

## Brief report

## Transformation of follicular lymphoma to diffuse large B-cell lymphoma may occur by divergent evolution from a common progenitor cell or by direct evolution from the follicular lymphoma clone

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**To investigate the cell of origin linking follicular (FL) and transformed (t-FL) lymphomas, we analyzed the somatic hypermutation (SHM) pattern of the variable region of the immunoglobulin heavy gene (IgH-VH) in 18 sequential FL/t-FL samples and a father (donor) and son (recipient), who developed FL and t-FL, after trans-**

**plantation. Genealogic trees showed a pattern compatible with a common progenitor cell (CPC) origin in 13 cases. The identification of the t-FL clonotype in the previous FL sample and of the putative CPC sequence in both the FL/t-FL biopsies showed that the intraclonal diversity of FL and t-FL germinal centers (GCs) is**

**more intricate than previously described, and all 3 clonotypes (CPC, FL, t-FL) may occur simultaneously within the same lymph node. On the basis of the father/son model, this CPC must be long-lived, providing a possible explanation for the incurable nature of this disease. (Blood. 2009;113:3553-3557)**

## Introduction

Follicular lymphoma (FL) is a low-grade disorder characterized by multiple relapses<sup>1</sup> and frequently by transformation (t-FL) to a more aggressive lymphoma.<sup>2</sup> Although it has long been assumed that transformation reflects the emergence of an aggressive subclone of cells from the FL population, this explanation could be an oversimplification because FL and t-FL might also arise by divergent evolution from a more immature common progenitor cell (CPC).<sup>3</sup> This is supported by recent cytogenetic, comparative genomic hybridization, and single nucleotide polymorphism data,<sup>4-6</sup> suggesting that relapse and transformation may originate in more undifferentiated cells.

FL originates from germinal center (GC) B cells and is characterized by somatic hypermutations (SHMs) responsible for the high intraclonal diversity characteristic of this malignancy. Novel N-glycosylation sites are introduced by SHMs in 85% of cases<sup>7</sup> and positively selected during B-cell maturation.<sup>8</sup> Analysis of the variable region of the immunoglobulin heavy gene (IgH-VH) has been used extensively to trace the stage of differentiation of B lymphocytes in lymphomas.<sup>9-12</sup> Previous studies in paired FL/t-FL showed that these tumors are clonally related<sup>13</sup>; however, whether t-FL evolves from a FL subclone or both tumors originate from a more immature cell remains controversial. Now the existence of cancer-initiating cells is accepted in both hematologic and epithelial cancers; such cells share many biologic features, including the ability to self-renew and proliferate, and the resistance to chemical or physical insults.<sup>14-16</sup>

To elucidate the stage of B-cell ontogeny at which such a cell may arise, we investigated the IgH-VH SHMs in DNA from serial FL/t-FL and from a father (donor) and son (recipient), who developed FL and t-FL after bone marrow transplantation (BMT), respectively.<sup>17</sup>

## Methods

## Patient material

DNA from lymph nodes from 18 patients, collected before (FL) and after transformation (t-FL), was available. Clinical and molecular characteristics of patients are summarized in Table S1 (available on the *Blood* website; see the Supplemental Materials link at the top of the online article). We also investigated DNA from a donor (father) with FL and a recipient (son) with t-FL, with the same t(14;18) translocation, who developed lymphomas 3 and 10 years after transplantation, respectively.<sup>17</sup> This study received ethical approval from our Local Research Ethics Committee (REC no. 06/Q0605/69), and informed consent was obtained in accordance with the Declaration of Helsinki.

## Identification of the IgH-VH clonal rearrangement

The IgH-VH region was investigated by polymerase chain reaction (PCR) with family-specific leader and a reverse JH consensus primers.<sup>18,19</sup> The major tumor clone was isolated by heteroduplex analysis (HH). Homoduplex bands were purified<sup>19</sup> and directly sequenced. In 8 cases the clonal IgH family PCR product was cloned, and inserts were sequenced using the M13 reverse primer. Sequences were compared with the IgH-VH germline sequence using IMG/QUEST (IMG/QUEST; <http://imgt.cines.fr>).

## Identification of the t-FL clonotype and of the CPC

For 8 patients (10 samples), t-FL clonotype-specific primers/probes were used to investigate the preceding FL by real-time quantitative PCR (RQ-PCR; Document S1). In 6 cases (based on the putative CPC sequences inferred from their genealogic trees) primers specific for the CPC SHMs were designed. A nested PCR of both the FL/t-FL samples was performed, and products cloned and sequenced.

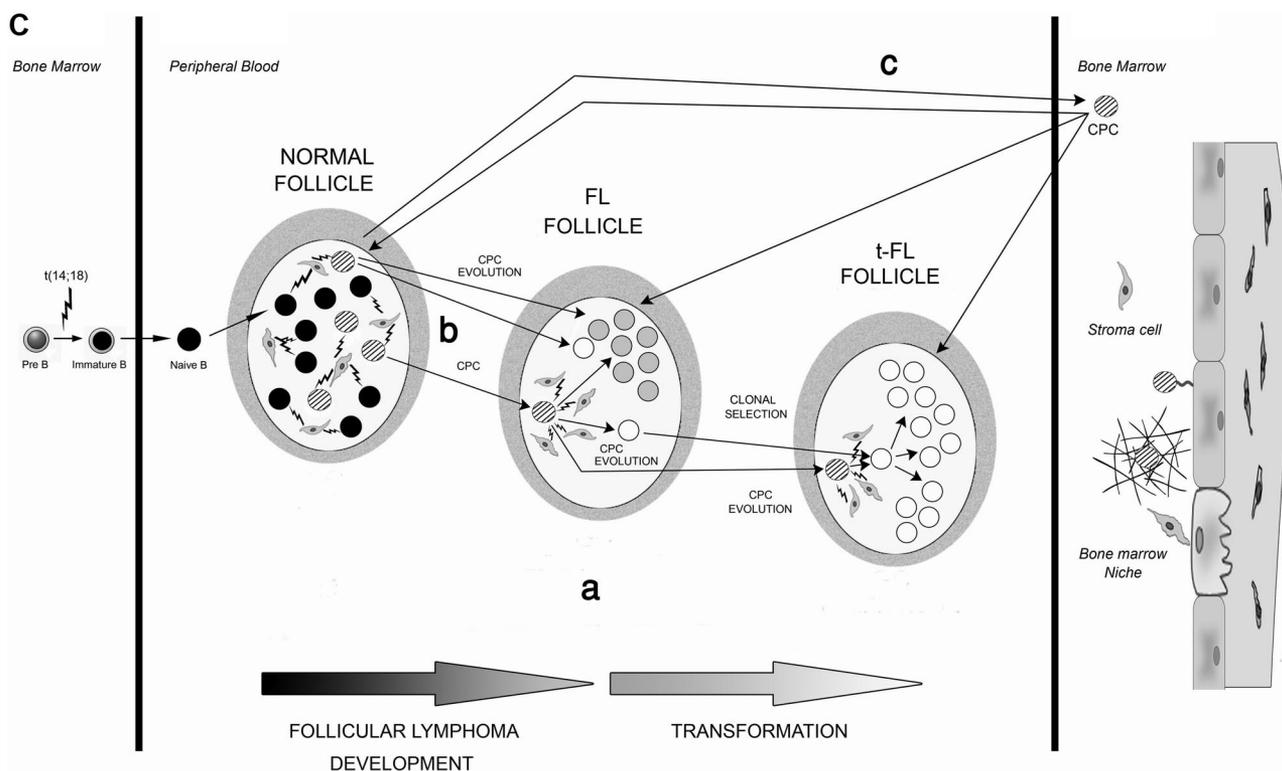
Submitted August 21, 2008; accepted January 19, 2009. Prepublished online as *Blood* First Edition paper, February 6, 2009; DOI 10.1182/blood-2008-08-174839.

The online version of this article contains a data supplement.

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**Figure 1 (continued).** (C) Models for development and evolution of the CPC cell. The CPC, FL, and t-FL clones are depicted as previously described. Letters a, b, and c represent the 3 patterns of evolution. Pre-B cells acquire the t(14;18) translocation in the bone marrow and then migrate to the GC of the lymph node as a naive B cell. There, because of the interaction with cells of the microenvironment, they undergo the SHM process to give rise to mature B cells. The first hypothesis (i) is that CPC survives the different lines of therapy and coexist with FL and t-FL clones in the GC. The second hypothesis (ii) suggests that the CPC is present only in the GC of the prelymphoma (normal follicle) lymph node and not in the GC of FL or t-FL. The third hypothesis (iii) is that the CPC migrates to the BM (before or after FL) and is maintained in the BM niche before migrating to a secondary lymphoid organ where it evolves into FL or t-FL. The second (ii) and third (iii) evolution pathways both relate to the existence of "pre-malignant" niches (the first one in the GC and the second one in the BM) where CPC can independently give rise to FL or t-FL.

## Results and discussion

The mechanisms responsible for transformation of FL into t-FL remain a matter of debate. To shed light on this process and to trace the evolutionary pattern of FL/t-FL clones, genealogic trees were generated by HH and cloning. In 7 (87.5%) of 8 cases studied with both methods we observed a concordance between the 2 approaches (Table S2). The sequential and the father/son biopsies were all clonally related,<sup>9,20,21</sup> and the IgH-VH family usage and frequency of SHMs were similar to other FL studies (data not shown). All cloned t-FL tumors showed a similar mutation rate compared with their FL counterparts, albeit a lower degree of oligoclonality ( $P = .04$ ; Table S2).

The comparison of FL/t-FL homoduplex sequences confirmed the existence of a putative CPC in 12 (67%) of 18 pairs (Figure 1A; Figures S1,S2) and in the father/son cases (Figure S3). On the basis of the number of common SHMs we could infer that this hypothetical CPC derives from a GC B cell with homology to germline sequence ranging from 83.5% (patient 6) to 94.5% (patient 12). The remaining 6 patients (33%) had a transformation pattern compatible with direct evolution (Figure 1B), with 4 showing identical SHMs (data not shown). In these cases it seems likely that a FL cell attained additional abnormalities leading to a more aggressive phenotype.

The number of SHMs acquired by the putative CPCs after progression to FL and t-FL was high in the BMT model: 28 and 47 new mutations observed in the major clone of father and son samples, respectively (Figure S3). This difference was less striking in the paired biopsies, (median SHMs, 4 [FL] and 3 [t-FL],

respectively) and could be a consequence of the different origin of these putative CPCs: in the familial case the CPC was transmitted at BMT, whereas in the sequential biopsies it may represent a cell that never left the GC.

On the basis of the pattern of SHMs as inferred from the genealogic trees, we investigated by qualitative PCR whether the putative CPC could be detected in the corresponding FL/t-FL samples and by RQ-PCR the presence of the t-FL clonotype in the preceding FL. Our data clearly showed an intricate pattern in the FL/t-FL's GCs, not compatible with a direct evolution, and suggested the existence of 3 different models (Figure 1C). First, the identification of the t-FL clonotype in the preceding FL sample in 5 of 8 cases (Table 1), together with the amplification of the putative CPC (patient 12 and patient 26) and of more immature clones (patient 1) in both the FL/t-FL (Table S3) shows that the CPC survives different lines of therapy and coexists with FL and t-FL cells within the same lymph node. The RQ-PCR data also suggest that a clone in the preceding FL, having the same SHMs as the t-FL cell, could be selected during transformation. The identification of this t-FL clonotype in FL samples from patients treated with different regimens (chemotherapy [patients 1, 6, and 27], radiotherapy [patient 26], and watch and wait [patient 2]) suggests that drug resistance is not the only mechanism responsible for clonal selection. Second, the CPC may be present only in the prelymphoma GC with the FL and the t-FL clones arising independently from this precursor. Finally, and in accordance with the father/son's data and the recent report describing the  $\Sigma\mu$ -region mutation pattern in sequential FL samples,<sup>22</sup> the CPC could spread from the original lymph node and be maintained in the BM before migrating to secondary lymphoid organs. This mechanism, first suggested by studying the t(14;18)-positive cells in healthy persons,

**Table 1. Identification and quantification of the t-FL clonotype in the previous FL samples by RQ-PCR**

Patient, n = 8	Sample, n = 10	Total no. of primers	Region*	Sensitivity primers†	Amplification level
1	FL	4	CDR1-FR2/CDR2-FR3	10-4	10-2/10-3
	FL		CDR1/FR3	10-4	10-2/10-3
2	FL	3	FR2-CDR2/FR3	10-4	~10-3
	FL		FR2-CDR2/FR3	10-4	~10-3
6	FL	4	FR1-CDR1/FR3	10-4	~10-4
	FL		FR1-CDR1/FR3	10-4	~10-4
12	FL	4	FR2-CDR2/FR3-CDR3	10-4	Negative
	FL		FR2-CDR2/FR3-CDR3	10-4	Negative
15	FL	3	CDR1-FR2/FR3	10-4	Negative
	FL		CDR1-FR2/FR3	10-4	Negative
25	FL	3	FR2-CDR2/FR3	10-4	Negative
	FL	3	FR2-CDR2/CDR3	10-4	Negative
	FL2‡		FR2-CDR2/FR3	10-4	Negative
	FL2‡		FR2-CDR2/FR3	10-4	Negative
26	FL	3	FR1-CDR1/FR3	10-4	Negative
	FL	3	FR1-CDR1/FR3	10-4	Negative
	FL2‡		FR1-CDR1/FR3	10-4	10-1/10-2
	FL2‡		FR1-CDR1/FR3	10-4	10-1/10-2
27	FL	4	FR2-CDR2/CDR3	10-4	10-1/10-2
	FL		FR2-CDR2/CDR3	10-4	10-1/10-2

Each sample is reported twice because the experiments were performed using 2 different combinations of primers and for patient 15 also 2 different probes.

\*This is the region of the IgH-VH gene where the clonotype primers were designed.

†Maximal sensitivity was reported.

‡In 2 cases (patient 25 and patient 26), 2 sequential FL (FL2) samples were investigated. Patient 25 was negative for the t-FL clone in both the FL samples analyzed, whereas patient 26 was negative in the first FL biopsy and positive, at a level between  $10^{-1}$  and  $10^{-2}$ , in the second.

supposes the existence of BM niches in which the CPC could survive before acquiring new genomic lesions.<sup>23</sup> Indeed, on the basis of the father/son model, this CPC must be long-lived; moreover, the identification of new glycosylation motifs in 10 hypothetical CPC sequences, together with the observation that in 13 of 14 cases these sites are conserved after transformation (Table S4), support an involvement of microenvironment during the evolution of the CPC.

In conclusion, the pattern of SHMs observed in our familial and sporadic models supports the existence of a CPC linking FL and t-FL, provides an alternative mechanism for transformation other than direct evolution, and helps to explain the discordant patterns of SHMs previously reported.<sup>24</sup> These 2 mechanisms are not mutually exclusive and as seen for patient 26 (Figure S2) could be both independently active. Although the exact mechanism of CPC evolution, the stage of B-cell differentiation, where it occurs, or its role during lymphomagenesis is uncertain, the existence of these cells offers a new model to explain the clinical-molecular features of this disease.

## Acknowledgments

We thank Rachel Waters for statistical analysis and M. Alikian for her contribution in editing the pictures.

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This work was supported by grants from Cancer Research UK (London, United Kingdom) and Barts and The London Charitable Foundation (RAB05/F2).

## Authorship

Contribution: E.C. planned the project, performed the molecular analysis, and wrote the manuscript; D.W. performed the molecular analysis; J.M. provided the clinical information; S.I. provided the previous molecular data; A.D. and S.M. followed the patients in the clinic; A.N. performed the histopathologic analysis; J.H. and R.L. provided the samples and the relative data of the familial case; J.G.G. and T.A.L. helped to plan the work and critically revised the manuscript; and J.F. planned the project, supervised the work, and helped to write the manuscript.

Conflict-of-interest disclosure: The authors declare no competing financial interests.

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2009 113: 3553-3557  
doi:10.1182/blood-2008-08-174839 originally published  
online February 6, 2009

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