Lessons from congenital neutropenia: 50 years of progress in understanding myelopoiesis

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Severe congenital neutropenia (SCN) was first described just over 50 years ago. The progress in elucidating the clinical features and molecular pathophysiology of SCN closely parallels the progressive growth in our understanding of myelopoiesis. In this historical review, I have delineated this parallel progression in our understanding of the processes of granulocyte differentiation and the pathogenesis of congenital neutropenia. SCN is a heterogeneous disease that can serve as a model for the failure of myelopoiesis, and dissection of its pathogenesis has yielded important insights into the normal process of myeloid development.

Introduction

It has been just over 50 years since Rolf Kostmann, a student at the Karolinska Institute in Stockholm, Sweden, described “infantile genetic agranulocytosis” in his doctoral thesis,1,2 the first description of severe congenital neutropenia (SCN), also termed Kostmann Syndrome. The evolving understanding of this complex and heterogeneous disorder parallels the explosion of new discoveries in the last 50 years that have elucidated the process of myeloid cell proliferation and differentiation. SCN is a model for failure of myelopoiesis, and dissection of its pathogenesis has yielded important insights into the normal process of myeloid development.

Myelopoiesis has evolved to respond to a highly demanding system: neutrophils are constitutively produced in large numbers, survive for only a short time, and must be rapidly up-regulated in response to infectious or inflammatory stresses. The regulation of neutrophil number, the induction of normal maturation, and the continued balance of cell production with apoptosis are crucial to the normal homeostasis of the innate immune response. Granulocytes are produced in the bone marrow under the direction of cytokines, most important of which is granulocyte colony stimulating factor (G-CSF). G-CSF induces a transcriptional program that governs the full spectrum of proliferation, differentiation, functional activation, and apoptosis of hematopoietic progenitors directed along the myeloid lineage.

The original description of SCN preceded the recognition of the existence of a myeloid-specific cytokine by about 20 years, and the cloning and characterization of granulocyte colony stimulating factor (G-CSF) and its receptor (G-CSFR) by about 30 years. Once G-CSF was isolated, it was logical to hypothesize that severe congenital neutropenia arose from a genetic disorder leading to abnormal expression of or failure of response to G-CSF; however, no constitutional mutations in G-CSF or G-CSFR were identified as the source of the disorder. The clinical benefit derived from G-CSF therapy, however, allowed longer survival of SCN patients, and provided a wider understanding of the natural history of the disease, revealing its predilection for malignant transformation as well as facilitating further investigation of its molecular pathogenesis. The identification of the genetic defects underlying SCN did not occur until the era of gene mapping techniques, and discovery of the pathogenic mutations yielded puzzling results that could only be understood in the context of a more fully developed understanding of myelopoiesis, based on 50 years of discoveries elucidating the features of cytokine-mediated regulation of proliferation, differentiation, and apoptosis.

The 1950s: the kinetics of granulocyte production

At the time Kostmann described congenital neutropenia, very little was known about the process of myeloid cell proliferation and differentiation. Early studies of myelopoiesis focused on the characterization of white cell mass, distribution, and turnover. Investigators used a variety of physiologic techniques in an attempt to define the life span of leukocytes and the mechanisms that balanced granulocyte production and destruction. Experiments from the 1930s observing neutrophil counts following marrow suppressing agents resulted in estimates of granulocyte lifespan ranging from 4 days to 2 to 3 weeks (reviewed in3). Twenty years later, white cell survival was estimated by several techniques. Kline and Clifton gave a dose of P32 to hospitalized patients with normal neutrophil counts and estimated the granulocyte lifespan by following release of radiolabeled neutrophils into the peripheral blood.4 Hollingsworth followed leukocyte counts in parabiotic rats, in which short-term cross-circulation was established between an irradiated recipient and a healthy donor to estimate neutrophil survival.5,6 Craddock and colleagues used leukopheresis to induce leukopenia and followed the pattern of recovery of white cell counts.7 In later studies, Cronkite and colleagues administered tritiated thymidine to patients to determine the dynamics of neutrophil senescence and removal from the circulation.8 Not surprisingly, such studies gave rise to a wide range of estimates of the lifespan of the neutrophil, ranging from 30 minutes to 2 weeks.9 Certain defined principles became accepted, however. All studies supported several concepts that have become accepted principles defining neutrophil homeostasis: (1) postmitotic progenitors mature to neutrophils in the bone marrow over several days and constitute the granulocyte reserves; (2) the marrow reserve exceeds...
the circulating population of neutrophils 5- to 10-fold; (3) neutrophils released from the marrow reserve are the primary contributors to acute leukocytosis; (4) margined leukocytes exchange freely with circulating neutrophils in the blood; and (5) granulocytes circulate for a variable period of time, after which they enter tissues, from which they do not recirculate in the blood. Estimates of daily white cell production, based on the appropriate presumption that production must balance white cell loss, was in the range of $10^{11}$ to $10^{12}$. The concurrent view of neutropenia was that it was an acquired disease, often attributable to the spleen. Cyclic neutropenia was first described in 1910, but was not described as a familial disorder, and was thought to be immune mediated, responsive to splenectomy or to adrenocorticotropic hormone (ACTH; reviewed in Reimann and DeBerardinis). Kostmann was the first to document a heritable neutropenia, describing its autosomal recessive inheritance and attributing it to a “primary insufficiency” of the bone marrow. The next phase of investigation into the origins of the disorder would come in the context of the identification and study of myeloid cytokines.

**Identification, purification, and characterization of G-CSF and its receptor**

The importance of humoral factors in hematopoiesis was established in the 1960s. Pioneering techniques developed independently by Metcalf and Sachs resulted in the successful in vitro culture of hematopoietic progenitors in semisolid medium. These studies established that hematopoietic colony formation was completely dependent on the presence of factors from a variety of sources, including spleen cells, embryonic cells, or primed serum. These were termed “colony stimulating factors” because they were assayed functionally by their ability to stimulate in vitro colony formation. Evidence supported the emerging theory that these cytokines influenced all aspects of the hematopoietic differentiation program: proliferation, lineage commitment, and terminal maturation. Four major cytokines were described that were responsible for supporting the growth of granulocytes and macrophages: granulocyte-macrophage (GM)-CSF, G-CSF, IL3, and M-CSF. These cytokines had both overlapping and unique effects on myeloid differentiation, and the combinatorial effects helped regulate the balance of granulocyte and monocyte production, the response to increased demand for one cell type over another, and the regulation of proliferation versus maturation. Of the myeloid cytokines, the one most critical to neutrophil production is G-CSF. Mice with homozygous inactivating mutations in either G-CSF or G-CSFR were neutropenic, although their neutrophils were reduced only about 80%, confirming the ability of other cytokines to contribute to neutrophil production. This is in contrast to the GM-CSF knockout mouse, which has normal granulopoiesis.

The cDNA encoding G-CSF was isolated independently by 2 laboratories in 1986, and the G-CSF receptor was cloned in 1992. Subsequent studies elucidated the many roles of G-CSF in neutrophil differentiation and function. The effects of G-CSF are mediated through binding to its receptor, which within the myeloid lineage is expressed on hematopoietic progenitors, myeloid precursors, and mature neutrophils. Signaling through the G-CSFR involves activation of the Jak-Stat pathway and the ras/MAPK pathway, and the cytoplasmic tail of the receptor has several defined regions that mediate its diverse effects (reviewed in Avalos). The membrane proximal region mediates mitogenic effects, while more distal regions mediate maturation signals. G-CSF also increases functional activation of mature neutrophils, leading to increases in adherence, phagocytosis, respiratory burst, and granule secretion (reviewed in ). In addition, G-CSF transduces an antiapoptotic signal through activation of the Akt pathway. As described below, naturally occurring mutations in the G-CSF mutation in association with SCN provided important clues in the delineation of those portions of the receptor that are responsible for proliferation and maturation.

The cloning of G-CSF and the G-CSF receptor was a critical step in defining the signaling pathways involved in myelopoiesis. It also led the way to the development of recombinant G-CSF as a critically important agent for the treatment of neutropenia. Approved in 1991 for clinical use in patients with chemotherapy-induced neutropenia, it is now widely used for the treatment of neutropenia in nearly every setting. It was shown to have demonstrated efficacy for the treatment of severe congenital neutropenia and cyclic neutropenia, and has become the mainstay of therapy for those diseases.

**Role of G-CSF and G-CSFR in SCN and myelodysplastic syndrome/acute myelogenous leukemia**

From the time that the role of cytokines first became appreciated, it seemed logical to presume that SCN would be related to a defect in the production of, or the response to, G-CSF. Indeed, in a follow-up to his original report 20 years after he described SCN, Kostmann reported on an additional 9 patients and proposed that the maturation defect was due to a “deficiency of a serum factor.” However, studies of G-CSF receptor expression and function suggested that this pathway was in fact intact in SCN patients, and sequence analysis of the receptor was also normal. This was further corroborated by the successful treatment of SCN and CN with G-CSF. Although rare patients have been described with G-CSF resistance attributable to a mutation in the external domain of the G-CSFR, in general the G-CSF receptor signaling pathway appears normal in these patients.

However, subsequent studies identified an acquired point mutation in SCN patients that appeared to be associated with the development of myelodysplastic syndrome/acute myelogenous leukemia (MDS/AML). This mutation created a nonsense mutation resulting in a truncation of the C-terminal cytoplasmic domain of the receptor (Figure 1). The role of this mutation in the development of MDS/AML in patients with SCN remains controversial. There are several unanswered questions: Is the mutation pathogenic for the development of MDS/AML? Is there a subset of SCN patients that is more predisposed to developing the mutation and/or MDS/AML? Is it a result of G-CSF therapy?

The point mutation in SCN patients has a physiologic counterpart in normal myeloid development. The G-CSFR mRNA is alternatively spliced to give rise to 7 naturally occurring isoforms. Two of these isoforms are expressed in normal myeloid cells. The class I isoform is the full-length “normal” G-CSF receptor that is expressed at high levels in myeloid progenitors. The class IV isoform, which is expressed at very low levels, splices out the carboxy-terminal end of the full-length receptor and replaces it with an alternative sequence. The consequence is loss of the same portion of the normal G-CSFR as occurs by mutation in patients with SCN, although in the naturally occurring isoform, this is replaced with alternative sequences rather than truncating the receptor altogether. Intriguingly, the class IV G-CSF isoform expression is almost uniformly expressed at markedly increased levels in acute myelogenous leukemia (AML). Study of both the class IV G-CSF isoforms and the SCN-related mutant receptor in model systems have suggested that the
splicing event that generates the class IV isoform. 

congenital neutropenia is bracketed; the asterisk denotes the site for the alternative 
ligated on ligand binding. The critical region containing the mutations in severe 
for proliferation and maturation as well as the 4 tyrosine residues that are phosphory-
truncation of the cytoplasmic domain of the receptor serves to 
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Figure 1. Schematic diagram of the cytoplasmic domain of the granulocyte 
colonies stimulating factor receptor. Depicted are the regions that mediate signals 
for proliferation and maturation as well as the 4 tyrosine residues that are phosphory-
lated on ligand binding. The critical region containing the mutations in severe 
congenital neutropenia is bracketed; the asterisk denotes the site for the alternative 
truncation of the cytoplasmic domain of the receptor.

SCN and disruption of myelopoiesis

In the past 8 years, investigators have identified several genes that 
are implicated in the pathogenesis of SCN, details of which are 
outlined below. At least 2 surprising findings emerge from these 
studies. First, whereas a mutation in the genes encoding G-CSF or 
G-CSFR would have seemed a self-evident explanation for the 
SCN phenotype, none of the identified mutations revealed an 
obvious basis for the development of neutropenia. Second, the 
pathogenic genes appeared unrelated biologically: 4 variants of the 
disease are caused by a granule protein, a mitochondrial protein, a 
cytoplasmic protein and a transcription factor. Dissection of the 
molecular pathways leading from these proteins to neutropenia has 
again underscored the complexity of the myeloid maturation 
program and the interactions between pathways of cellular prolif-
eration and programmed cell death.

Autosomal dominant SCN and neutrophil elastase

In 1999, Horwitz and colleagues used gene mapping techniques to 
determine the gene responsible for cyclic neutropenia (CN). They 
presented convincing genetic evidence that mutations in neutrophil 
elastase (Ela2) were responsible for nearly all cases of that 
disorder, and subsequent studies revealed that mutations in Ela2 
were also responsible for the majority of cases of autosomal 
dominant SCN. Neutrophil elastase is a serine protease that is 
synthesized at the promyelocyte stage of differentiation and stored 
in the primary granules of neutrophils. More than 50 Ela2 
mutations have been described in patients with CN and SCN, and 
they result in proteins with a wide range of enzyme activity and 
substrate specificity. No obvious connection could be made 
linking the abnormalities of the mutant enzymes and neutropenia, nor 
was there an obvious explanation why some mutations should 
cause SCN and others the more benign CN syndrome. A 
possible mechanism could be the development of MDS/AML 
associated with monosomy 7 in 
patients with aplastic anemia. In those patients, monosomy 7 cells 
were demonstrated to proliferate selectively in vitro in the presence of 
G-CSF with a steep dose-response curve, while monosomy 7 cells 
could not be amplified out of normal marrow. However, 
fluorescence in situ hybridization (FISH) of stored patient speci-
mens before treatment with G-CSF demonstrated rare cells bearing 
monosomy 7, suggesting that G-CSF was amplifying a preexisting 
clone. Interestingly, these cells showed overexpression of the class 
IV isoform of the G-CSFR. What the relationship is between 
monosomy 7 and selective expression of the class IV isoform of the 
receptor is unknown.

In summary, the study of the G-CSFR in SCN patients did not 
reveal the etiology of their neutropenia, but provided important 
insights into the natural history of their disease. Further, it served to 
highlight the complexity of signals arising from the G-CSFR in 
influencing proliferation and maturation as separable components 
of the cytokine-induced differentiation program.

Is the mutation pathogenic for MDS/AML? Although the 
aberrant signaling through the truncated G-CSFR may be an 
appealing candidate factor in the pathogenesis of MDS/AML, its 
clinical significance is not established. Not all patients with SCN 
who develop MDS/AML have the mutation, and not all patients 
who acquire the mutation go on to develop MDS/AML. It 
seems clear from a range of studies that the mutation increases the 
proliferation response of the cells carrying the mutant receptor. 
Whether this leads to leukemia, allows selective proliferation of a 
clone that has undergone another leukemic event, or is merely a 
bystander phenomenon remains uncertain.

Is there a subset of SCN patients that is more predisposed to 
developing the mutation and/or MDS/AML? This risk is becoming 
better defined. Patients with severe disease, as evidenced by a 
higher demand for G-CSF and a correspondingly poor response to 
G-CSF represent a high risk population, as do patients with 
G-CSFR mutations. The correlation between G-CSF requirements 
and risk of MDS/AML gives rise to the obvious question: Is 
MDS/AML the result of G-CSF therapy? This remains unclear. Patients with severe disease may have an inherent predilection for 
the development of MDS/AML that is independent of G-CSF. It 
seems likely that G-CSF allows selective proliferation of preleuke-
mic or leukemic cells, especially in those patients carrying the 
mutant G-CSFR, although G-CSF itself may not actually induce the 
leukemic changes. This is supported by recent studies by 
Sloand et al examining the relationship of G-CSF therapy to the 
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in response to the presence of misfolded proteins in the endoplasmic reticulum (ER) (reviewed in Ron and Walter53). In response to unfolded or misfolded proteins within the ER, transmembrane proteins sense the presence of ER stress and trigger the UPR (Figure 2). This induces (1) a general decrease in protein synthesis through inhibition of translation initiation; (2) an increase in the expression of chaperone proteins; and (3) activation of ER-associated protein degradation (ERAD). If these responses are inadequate to compensate for the quantity of misfolded protein, the same transmembrane proteins induce apoptosis through changes in the expression of bcl family proteins and through increased caspase expression. Biochemical markers of the UPR include increased expression of the chaperone BiP and increased splicing of XBP1 (X-box binding protein-1), as well as increased expression of the negative transcriptional regulator CHOP and increased expression of caspase 12.53 Expression of mutant elastase derived from patients with SCN or CN in hematopoietic U937 cells and in primary human granulocytic precursors resulted in activation of the UPR, as evidenced by increased expression of BiP and CHOP and increased splicing of XBP1. It also increased surface expression of annexin V, an early marker of apoptosis.51,52 Furthermore, the investigators introduced a mutation into the SCN elastase cDNA to destroy the proteolytic activity of the enzyme, and this change had no impact on the induction of apoptosis, supporting the notion that the pathogenesis of neutropenia induced by mutant Ela2 is independent of the intrinsic activity of the enzyme.

The hypothesis that SCN and CN arise from activation of the UPR is attractive in that it can provide an explanation for many aspects of Ela2-related disease. First, it explains the autosomal dominant pattern of inheritance of the syndromes. Second, it provides a genotype-phenotype link that may account for the observation that mutations in the same enzyme can give rise to 2 distinctly different clinical disorders. In the study by Grenda et al, preliminary examination suggested that the degree of activation of the UPR was correlated with the severity of the phenotype, although further studies are required to confirm this observation.52 The corollary to that observation is that in the rare mutations that give rise to both SCN and CN in different families, there must be gene-modifying effects that lie outside the Ela2 locus.

**Kostmann Syndrome and HAX1**

The original pedigree described by Kostmann displayed autosomal recessive inheritance of SCN, and these patients did not have mutations in the Ela2 gene. Genome-wide linkage studies reported within the last year by Klein et al have confirmed that the gene for autosomal recessive SCN is HAX1.54 HAX1 is a ubiquitously expressed mitochondrial protein with weak homology to bcl-2 that represses apoptosis. It is hypothesized to have a role in maintaining the mitochondrial membrane potential, loss of which leads to the release of cytochrome c and other proapoptotic proteins into the cytoplasm. Klein et al reported that neutrophils from HAX-1 deficient patients did indeed demonstrate dissipation of mitochondrial membrane potential.54 Unlike Ela2-related SCN, HAX1 represents a loss-of-function mutation, thereby explaining its autosomal recessive pattern of inheritance. Thus, although both Ela2 and HAX1 related neutropenia share an increase in apoptosis as a final common pathway to their pathogenesis, the induction of increased apoptosis occurs by completely distinct mechanisms.

**Other rare causes of SCN**

Rare cases of SCN have been attributed to mutations in other neutrophil-specific genes. X-linked SCN has been reported in a few patients with novel mutations in the Wiskott-Aldrich syndrome protein (WASp).55,56 WASp is found only in hematopoietic cells, where it regulates actin polymerization involved in a spectrum of activities, including cell-cell interactions, cell movement, and cell signaling. Mutations in WASp commonly associated with Wiskott Aldrich syndrome (immunodeficiency, thrombocytopenia, and eczema) are inactivating mutations that lead to reduced or absent WASp activity. In contrast, mutations giving rise to SCN are
activating mutations that cause constitutive WASp activity. Expression of these mutant WASp proteins by gene transfer into a hematopoietic cell line suggested that the mutant protein caused unregulated actin polymerization, interfering with mitosis and cytokinesis. Primary progenitors from these patients showed decreased proliferation as well as increased apoptosis. As noted, 3 patients have been described with germ line mutations in the extracellular domain of the G-CSFR as the cause of G-CSF resistant SCN. These mutations are thought to inhibit receptor trafficking and dimerization in response to G-CSF.

The transcription factor Gfi-1 has also been implicated in a small number of cases of SCN. Gfi-1 is a zinc-finger protein that has been characterized as a transcriptional repressor. In myeloid cells, it has been shown to down-regulate expression of C/EBPα and neutrophil elastase. Zhuang et al have suggested that Gfi-1 mutant proteins associated with SCN cause apoptosis through up-regulation of C/EBPα expression, although it is tempting to postulate that up-regulation of neutrophil elastase loss of Gfi-1 activates the UPR and induces neutropenia by that mechanism.

Despite its rarity, SCN is a very heterogeneous disease. The delineation of the defects involved in its pathogenesis has served to highlight the complexity of the myeloid maturation program. It has also served to underscore the prominent role of apoptosis in the regulation of neutrophil mass. Whereas investigators first hypothesized that this disease likely arose from a defect in G-CSF-induced proliferation, the final common pathway for this disparate set of gene defects appears to be a mechanism leading to an increase in apoptotic cell death. Indeed, the clinical efficacy of G-CSF in treating SCN probably reflects its activity as an ant apoptotic protein rather than its role in inducing stem cell proliferation and maturation.

**Conclusion**

Fifty years after the first description of severe congenital neutropenia, the causative mutations underlying the disease and the links between those mutations and altered neutrophil mass are becoming clear. G-CSF has prolonged survival from this once uniformly fatal disease, though revealing the unfortunate tendency for leukemic transformation. The elucidation of the pathophysiology of this rare disease and its late complications has provided critical insights into the basic biology of myelopoiesis, illuminating the intricate processes involved in proliferation, maturation, function, and apoptosis of the hematopoietic stem cell.

**References**

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My career path has been guided at every stage by a succession of extraordinary mentors. I entered medical school with no clear direction, having majored in Comparative Literature as an undergraduate at Yale, taking the minimum required premedical school requirements. This made mentors both necessary and welcome, since absolutely everything was new! I had stayed at Yale for medical school, 1 of 2 only schools in the country that still required a thesis for graduation, ensuring that I would get exposure to both clinical medicine and basic research. Sam Thier was one of my first clinical mentors, and impressed upon me the elegance of brilliant clinical reasoning and initiated what has been a career-long commitment to internal medicine and clinical care. This was balanced by my thesis research experience in Leon Rosenberg’s laboratory, where I was introduced to a different array of new research techniques and ideas. I got hooked on both: although I was woefully undertrained, I was pretty sure I wanted to continue in research, but also loved clinical medicine. So I went to the Brigham and Women’s Hospital to pursue training in Internal Medicine with little or no idea of what my career trajectory would be beyond that. As a house officer at the Brigham, I became interested in Hematology as a clinical subspecialty when I spent several weeks on service with Joel Rappeport (another former Yale literature major!). Joel was not always ready to chat at any hour, but he set an example of meticulous patient care inspired by scholarly analysis that lured me toward Hematology. I found caring for Hematology patients endlessly challenging and interesting (though sometimes depressing as well). Finally, from the beginning, I was drawn to Hematology as a pursuit where the connection between the bench and the bedside was clearly visible, and I could envision a career as a “triple threat,” involved in patient care, basic research, and teaching. After my clinical fellowship, I spent 2 years in Philip Leder’s laboratory, where I was introduced to a different array of new research techniques and ideas. I got hooked on both: although I was woefully undertrained, I was pretty sure I wanted to continue in research, but also loved clinical medicine. So I went to the Brigham and Women’s Hospital to pursue training in Internal Medicine with little or no idea of what my career trajectory would be beyond that.

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I spent the next 20 years on the faculty of the Yale School of Medicine before moving to Boston to assume the position of Chief of Hematology “back home” at the Brigham and Women’s Hospital. When I moved to Yale, I had little understanding of how underprepared I was to establish an independent research career. I was incredibly lucky to find a lifelong mentor in Ed Benz, who has continued to be a valued mentor, teacher, and colleague ever since. Ed nurtured my early research efforts and supported me scientifically, professionally—and briefly, even financially—until I could establish my laboratory trajectory. I am also grateful for the mentorship of Bernie Forget—who was my very first attending as a medical student, was my first Hematology Section chief, and has been a valued advisor and colleague throughout my career. Hematology has proven to be unfailingly challenging, fascinating, and exciting both as a scientific discipline and a clinical subspecialty. Since medical school, my development as a scientist, clinician, and teacher has been guided by all of these inspired mentors. Not surprisingly, then, the greatest reward of my career has been the opportunity to mentor students, residents, fellows, and junior colleagues, and to foster their commitment to scientific scholarship and dedicated clinical care.

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