induce a complete response that lasts more than a decade in most patients with relapsed FL; this finding suggests that these patients are cured of their disease. The addition of $^{90}$Y-ibritumomab tiuxetan to the preparative regimen appears to be particularly effective in those patients with relapsed, chemorefractory disease and could be extended to patients with unrelated donors with no additional toxicities or GVHD risk. It seems prudent that community oncologists refer patients with relapsed FL to NST clinical trials.
References


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