Transformation of follicular lymphoma to diffuse large B-cell lymphoma may occur by divergent evolution from a common progenitor cell or by direct evolution from the follicular lymphoma clone

Emanuela Carlotti, David Wrench, Janet Matthews, Sameena Iqbal, Andrew Davies, Andrew Norton, Jason Hart, Raymond Lai, Silvia Montoto, John G. Gribben, T. Andrew Lister, and Jude Fitzgibbon

Introduction

Follicular lymphoma (FL) is a low-grade disorder characterized by multiple relapses and frequently by transformation (t-FL) to a more aggressive lymphoma. Although it has long been assumed that transformation reflects the emergence of an aggressive subclone of cells from the FL population, this explanation could be an oversimplification because FL and t-FL might also arise by divergent evolution from a more immature common progenitor cell (CPC). This is supported by recent cytogenetic, comparative genomic hybridization, and single nucleotide polymorphism data, suggesting that relapse and transformation may originate in more undifferentiated cells.

To investigate the cell of origin linking follicular (FL) and transformed (t-FL) lymphomas, we analyzed the somatic hypermutation (SHM) pattern of the variable region of the immunoglobulin heavy gene (IgH-VH) in 18 sequential FL/t-FL samples and a father (donor) and son (recipient), who developed FL and t-FL, after transplantation. Genealogic trees showed a pattern compatible with a common progenitor cell (CPC) origin in 13 cases. The identification of the t-FL clonotype in the previous FL sample and of the putative CPC sequence in both the FL/t-FL biopsies showed that the intraclonal diversity of FL and t-FL germinal centers (GCs) is more intricate than previously described, and all 3 clonotypes (CPC, FL, t-FL) may occur simultaneously within the same lymph node. On the basis of the father/son model, this CPC must be long-lived, providing a possible explanation for the incurable nature of this disease. (Blood. 2009;113:3553-3557)

Methods

Patient material

DNA from lymph nodes from 18 patients, collected before (FL) and after transformation (t-FL), was available. Clinical and molecular characteristics of patients are summarized in Table S1 (available on the Blood website; see the Supplemental Materials link at the top of the online article). We also investigated DNA from a donor (father) with FL and a recipient (son) with t-FL, with the same t(14;18) translocation, who developed lymphomas 3 and 10 years after transplantation, respectively. This study received ethical approval from our Local Research Ethics Committee (REC no. 06/Q0605/69), and informed consent was obtained in accordance with the Declaration of Helsinki.

Identification of the IgH-VH clonal rearrangement

The IgH-VH region was investigated by polymerase chain reaction (PCR) with family-specific leader and a reverse JH consensus primers. Previous studies in paired FL/t-FL showed that these tumors are clonally related; however, whether t-FL evolves from a FL subclone or both tumors originate from a more immature cell remains controversial. Now the existence of cancer-initiating cells is accepted in both hematologic and epithelial cancers; such cells share many biologic features, including the ability to self-renew and proliferate, and the resistance to chemical or physical insults.

To elucidate the stage of B-cell ontogeny at which such a cell may arise, we investigated the IgH-VH SHMs in DNA from serial FL/t-FL and from a father (donor) and son (recipient), who developed FL and t-FL after bone marrow transplantation (BMT), respectively.

Identification of the t-FL clonotype and of the CPC

For 8 patients (10 samples), t-FL clonotype-specific primers/probes were used to investigate the preceding FL by real-time quantitative PCR (RQ-PCR; Document S1). In 6 cases (based on the putative CPC sequences inferred from their genealogic trees) primers specific for the CPC SHMs were designed. A nested PCR of both the FL/t-FL samples was performed, and products cloned and sequenced.
Figure 1. Patterns of evolution of FL and t-FL. (A,B) Two examples of genealogic trees generated by comparison of cloned IgH-VH PCR products and by heteroduplex (HH) analysis, from FL and t-FL samples. The putative CPC sequences were generated by comparison of the common pattern of SHMs observed in the paired FL and t-FL samples. The FL sequences are depicted as $\oplus$, the t-FL clones as $\bigcirc$, and the germline sequence as $\bigtriangledown$. The putative common progenitor cell and the hypothetical intermediate sequences, which were not detected experimentally, are depicted as $\bigcirc$. HH corresponds to the sequence identified by HH analysis. Numbers inside the circles correspond to the number of clones with the same sequence, and the numbers beside the arrows represent the additional mutations in the subsequent clone. The roman numbers I, II, III, and so on, on the trees, refer to the intermediate clones. (A) Genealogic trees of patient 1, a case compatible with the existence of a CPC. Genealogic trees generated after comparison of sequences obtained after screening of the FL and t-FL cloned PCR products (i-iii) and after comparison of the homoduplex sequences (iv); (v) graphic timeline representation of the FL/t-FL biopsies investigated and of clinical evolution of disease: vertical arrow represents the line of therapy (either chemotherapy or radiotherapy); left-hand vertical bar, the time of diagnosis; the right-hand vertical bar, time of death; R1, the first relapse; Tr1, the first transformation. (B) Genealogic trees of patient 2, a case compatible with a direct evolution. In this case an additional FL sample (FL2) was investigated. Genealogic tree generated after comparison of the cloned sequences (vi) and of the 2 major clones identified by HH analysis (vii); graphic timeline representation of the sequential biopsies investigated and the clinical evolution of disease: each vertical arrow represents a line of therapy; the bar at the end of the horizontal line, time the death; WW, watch and wait; P, progression; Tr1, first transformation; and R1, first relapse.
Results and discussion

The mechanisms responsible for transformation of FL into t-FL remain a matter of debate. To shed light on this process and to trace the evolutionary pattern of FL/t-FL clones, genealogic trees were generated by HH and cloning. In 7 (87.5%) of 8 cases studied with both methods we observed a concordance between the 2 approaches (Table S2). The sequential and the father/son biopsies were all clonally related,9,20,21 and the IgH-VH family usage and frequency of SHMs were similar to other FL studies (data not shown). All cloned t-FL tumors showed a similar mutation rate compared with their FL counterparts, albeit a lower degree of oligoclonality ($P_{H}1005_{/H}$; Table S2).

The comparison of FL/t-FL homoduplex sequences confirmed the existence of a putative CPC in 12 (67%) of 18 pairs (Figure 1A; Figures S1,S2) and in the father/son cases (Figure S3). On the basis of the number of common SHMs we could infer that this hypothetical CPC derives from a GC B cell with homology to germline sequence ranging from 83.5% (patient 6) to 94.5% (patient 12). The remaining 6 patients (33%) had a transformation pattern compatible with direct evolution (Figure 1B), with 4 showing identical SHMs (data not shown). All cloned t-FL tumors showed a similar mutation rate compared with their FL counterparts, albeit a lower degree of oligoclonality ($P = .04$; Table S2).

The comparison of FLA/FL homoduplex sequences confirmed the existence of a putative CPC in 12 (67%) of 18 pairs (Figure 1A; Figures S1,S2) and in the father/son cases (Figure S3). On the basis of the number of common SHMs we could infer that this hypothetical CPC derives from a GC B cell with homology to germline sequence ranging from 83.5% (patient 6) to 94.5% (patient 12). The remaining 6 patients (33%) had a transformation pattern compatible with direct evolution (Figure 1B), with 4 showing identical SHMs (data not shown). In these cases it seems likely that a FL cell attained additional abnormalities leading to a more aggressive phenotype.

The number of SHMs acquired by the putative CPCs after progression to FL and t-FL was high in the BMT model: 28 and 47 new mutations observed in the major clone of father and son samples, respectively (Figure S3). This difference was less striking in the paired biopsies, (median SHMs, 4 [FL] and 3 [t-FL], respectively) and could be a consequence of the different origin of these putative CPCs: in the familial case the CPC was transmitted at BMT, whereas in the sequential biopsies it may represent a cell that never left the GC.

On the basis of the pattern of SHMs as inferred from the genealogic trees, we investigated by qualitative PCR whether the putative CPC could be detected in the corresponding FLA/FL samples and by RQ-PCR the presence of the t-FL clonotype in the preceding FL. Our data clearly showed an intricate pattern in the FLA/FL’s GCs, not compatible with a direct evolution, and suggested the existence of 3 different models (Figure 1C). First, the identification of the t-FL clonotype in the preceding FL sample in 5 of 8 cases (Table 1), together with the amplification of the putative CPC (patient 12 and patient 26) and of more immature clones (patient 1) in both the FL/t-FL (Table S3) shows that the CPC survives different lines of therapy and coexists with FL and t-FL cells within the same lymph node. The RQ-PCR data also suggest that a clone in the preceding FL, having the same SHMs as the t-FL cell, could be selected during transformation. The identification of this t-FL clonotype in FL samples from patients treated with different regimens (chemotherapy [patients 1, 6, and 27], radiotherapy [patient 26], and watch and wait [patient 2]) suggests that drug resistance is not the only mechanism responsible for clonal selection. Second, the CPC may be present only in the prelymphoma GC with the FL and the t-FL clones arising independently from this precursor. Finally, and in accordance with the father/son’s data and the recent report describing the $S_{/H}9262$-region mutation pattern in sequential FL samples,22 the CPC could spread from the original lymph node and be maintained in the BM before migrating to secondary lymphoid organs. This mechanism, first suggested by studying the t(14;18)-positive cells in healthy persons,
supposes the existence of BM niches in which the CPC could survive before acquiring new genomic lesions.\(^1\)\(^2\) Indeed, on the basis of the father/son model, this CPC must be long-lived; moreover, the identification of new glycosylation motifs in 10 hypothetical CPC sequences, together with the observation that in 13 of 14 cases these sites are conserved after transformation (Table S4), support an involvement of microenvironment during the evolution of the CPC.

In conclusion, the pattern of SHMs observed in our familial and sporadic models supports the existence of a CPC linking FL and t-FL, provides an alternative mechanism for transformation other than direct evolution, and helps to explain the discordant patterns of SHMs previously reported.\(^1\)\(^2\) These 2 mechanisms are not mutually exclusive and as seen for patient 26 (Figure S2) could be both independently active. Although the exact mechanism of CPC evolution, the stage of B-cell differentiation, where it occurs, or its role during lymphomagenesis is uncertain, the existence of these cells offers a new model to explain the clinical-molecular features of this disease.

### Acknowledgments

We thank Rachel Waters for statistical analysis and M. Alikian for her contribution in editing the pictures.

### References


10. Hsu FJ, Levy R. Preferential use of the VH4 Ig...


Transformation of follicular lymphoma to diffuse large B-cell lymphoma may occur by divergent evolution from a common progenitor cell or by direct evolution from the follicular lymphoma clone

Emanuela Carlotti, David Wrench, Janet Matthews, Sameena Iqbal, Andrew Davies, Andrew Norton, Jason Hart, Raymond Lai, Silvia Montoto, John G. Gribben, T. Andrew Lister and Jude Fitzgibbon

Updated information and services can be found at: http://www.bloodjournal.org/content/113/15/3553.full.html

Articles on similar topics can be found in the following Blood collections
  - Brief Reports (1930 articles)
  - Lymphoid Neoplasia (2507 articles)

Information about reproducing this article in parts or in its entirety may be found online at: http://www.bloodjournal.org/site/misc/rights.xhtml#repub_requests

Information about ordering reprints may be found online at: http://www.bloodjournal.org/site/misc/rights.xhtml#reprints

Information about subscriptions and ASH membership may be found online at: http://www.bloodjournal.org/site/subscriptions/index.xhtml