CD38 expression labels an activated subset within chronic lymphocytic leukemia clones enriched in proliferating B cells

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Chronic lymphocytic leukemia (CLL) cells are thought to have diminished cell-cycling capacity, a view challenged by their phenotypic resemblance to activated human B lymphocytes. The present study addresses the cell-cycling status of CLL cells, focusing on those leukemic cells expressing CD38, a molecule involved in signaling and activation that also serves as a prognostic marker in this disease. CD38+ and CD38- members of individual CLL clones were analyzed for coexpression of molecules associated with cellular activation (CD27, CD62L, and CD69), cell-cycle entry (Ki-67), signaling (ZAP-70), and protection from apoptosis (telomerase and Bcl-2). Regardless of the size of the CD38+ fraction within a CLL clone, CD38+ subclones are markedly enriched for expression of Ki-67, ZAP-70, human telomerase reverse transcriptase, and telomerase activity. Although the percentage of cells (approximately 2%) entering the cell cycle as defined by Ki-67 expression is small, the absolute number within a clone can be sizeable and is contained primarily within the CD38+ fraction. Despite these activation/proliferation differences, both CD38+ and CD38- fractions have similar telomere lengths, suggesting that CD38 expression is dynamic and transient. These findings may help explain why high percentages of CD38+ cells within clones are associated with poor clinical outcome.

Introduction

Chronic lymphocytic leukemia (CLL) results from amplification and accumulation of clonal CD5+ B cells. Although initially thought to be homogenous in manifestations and mechanisms, it is now clear that CLL is quite heterogeneous. Subgroups can be defined by differences in IgVH gene mutations,3 CD382 and ZAP-70,3,4 expression, presence of chromosomal abnormalities,5 and p53 dysfunction,6 with cases expressing mutated IgVH genes (U-CLL; Damle et al2 and Hamblin et al7), elevated numbers of CD38+ cells13,30 or ZAP-70+ cells,31-33 deletions at 17p and 1q,15,16 or impaired p53 activity8 having worse clinical outcomes. Among cell-surface markers, expression of CD38 and its activation/proliferative locomotion, helpful in understanding the role of CLL to the pathobiology of CLL, has been the subject of intense study.18 It is now clear that this molecule binds CD3119, enabling important cell-cell interactions that signal activation and survival pathways20,21 in normal22 and leukemic lymphocytes17 and antigen-presenting cells.23

Despite the heterogeneity of expression of various molecular and cellular markers in CLL, the disease appears relatively homogeneous by gene expression profiling. Only a small number of genes are differentially expressed between U-CLL and CLL patients with mutated IgVH genes (M-CLL),3,24 implying that all CLL clones likely derive from antigen-experienced/memory-like B cells.24 Similarly, different gene expression signatures distinguish instances of CLL defined by CD38 and ZAP-70 expression.25

A paradoxical feature of circulating CLL cells is the expression of multiple features of activated, antigen-experienced B cells by lymphocytes that are mostly arrested in the G1/G0 phase of the cell cycle. Although the majority of CLL cells from most patients express activation-related26-28 and certain cell cycle–related29,32 markers, surprisingly low percentages of Ki-67–expressing cells have been found in the blood of patients with CLL compared with those observed in other lymphoid malignancies.32 Furthermore, a proliferative compartment exists in CLL, although this probably resides in the solid tissues.33 Of note, data derived using tissue microarrays suggest that most CLL cells exist in late G1 phase (cyclin E+), and a surprising number of cells exist in the S (cyclin A+) and G2/M phases (cyclin B1+) of the cell cycle.34 These data are at variance with other studies mentioned, and may support a difference in cell-cycle progression between circulating and tissue-bound CLL cells.

Questions remain as to how many cells bearing evidence for cellular activation actually enter and complete the cell cycle. Since analyses of bulk populations limit the extent to which properties of members of cell populations can be understood, efforts are now focusing on fractionating CLL clones and defining differences in cellular components. In this regard, despite their monoclonal origin, highly purified CD38+ and CD38- subpopulations derived from the same patient with CLL exhibit distinct gene expression signatures.35

In an attempt to address this dilemma and to quantify the percentage of cells that enter the cell cycle, we have studied...
differences in expression of Ki-67 in relation to ZAP-70, Bcl-2, and surface membrane activation marker expression in CD38+ and CD38− subclones within a series of CLL clones from various patients differing in their overall CD38 status. We have also assessed differences in the replicative history and potential of CD38 subpopulations within individual patients with CLL. These studies identify a close association between CD38 expression and increased Ki-67 and ZAP-70 positivity, suggesting that CD38+ clonal members are more activated and could more frequently enter the cell cycle than their counterpart CD38− cells. Although CD38+ cells exhibited greater telomerase activity than companion CD38− cells, these 2 cell subsets did not differ in telomere length, implying that CD38 expression is a temporal feature of the cells’ activation state that can change over time.

Patients, materials, and methods

Patients and healthy donors

The Institutional Review Board of the North Shore–LIJ Health System approved these studies. Following informed consent obtained in accordance with the Declaration of Helsinki, venous blood was collected from 95 randomly chosen patients with CLL for whom IgVH gene DNA sequence data were available. Leukocyte-enriched fractions of blood donated by 20 healthy volunteers matched for age with the patients with CLL (60 years and older) were purchased from Long Island Blood Services (Melville, NY); these samples were negative for HIV and hepatitis B virus (HBV) antigens. Peripheral blood mononuclear cells (PBMCs) were separated from heparinized venous blood and leukocyte-enriched fractions by density gradient centrifugation using Ficoll-Paque (Pharmacia LKB Biotechnology, Piscataway, NJ) and cryopreserved for future use using a programmable cell-freezing machine (Cryomed, Mt Clemens, MI).

Analysis of surface membrane and intracellular antigens by flow cytometry

The following fluorochrome-conjugated mAbs were used: anti-CD5–APC, anti-CD27–FITC, anti–Ki-67–FITC, anti–Bcl-2–FITC, and anti-CD62L–FITC (BD Pharmingen, San Diego, CA); Simultest Leucogate, fluorochrome-conjugated isotype control mAbs, and anti-CD19-perCP, anti-CD38–PE, anti-CD69–FITC (BD Biosciences, San Diego, CA), and anti–ZAP-70–FITC (eBioscience, San Diego, CA). Unconjugated polyclonal anti–telomerase reverse transcriptase (TERT) antibody was purchased from Calbiochem (San Diego, CA). Cryopreserved PBMCs from 50 patients with CLL were subjected to 4-color immunofluorescence staining that included in each set mAbs to CD38, CD5, and CD19 along with either anti-CD27, anti-CD62L, or anti-CD69 mAbs. PBMCs from the entire cohort of 95 patients with CLL were studied for expression of intracellular antigens (Ki-67, ZAP-70, and Bcl-2) by incubating with mAbs reactive with CD38, CD5, and CD19, permeabilizing and fixing with Cytofix/Cytoperm reagent (BD Biosciences), and then incubating with either antibodies to Ki-67, ZAP-70, Bcl-2 or isotype control mAbs for an additional 25 minutes at 4°C. Cells were analyzed with a fluorescence-activated cell sorting (FACS) Calibur flow cytometer (Becton Dickinson Immunocytometry Systems, San Jose, CA).

For analyses of Ki-67 expression, mAb B56 (BD Pharmingen) was used; this mAb reacts with the same epitope as mAb MIB-1. To define optimum conditions for Ki-67 detection and, in particular, levels of Ki-67 expression in various stages of the cell cycle defined by DNA content, we examined tonsillar mononuclear cells (MNCs) that contain activated cells at different stages of maturation. Cells in S and G2/M phases were selectively enriched in Ki-67 expression compared with cells in the G0/G1 phase of the cell cycle (data not shown).

FACS

Cryopreserved PBMCs from 20 patients with CLL were incubated with mAbs specific for CD19, CD5, and CD38 and with fluorochrome-conjugated isotype control mAbs for 25 minutes at 4°C, and subjected to flow sorting on a FACS-Aria fluorescence activated cell sorter (FACS; Becton Dickinson Immunocytometry Systems). CD19+CD5−/CD38− and CD19+CD5+CD38+ subsets from each patient were processed for telomere length and telomerase quantification.

Quantification of telomere length and telomerase activity

A flow–fluorescence in situ hybridization (FISH) protocol detailed elsewhere36−37 was used to quantify mean telomere lengths in purified cell populations. Telomerase activity was assessed in the flow-sorted CD19+CD5−/CD38− and CD19+CD5+CD38+ subsets using the TRAPEze telomerase detection kit (Chemicon International, Temecula, CA) as described37; this approach uses the telomere repeat amplification protocol (TRAP; Kim et al38).

Statistical analyses

Expression of Ki-67 and other markers was compared between healthy donors and patients with CLL using the Mann-Whitney test. Comparison across CD38 groupings (negative, low, intermediate, and high) with respect to Ki-67 and ZAP-70 expression was carried out using the Kruskal-Wallis test. Upon finding significant differences across groups, a Bonferroni-like adjusted pairwise comparison was made to determine which groups differed from each another. Differences between percentages of cells expressing the same marker within CD38-based subsets were evaluated using the Wilcoxon signed-rank test. The Spearman rank correlation coefficient was calculated to determine the strength of association between various parameters.

Results

Expression of Ki-67 by CD5+ normal and leukemic B cells

We analyzed Ki-67 expression among PBMCs from a cohort of 95 randomly selected patients with CLL and 20 age-matched healthy donors to identify cells that had traversed the G0/G1 phase (Figure 1A). On average, the CLL clones contained 1.77% Ki-67−expressing cells (range, 0.1%-13.8%), which was a significantly higher percentage than that of CD5+ B cells from healthy donors (average, 0.23%; range, 0.0%-0.98%; P < .001, Kruskal-Wallis test).

Expression of Ki-67 in CD38high and CD38low CLL clones

Because CD38 is up-regulated with activation and maturation of normal human B lymphocytes,39 and its expression is intimately linked to cellular activation17 and disease course2 in CLL, we determined the relationship between expression of Ki-67 and CD38 within a leukemic clone. The 95 patients with CLL were divided into subgroups based on the percentage of CD5+CD19+ cells expressing CD38 (Figure 1B) by selectively gating and analyzing FACS data obtained after incubation with mAb reactive with CD19, CD5, CD38, and Ki-67. A total of 58% (55 of 95) of the clones contained less than 30% CD38-expressing leukemic cells (CD38low), and 42% (40 of 95) of the clones contained 30% or more CD38-expressing cells (CD38high). As shown in Figure 1C, the average number of Ki-67+ cells was significantly greater in CD38low patients (mean, 2.66%; range, 0.11%-13.8%) than in CD38low patients (mean, 1.06%; range, 0.0%-5.63%; P < .001, Kruskal-Wallis test). Of note, the average number of Ki-67+ cells was also significantly greater in patients with U-CLL (mean, 2.05%; range, 0.0%-13.8%) than in patients with M-CLL (mean, 1.23%; range, 0.0%-3.98%; P < .001, Kruskal-Wallis test; data not shown).
A sizable fraction of CD38+ Ki-67 expression by CD38 members of CLL clones differ in gene expression and certain cellular functions (eg, signaling through the B-cell receptor [BCR]). Therefore, Ki-67 expression by CD38+ and CD38− cells of the same 95 CLL clones was analyzed. To accomplish this, 2 regions corresponding to both ends of the CD38 expression spectrum were demarcated by FACS (Figure 2A): region R3, which contains most of the CD19+CD5+CD38+ fraction; and region R4, which contains most of the CD19+CD5+CD38− fraction.

The percentage of cells expressing Ki-67 in CD19+CD5+CD38+ and CD19+CD5−CD38− fractions within CD38low and CD38high patients was tabulated (Figure 2B). Significantly higher percentages of CD38+ cells expressed Ki-67 than did CD38− cells (mean Ki-67 expression in CD38+, 4.79%; range, 0.1%-26%; mean Ki-67 expression in CD38−, 0.87%; range, 0%-4.4%; P < .001, Wilcoxon signed-rank test), regardless of the type of patient analyzed (ie, CD38low and CD38high). Surprisingly, even in CD38low patients, a sizable fraction of CD38+CD5− cells expressed Ki-67 (mean, 5.57% ± 0.6%; n = 55), reflecting entry into the cell cycle (Figure 2B, arrow, left side).

Comparison of Ki-67 expression in CD38+ versus CD38− cells of normal CD5+ B cells and CLL cells

Many normal human B cells express varying levels of CD38, regardless of CD5 coexpression. Therefore, we analyzed expression of Ki-67 by CD38+ and CD38− fractions of normal circulating CD5+ B cells and CLL cells (Figure 2C), and then compared them with each other (Figure 2D). Ki-67+ cells are more often found in CD38+ subsets of both CLL and normal B lymphocytes (Figure 2C; healthy donors: mean, 0.23%; patients with CLL: mean, 4.79%; P < .001, Mann-Whitney test), and there are many more Ki-67+ cells in both CD38+ as well as CD38− fractions of CLL cells (Figure 2C) compared with normal CD5+ B cells (percentage of Ki-67 in CD38−: 0.0%; percentage of Ki-67 in CD38+: mean, 0.23%; P < .001, Mann-Whitney test). Figure 2D (right side) depicts the same data obtained for the normal CD5+ B cells (Figure 2D, left side) but represented on a magnified scale to indicate that significant differences in Ki-67 expression exist even between CD38+ and CD38− subsets of normal B cells. Among both CLL clones and normal B-cell populations, CD38 expression marks a CD5+ population containing more cells that have entered the cell cycle. This is the case even when the CD38+ cells are from CLL clones that have only a small CD38+ fraction (eg, as low as 0.3%; Figure 2B).

Expression of Ki-67 in CLL cells differing in density of cell-surface CD38

To determine whether Ki-67 expression is related to the density of CD38 expressed on the surfaces of different CD19+CD38+ CLL cells, we further divided FACS region R3 from Figure 2A into

Figure 1. Ki-67 expression in CD5+ B cells from healthy elderly donors and patients with CLL.

PBMCs from 20 healthy elderly donors and 95 patients with CLL were analyzed for expression of CD5, CD38, CD19, and Ki-67. (A) Percentage of CD5+CD19+ cells from healthy controls and patients with CLL expressing Ki-67 (P < .001; Mann-Whitney test). (B) Percentage of CD38− B cells in CLL clones designated CD38low (55 of 95) and CD38high (40 of 95) based on a 30% cut-off. (C) Significant differences in Ki-67 expression between CD38low and CD38high patients with CLL (P < .001; Mann-Whitney test). Horizontal lines in panels A and B indicate averages of values in corresponding groups.

Figure 2. Ki-67 expression by CD38+ and CD38− B cells within individual patients with CLL.

PBMCs from 95 patients with CLL and 20 elderly healthy donors were stained as in Figure 1. (A) Dotplots of CD5 and CD38 expression by B cells from 3 patients with CLL and 1 healthy donor. (B) Left panel: Lines connect data points for percentage of Ki-67+ cells in CD38+ and CD38− subsets within each patient with CLL in the CD38low subgroup. Note the detection of Ki-67+ cells even in the CD38− subset of CD38low patients (arrow). Right panel: Lines connect data points for percentage of Ki-67+ cells in CD38+ and CD38− subsets within each CLL clone in the CD38high subgroup. (C) Lines connect data points for percentage of Ki-67+ cells in CD38− and CD38+ subsets of all patients with CLL. (D) Left panel: Lines connect data points for percentage of Ki-67+ cells in CD38− and CD38+ subsets within each healthy participant. Right panel: Same data from left graph drawn to a smaller scale.
values from panel B. (D) Lines connect data points depicting percent Ki-67
clone compared with the Ki-67
CD5 and CD38 in CD19
different (CD27:CD38
fractions of individual CLL clones (Figure 4). The percentages of
maturation-associated markers27,41,42 (eg, CD69 that is up-regulated
In addition to CD38, CLL cells express other activation- and
Expression of activation-related cell-surface markers and Bcl-2
in CD38+N and CD38+N subsets of CLL clones
In addition to CD38, CLL cells express other activation- and
maturation-associated markers,27,41,42 (eg, CD69 that is up-regulated
rapidly with cellular activation and retains cells in the vicinity of
the inductive stimulus,43,44 CD62L that is involved in cell adhesion
and eventually lost from the cell surface after cell activation,45 and
CD27 that is reflective of a memory and an activated phenotype46,47). These markers were also analyzed in CD38+N and CD38+N fractions of individual CLL clones (Figure 4). The percentages of cells within patients expressing these markers were significantly different (CD27:CD38+N: mean, 75.1%; CD38+N: mean, 58.8%;
P < .001; CD62L:CD38+N: mean, 21.4%; CD38+N: mean, 13.5%;
P < .001; and CD69:CD38+N: mean, 23.9%; CD38+N: mean, 20.8%;
P < .01; signed-rank test), although the trend of differences between cells in the CD38+N and CD38+N subsets were not as consistent as found for Ki-67 expression.
Expression of ZAP-70 in CD38+N and CD38+N subsets of CLL clones
ZAP-70 expression in normal human B lymphocytes is linked to
cellular activation48-50 and has an important cell-signaling role in

Figure 3. Ki-67 expression in relation to density of CD38. PBMCs from patients with CLL were stained as described for Figure 1, and contour plots depicting expression of CD3 and CD38 in CD19-N cells of patients with CLL were generated. (A) The CD38+N fraction was divided into 3 regions—R4, R5, and R6—referred to as CD38N+R4, CD38N+R5, and CD38N+R6 subsets of 60 of 95 patients with CLL. Horizontal lines indicate averages of values in corresponding populations. (C) Average (± SE) of MFI values for CD38 expression in R6 were more than 3.5-fold greater than that of R5 and 10-fold greater than that of R4. The MFI values for R5 were more than 3.5-fold greater than that of cells in R4. The percentages of CD38-N expressing cells in regions R3, R4, R5, and R6 for each of the 60 patients analyzed were significantly different from each other (Figure 3B; P < .001, Kruskal-Wallis test), indicating clearly a direct relationship between Ki-67 positivity and density of CD38 expression on CLL cells. This relationship existed in every patient analyzed (Figure 3D). Similarly, CD38 density defined by MFI was higher in the Ki-67-N subset of the clone compared with the Ki-67-N subset in each patient (Figure 3E; P < .01, Mann-Whitney test).

Figure 4. Expression of cell activation- and apoptosis-related molecules in CD38+N and CD38+N subsets within patients with CLL. PBMCs from 50 patients with CLL were analyzed for expression of CD27, CD62L, or CD69 by CD5+N, CD19+N, and CD38+N cells. (A-C) Significant differences in expression of CD27, CD62L, and CD69, respectively, within CD38+N and CD38+N subsets of the clone (CD27 and CD62L, P < .001; CD69, P < .01). (D) Means (± SE) for percentage of Bcl-2+N cells (left) and means (± SE) for MFI of Bcl-2 expression (right).
CLL. We therefore compared expression of ZAP-70 in CLL cells of the same 95 patients and CD19+CD5+ cells from healthy elderly volunteers. Significantly higher percentages of CLL cells expressed ZAP-70 compared with B lymphocytes from control participants (Figure 5A).

Furthermore, none of the cells in the CD38− subset from healthy donors expressed ZAP-70 (0 of 20), even though the percentage of ZAP-70–expressing cells in the CD38+ subset ranged from 0.3% to 11.2% (Figure 5B). In contrast, 98% (93 of 95) of patients with CLL had a higher percentage of CD38+ cells expressing ZAP-70 than CD38− cells within the same clones (Figure 5C). In individual patients, ZAP-70 expression exhibited strong positive correlation with both CD38 expression and Ki-67 expression (Table 1; \( P < .001 \), Spearman correlation). These findings were true regardless of percentage of the clonal members expressing CD38, further supporting the concept that expression of CD38 and ZAP-70 coevolves.

**Telomerase activity and telomere length of flow-sorted CLL cells**

Telomerase activity is enhanced on activation of normal and leukemic B cells. Therefore, telomerase activity was quantified in B cells from CLL clones using the TRAP assay. Figure 6A indicates a modest direct relationship between telomerase activity and percentage of cells in CLL clones expressing CD38 \( (r = .35; \ P < .01) \). The analyses were refined by purifying CD5+CD38+ and CD5+CD38− B cells from 20 patients with CLL using FACS and the same gating approach illustrated in Figure 2A, and quantifying telomerase activity in these 2 subsets. In every instance, flow-sorted CD38+ CLL cells possessed higher telomerase activity than CD38− cells from the same clone (Figure 6B).

### Table 1. Correlation of ZAP-70 expression with CD38+ and Ki-67+ cells in individual CLL clones

<table>
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<tr>
<th>CD38 expression</th>
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<td>( r )</td>
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Percentages of cells CD-expressing ZAP-70 with either CD38 or Ki-67 in individual patients were subjected to Spearman correlation test.

Telomerase activity requires functional, human TERT (hTERT) protein. To determine if differences in percentage of hTERT+ CLL cells in a bulk population are at the root of the observed differences in enzymatic activity, we analyzed the percentage of CLL cells expressing hTERT by flow cytometry. The product of the percentages of cells expressing hTERT and the intensity of its expression was calculated and called “estimated telomerase activity.” Total product generated (TPG) activity obtained from the TRAP assays showed a positive correlation with these arbitrary units in CD38+ cells but not in CD38− cells (Figure 6D). In addition, there was a positive correlation between percent Ki-67–expressing cells with telomerase activity \( (r = .515; \ P = .02) \) and ZAP-70 expression \( (r = .26; \ P < .01) \).

Notably, despite the differences in hTERT protein and telomerase activity between the CD5+CD38+ and CD5+CD38− subsets, mean telomere lengths of these fractions were comparable within each clone (CD38+: range, 1.66-5.98 kb; mean, 3.34 kb; CD38−: range, 1.59-6.25; mean, 3.36 kb; \( P = .39 \); Figure 6C). This finding...
suggests that CD38+ and CD38− subclones are linked and represent a continuum, distinguished by transient and activation-related expression of CD38.

Discussion

Most circulating CLL cells exhibit features of preactivated cells. Their surface membranes are decorated with molecules found on stimulated B lymphocytes,26-28 with specific antigens displayed differing between U-CLL and M-CLL.27,42,58,59 Based on telomere length, the cells have replicated multiple times and clearly more than B cells from healthy donors matched for age.27,60-62 Finally, in vivo labeling of CLL cells reveals birth of a limited but significant number of new leukemic cells.33

Based on these findings, one would expect that CLL cells are cycling. Surprisingly, few cells in the blood have progressed beyond the G0/G1 phase of the cell cycle, although more cells in solid tissues may have done so.33,34 Although our experiments were carried out on B cells from the peripheral blood since adequate numbers of solid tissue samples were not available, based on the studies mentioned,33,34 the size of the proliferative component in tissues is likely greater than we have estimated for the blood.

Because clonal members can be heterogeneous in expression of genes and markers related to cellular activation and adhesion, in particular CD38,25-27,35,41,42 this intraclonal heterogeneity may translate into differences that determine which cells enter the cell cycle and may help to explain contradictory results. Early hints of such differences came from studies of the pattern of CD38 expression by members of CLL clones, indicating that the presence of a distinct CD38+ population within a leukemic clone, regardless of its apparent size, identified patients who would have more aggressive disease.63

Consequently, we examined how differences in expression of markers of activation by CLL cells correlate with entrance into the cell cycle; in particular, we used expression of Ki-67, a nuclear protein that is up-regulated in the G1, S, G2, and M phases of the cell cycle but is absent from resting cells (G0 phase64). Significantly higher percentages of CD5+ B cells from patients with CLL than CD5− B cells from controls expressed Ki-67 (Figure 1A). When the patients with CLL were divided into 2 groups based on a cutoff of 30% or more or less than 30% CD38-expressing cells within a leukemic clone, CD38high patients exhibited remarkably more Ki-67+ cells (Figure 1C). Similarly, U-CLL clones contain more Ki-67+ cells than M-CLL clones (not shown).

We further analyzed Ki-67 expression within CD38+ and CD38− subclones of each individual patient with CLL. Whether obtained from a patient with CLL or a control participant, proportionally more Ki-67+ cells were contained in the CD38+ than the CD38− fraction (Figure 4C). Even CD38− cells in CD38low patients showed significant numbers of Ki-67−-expressing cells (Figure 2B), suggesting that CD38 expression labels cells in an activated state that have crossed the G0/early-G1 boundary of the cell cycle. The finding that the percentages of Ki-67+ cells within the clone increased with the cell-surface density of CD38 (Figure 3C,D) suggests that a cell’s level of CD38 expression reflects its extent of activation as well as its proliferative capacity. These findings are consistent with the enhanced transcription of other activation markers (eg, CD18, CD49d, CD20, and subunit 5 of the anaphase-promoting complex/cyclosome) in leukemic B cells from CD38high patients with CLL.65

In addition, we quantified expression of CD69, CD62L, and Mcl-1 that might contribute to prolonged survival in vivo are up-regulated in CLL cells.37,66 However, the fact that CD38+ and CD38− cells did not differ with respect to expression of Bcl-2 suggests that this protein does not confer a selective survival advantage to CD38-expressing cells, especially in light of the finding that CD38− cells die faster than CD38+ cells in vitro.35

Since ZAP-70 expression is influenced by activation state and is also one of a few genes differentially expressed between the IgVH gene mutation patient subgroups, we examined its association with CD38 expression. Our observation on elevated percentages of ZAP-70+ cells in the CD38+ subset of the clone compared with those in CD38− CLL cells (Figure 5B,C) within most patients corroborates earlier findings,67 consistent with the suggestion that CD38− cells might have a better ability to transduce BCR-mediated signals68 with the help of simultaneous ZAP-70 expression.51,52 Although ZAP-70 was not detected in CD38− cells from healthy donors, it was found to a low extent in the CD38+ cells from the same healthy individuals, as reported earlier by others.48,50 It is interesting that in a minority of patients with CLL there were more ZAP-70+ cells in the CD38− fraction of the clone, suggesting that ZAP-70 expression may be retained in a subpopulation of CD38+ cells that have lost CD38 expression.

In this regard, the finding that highly purified CD38+ and CD38− subfractions of CLL clones showed no differences in mean telomere length (Figure 6C) suggests that the replicative histories of these 2 fractions are not different, and supports the notion that these fractions may represent a continuum distinguished by transient, activation-related expression of CD38. Thus, regardless of the percentage of CD38+ cells in a patient’s CLL clone, CD38 expression is linked to cell activation and labels a proliferative component defined by Ki-67 expression. On average, 1.77% of the leukemic cells in the patients studied expressed Ki-67, and most of these cells were within the CD38+ fraction (4.8% of CD38+ CLL cells coexpressed Ki-67 vs. 0.88% of CD38− CLL cells). We need to emphasize, however, that the circulating CD38+Ki-67+ component is small in relation to the percentage of cells within a clone (approximately 2%). Nevertheless, it may represent a sizeable number of cells in toto. Since the size of a CLL clone in vivo ranges from 109 to 1014 cells, this percentage then represents approximately 1010 to 1012 cells. However, ongoing cell death appears to balance out this continuing proliferation in most patients,33 thereby maintaining absolute cell numbers and explaining the often slow changes in lymphocyte counts in vivo. Since primarily CD38− CLL cells coexpressed Ki-67, one would anticipate that this fraction would be enriched in proliferating cells; indeed, our preliminary data suggest that the CD38 marks the
proliferative compartment in CLL, based on incorporation of \(^3^H\) label.\(^{1,67}\) Collectively, our findings may help to explain why the presence of high percentages of CD38\(^+\) leukemic cells within a CLL clone is associated with aggressive disease and poor clinical outcome,\(^{2,64}\) since presumably it is from this fraction that new, more dangerous chromosomal abnormalities evolve.\(^{70}\) Moreover, combining quantification of Ki-67\(^+\) cells with CD38\(^+\) cells may provide even greater prognostic effectiveness.

Acknowledgments

This work was supported in part by ROI grant CA 87956 from the National Cancer Institute and M01 General Clinical Research Center Grant (RR018535) from the National Center for Research Resources. The Karches Family Foundation, the Peter Jay Sharp Foundation, the Prince Family Foundation, the Marks Family Foundation, the Jean Walton Fund for Lymphoma & Myeloma Research, and the Joseph Eletto Leukemia Research Fund also provided support for these studies.

Authorship

Contribution: R.N.D. designed and performed research, analyzed data, and wrote the paper; S.T. and T.B. performed research; C.C. and S.Y. wrote the paper; C.S. analyzed data; S.L.A. and K.R.R. analyzed data and wrote the paper; and N.C. designed research, analyzed data, and wrote the paper.

Conflict-of-interest disclosure: The authors declare no competing financial interests.

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