CTLA-4 dysregulation of self/tumor-reactive CD8+ T-cell function is CD4+ T-cell dependent
Luca Gattinoni, Anju Ranganathan, Deborah R. Surman, Douglas C. Palmer, Paul A. Antony, Marc R. Theoret, David M. Heimann, Steven A. Rosenberg, and Nicholas P. Restifo

Cytotoxic T lymphocyte–associated antigen 4 (CTLA-4) maintains peripheral tolerance by suppressing T-cell activation and proliferation but its precise role in vivo remains unclear. We sought to elucidate the impact of CTLA-4 expression on self/tumor-reactive CD8+ T cells by using the glycoprotein (gp) 100–specific T-cell receptor (TCR) transgenic mouse model. pmel-1 CTLA-4−/− mices developed profound, accelerated autoimmune vitiligo. This enhanced autoimmunity was associated with a small but highly activated CD8+ T-cell population and large numbers of CD4+ T cells not expressing the transgenic TCR. Adoptive transfer of pmel-1 CTLA-4−/− CD8+ T cells did not mediate superior antitumor immunity in the settings of either large established tumors or tumor challenge, suggesting that the mere absence of CTLA-4–mediated inhibition on CD8+ T cells did not directly promote enhancement of their effector functions. Removal of CD4+ T cells by crossing the pmel-1 CTLA-4−/− mouse onto a Rag-1−/− background resulted in the complete abrogation of CD8+ T-cell activation and autoimmune manifestations. The effects of CD4+ CTLA-4−/− T cells were dependent on the absence of CTLA-4 on CD8+ T cells. These results indicated that CD8+ CTLA-4−/− T-cell–mediated autoimmunity and tumor immunity required CD4+ T cells in which the function was dysregulated by the absence of CTLA-4–mediated negative costimulation. (Blood. 2006;108:3818-3823) © 2006 by The American Society of Hematology

Introduction

Cytotoxic T lymphocyte–associated antigen 4 (CTLA-4) is an immunomodulatory molecule, expressed on both CD8+ and CD4+ T cells.1-3 By decreasing T-cell responsiveness and raising the threshold for T-cell activation, CTLA-4 plays a critical role in the maintenance of peripheral T-cell tolerance.2,4,5 Mice genetically deficient in CTLA-4 develop profound autoimmunity and die of a lymphoproliferative disease at 4 weeks of age.6-8 Similarly, in humans, CTLA-4 gene polymorphisms have been linked to the development of various autoimmune diseases, including autoimmune hypothyroidism and type 1 diabetes.9

Blockade of CTLA-4 function provides a compelling means of enhancing antitumor immunity since tumors primarily express nonmutated self-antigens.10 Administration of CTLA-4–blocking antibodies has been shown to induce tumor regression in several murine models.11-15 More significantly, recent translation of these findings in clinical trials demonstrated that the administration of a CTLA-4–blocking antibody mediates objective responses in approximately 15% to 20% of patients with metastatic melanoma.16,17 Objective responses correlated with grades III/IV autoimmune manifestations, including dermatitis, enterocolitis, hepatitis, and hypophysitis.17 However, recent evidence using a knock-in humanized CTLA-4 transgenic mouse model indicates that cancer immunity can occur also in the absence of autoimmune disease.18

Although CTLA-4 blockade has been shown to increase autoimmunity and antitumor immunity, the mechanisms underlying these effects remain controversial. Indeed, various models have been proposed identifying the factors mediating CTLA-4–dependent peripheral tolerance.8,19-27 In order to elucidate the mechanisms by which the absence of CTLA-4 signaling results in autoimmunity and enhanced antitumor immunity, we crossed CTLA-4−/− mice onto a self/tumor-reactive CD8+ T-cell receptor (TCR) transgenic (pmel-1) background.28,29 We found that genetic removal of CTLA-4 induced activation and acquisition of effector functions in pmel-1 CD8+ T cells, resulting in the rapid development of severe autoimmune vitiligo. These effects were abrogated by the removal of the CD4+ T-cell population. Therefore, we propose that the lack of CTLA-4 on self/tumor antigen–specific CD8+ T cells results in autoimmunity and tumor immunity only in the presence of nonspecific, dysregulated CD4+ CTLA-4−/− T cells.
10 to 14 days later with intravenous adoptive transfer of pmel-1 CTLA-4−/− or pmel-1 CTLA-4−/− into vitro–activated splenocytes. Mice were vaccinated with $2 \times 10^5$ plaque forming units (PFU) of a recombinant fowlpox virus expressing human gp100 (rFPhgp100; Therion Biologics, Cambridge, MA). Recombinant human interleukin-2 (rhIL-2; Chiron, Emeryville, CA) was administered by intraperitoneal injection twice daily at 36 µg/dose, for a total of 6 doses. Preventive experiments were performed as follows: 6- to 12-week-old mice received 5 Gy of total body irradiation and $1 \times 10^6$ cultured pmel-1 CTLA-4−/− or pmel-1 CTLA-4−/− T cells. The following day, mice were challenged with $5 \times 10^6$ B16 cells. Tumors were measured using calipers, and the products of the perpendicular diameters were recorded. All experiments were performed in a blinded, randomized fashion and performed independently at least twice with similar results.

Generation of bone marrow mixed chimeras

Bone marrow (BM) cells were obtained from the femurs and tibias of wild-type (WT), CTLA-4−/−, or CTLA-4−/− C57BL/6 mice. C57BL/6 recipients were irradiated with 10 Gy and injected intravenously with a 1:1 mix of BM cells from different donors as indicated. Chimeras were blindly evaluated for the development of vitiligo at 8 weeks.

Flow cytometry and antibodies

Cells were labeled with monoclonal antibodies (mAbs; BD Biosciences, Pharmingen, San Diego, CA) against Vβ13-FTC (MR12-3), CD8α-APC (53-6.7), CD44-PE (IM7), and CD4-PE (H129.19). Samples were analyzed using a FACSCalibur flow cytometer and CELLquest software (BD Biosciences, San Diego, CA).

In vitro activation and cytokine release

pmel-1 splenocytes were isolated from pmel-1 and pmel-1 CTLA-4−/− mice as described previously. Splenocytes were depleted of non-CD8+ T cells using a magnetic-activated cell-sorting (MACS) negative selection column (Miltenyi Biotech, Auburn, CA), and cocultured overnight with $0.1 \mu M$ hgp10025-33 pulsed or unpulsed irradiated T-cell–depleted splenocytes (Miltenyi Biotech). Supernatants were analyzed using an mouse interferon γ (IFNγ) enzyme-linked immunosorbent assay (ELISA) kit (Pierce Endogen, Rockford, IL).

Enumeration of CD8+ T cells

Splenocytes from pmel-1 and pmel-1 CTLA-4−/− mice were quantified with trypan blue exclusion and were analyzed by flow cytometry for CD8+ expression by cells. The absolute number of pmel-1 cells per microliter was calculated by multiplying the splenocyte count by the ratio of CD8+ lymphocyte gate.

Statistical analysis

The correlation between genotype and incidence of vitiligo was analyzed with the 2-tailed Fisher exact test. IFNγ release and CD8+ T-cell quantification were analyzed using analysis of variance (ANOVA). Tumor growth curves were compared using the Wilcoxon rank-sum test.

Results

Pmel-1 CTLA-4−/− mice develop autoimmune vitiligo at an accelerated rate

In order to study the effects of the absence of the CTLA-4 molecule on peripheral T lymphocytes in a self-reactive class I–restricted TCR transgenic setting, we crossed pmel-1 mice with CTLA-4−/− mice to obtain pmel-1 CTLA-4−/− mice. While CTLA-4−/− mice have consistently been shown to die between 3 to 4 weeks of age, crossing these mice onto a pmel-1 background increased their survival to approximately 10 to 12 weeks of age. Furthermore, these mice developed pronounced vitiligo at an accelerated rate compared with littermate controls (Figure 1). At 4 to 6 weeks of age, 277 pups were examined in a blinded fashion for the development of coat depigmentation. A group of mice (49) developed vitiligo over 75% of their body surface (Table 1). Genotypic analysis indicated that 48 (98%) of 49 mice were pmel-1 CTLA-4−/− mice. Development of vitiligo was dependent on both the expression of the Pmel1 transgene and the lack of cell-surface CTLA-4 expression. Autoimmune vitiligo was seen only in 1 of 6 CTLA-4−/− mice ($P < .001$), and in none of the mice that were heterozygous for the Cta1a gene ($P < .001$) (Table 1). Thus, the lack of CTLA-4 expression in the setting of the pmel-1 self/tumor-reactive TCR transgenic mouse results in enhanced reactivity against gp100-expressing melanocytes, as manifested by the rapid development of autoimmune vitiligo.

Qualitative changes in pmel-1 CD8+ CTLA-4−/− T cells affect autoimmunity

To determine whether the rapid development of vitiligo was due to increased numbers of self/tumor-reactive CD8+ T cells in pmel-1 CTLA-4−/− compared with pmel-1 CTLA-4−/− mice, we quantified the numbers of splenic CD8+ T cells in these mice. Surprisingly, we found that the number of CD8+ T cells in pmel-1 CTLA-4−/− mice that developed pronounced rapid-onset vitiligo was significantly decreased compared with their pmel-1 CTLA-4 intact littermates ($21.9 \times 10^6 \pm 2.17 \times 10^6$ vs $1.4 \times 10^6 \pm 0.13 \times 10^6$; $P < .001$) (Figure 2A). Interestingly, the activation state of the CD8+ T cells from the pmel-1 CTLA-4−/− mice was enhanced compared with that of the pmel-1 CTLA-4−/− mice. Pmel-1 CTLA-4−/− CD8+ T cells strongly increased the surface expression of activation markers, including CD44 (Figure 2B). Furthermore, the effector functions, as measured by IFNγ release upon coculture with cognate antigen-pulsed target cells, were found to be elevated in pmel-1 CTLA-4−/− CD8+ T cells (Figure 2C). In contrast, as we have previously reported, that pmel-1 CD8+ T cells showed a naive phenotype and released minimal amounts of IFNγ in response to the antigen (Figure 2B-C). These findings suggest that qualitative differences rather than quantitative differences in the CD8+ T-cell compartment resulted in the enhanced effector functions in pmel-1 CTLA-4−/− mice and the development of autoimmune vitiligo.
Absence of CTLA-4 expression on the surface of CD8+ T cells does not enhance their antitumor activity

In order to dissect the differential effects of the lack of CTLA-4 expression on CD8+ and CD4+ T-cell subsets, we evaluated the antitumor efficacy of CD8+ T cells from either pmel-1 CTLA-4+/+ or pmel-1 CTLA-4−/− mice upon adoptive transfer. To open a treatment window, we intentionally administered suboptimal doses of pmel-1 cells (1 × 10⁶). As previously described, 32,33 1-week-old in vitro–cultured CD8+ T cells were transferred, in combination with rFPhgp100 vaccination and exogenous IL-2, into tumor-bearing hosts. Surprisingly, despite the lack of CTLA-4–mediated inhibitory signals, CD8+ T cells from the pmel-1 CTLA-4−/− mice did not result in an improved antitumor response compared with pmel-1 CTLA-4+/− CD8+ T cells (P = .251) (Figure 3).

Experiments using CTLA-4–blocking antibody have been shown to stimulate productive antitumor immune responses in preventative settings but not in the treatment of large established tumors.34,35 We sought to understand whether our findings were dependent on the treatment window, we intentionally administered suboptimal doses of pmel-1 cells (1 × 10⁶). As previously described, 32,33 1-week-old in vitro–cultured CD8+ T cells were transferred, in combination with rFPhgp100 vaccination and exogenous IL-2, into tumor-bearing hosts. Surprisingly, despite the lack of CTLA-4–mediated inhibitory signals, CD8+ T cells from the pmel-1 CTLA-4−/− mice did not result in an improved antitumor response compared with pmel-1 CTLA-4+/− CD8+ T cells (P = .251) (Figure 3).

Experiments using CTLA-4–blocking antibody have been shown to stimulate productive antitumor immune responses in preventative settings but not in the treatment of large established tumors.34,35 About 70% of the T cells in pmel-1 mice are self/tumor-reactive CD8+ T cells (Figure 4A). In sharp contrast, phenotypic analyses of pmel-1 CTLA-4−/− mice revealed that the T-cell compartment was composed of primarily CD4+ T cells (77% CD4+ T cells vs 23% CD8+ T cells; Figure 4A). Furthermore, in these mice, there was a pronounced diversity of the TCR repertoire of CD4+ T cells. Most (86%) of the CD4+ T cells from the pmel-1 CTLA-4−/− mouse expressed the transgenic Vβ13 chain, whereas most (78%) of the CD4+ T cells from the pmel-1 CTLA-4−/− mouse expressed endogenous non-Vβ13 chains (Figure 4B). Because the CD4+ T-cell population was found to be preferentially dysregulated, we sought to determine the effect of removal of the CD4+ T-cell population from pmel-1 CTLA-4−/− mice by crossing pmel-1 CTLA-4−/− mice onto a Rag-1−/− background (Figure 5A). Strikingly, the resultant offspring failed to develop vitiligo and retained a normal lifespan (Figure 5B). At 4 to 6 weeks of age, 190 pups were examined in a blinded fashion for the development of coat depigmentation. A number of mice (26) developed vitiligo over 75% of their body surface (Table 2). Again, genotypic analysis confirmed that all 26 (100%) of these mice were pmel-1 CTLA-4−/− and homo- or

Enhanced pmel-1 CD8+ T-cell function in CTLA-4−/− mice is dependent on CD4+ T cells

Because we found that the absence of CTLA-4 does not have a direct impact on CD8+ T-cell effector functions, we sought to further delineate the mechanisms underlying CTLA-4–mediated peripheral tolerance.
heterozygous for the expression of the Rag1 gene. Conversely, autoimmune vitiligo was not seen in any of the 28 pmel-1–/+ Rag–– CTLA-4–/– mice (P < .001; Table 2). We next analyzed the expression of activation markers on the cell surface of splenic CD8+ T cells from these mice.

Figure 5. CD8+ CTLA-4–/– T-cell–mediated autoimmunity required CD4+ CTLA-4–/– T cells. (A) Lack of CD4+ T cells in pmel-1 Rag–– CTLA-4–/– mice. Flow cytometry for CD4 and CD8 expression on splenocytes from pmel-1 CTLA-4+/+ and pmel-1 CTLA-4–/– mice. Results are shown after lymphocyte gating. (B) Absence of coat depigmentation in pmel-1 Rag–– CTLA-4–/– mice. Pmel-1 Rag–– CTLA-4–/– (left) and pmel-1 CTLA-4–/– (right) littermates are depicted at 10 weeks of age. Autoimmune vitiligo is present over more than 75% of the body surface of pmel-1 CTLA-4–/– mice. (C) Pmel-1 Rag–– CTLA-4–/– CD8+ T cells exhibit a naive phenotype. Flow cytometry for CD44 expression on CD8+ splenocytes from pmel-1 CTLA-4+/+ and pmel-1 Rag–– CTLA-4–/– mice. Results are shown after gating for CD8.

Table 3. CTLA-4–/– CD4+ T cells alone do not trigger vitiligo

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<tr>
<th>Adoptive transfer</th>
<th>Incidence of vitiligo, no./total no.</th>
<th>P</th>
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<tr>
<td>CD8 WT/CD8 WT</td>
<td>0/7</td>
<td>RP</td>
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<tr>
<td>pmel-1 CD8/CD8 CTLA-4–/–</td>
<td>0/7</td>
<td>&gt; .05</td>
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<td>CD8 WT/CD8 CTLA-4–/–</td>
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<td>pmel-1 CD8/CD8 WT</td>
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Rag–– mice received WT or pmel-1 CD8+ T cells with CD4+ T cells from WT or CTLA-4 KO mice. A number of cells (10%) were used for each cell component in adoptive transfer experiments. Two months later the development of vitiligo was blindly evaluated. No statistically significant differences were observed between each group.

Discussion

CTLA-4 blockade provides a promising means of reducing peripheral tolerance to self-antigens and enhancing autotumor immunity. Administration of anti–CTLA-4–blocking antibodies in both murine models and clinical trials induced reductions in tumor burden and increases in the incidence of autoimmune manifestations. However, the cellular mechanisms underlying the blockade of CTLA-4–negative regulation remain poorly defined. Some groups have shown that CTLA-4 blockade directly enhances CD8+ T-cell activation, proliferation, and cytotoxic activity, even in the absence of vitiligo.
of CD4+ T-cell help.22,34,36,39 In contrast, we have found that the lack of CTLA-4 expression on CD8+ T cells alone is insufficient to break peripheral tolerance and enhance effector functions of self/tumor-reactive T cells. CTLA-4 blockade together with granulocyte-macrophage colony-stimulating factor (GM-CSF)–producing vaccines have been shown to induce the rejection of B16 challenge or early established tumors while it was ineffective in treating large, vascularized subcutaneous tumors such as those used in our model.34,35 However, even when tested in a preventative setting, pmel-1 CTLA-4−/− CD8+ T cells did not exhibit increased antitumor efficacy compared with pmel-1 CTLA-4+/+ CD8+ T cells. Unlike previous reports that indicate the absence of CTLA-4 had a greater impact on activated T cells rather than naive T cells we did not find that CTLA-4 blockade enhanced the function of activated pmel-1 T cells.37,40 In addition, our findings do not appear to be restricted to self-antigen systems because similar results can be found in the literature. The lack of CTLA-4 did not affect the ability of adoptively transferred 2C TCR Rag−/−/− CD8+ T cells to control the growth of B16 tumor expressing the Kb-binding peptide SIYRGL growth.41 Moreover, in the lymphocytic choriomeningitis viral model, the absence of CTLA-4 on p33-specific transgenic TCR CD8+ T cells did not affect antiviral T-cell responses.42 Because we have found that pmel-1 CTLA-4−/− CD8+ T cells are phenotypically and functionally more differentiated than pmel-1 CTLA-4+/+ CD8+ T cells, we cannot exclude that their inability to induce greater tumor regression after adoptive transfer was due to their progressed differentiation state.31 The abrogation of autoimmune manifestations in pmel-1 Rag−/−/− CTLA-4−/−/− mice further suggests that the mere absence of CTLA-4 on CD8+ T cells alone does not trigger their activation. A similar phenomenon has been reported for a model using class II–restricted pigeon cytomegalo
cr c–specific TCR transgenic mice, called AND, that were crossed onto a CTLA-4−/− background. Expression of the TCR AND transgene by CD4+ T cells delayed but did not prevent lymphoproliferative disease in the CTLA-4−/− mice.43 Mice remained healthy and CD4+ T cells maintained a naive phenotype when AND CTLA-4−/− transgenic mice were crossed onto Rag-1−/− mice. At first glance, results in the pmel-1 model seem to recapitulate those found in the AND system, but the implications of these apparently similar findings are profoundly different. In the AND model, the lymphoproliferative autoimmune disease is interpreted to be abrogated by the elimination of self-reactive T cells deriving from the creation of monoclonal T cells specific for a foreign antigen. By sharp contrast, the robust autoimmunity manifested by vitiligo is also completely eliminated in pmel-1 mice that are entirely targeted to a self-antigen. This indicated that the lack of autoimmunity is not due to the elimination of self-reactive T cells. It would be interesting to assess the influence of CTLA-4 on the function of self-antigen–reactive, MHC class II–restricted, CD4+ TCR transgenic mice. However, we cannot exclude the possibility that the absence of T-cell activation and autoimmunity in both the pmel-1 and AND TCR models is derived from disrupted T-cell development or changes in host factors. For example, the lack of B cells in Rag-1−/− mice alters the architecture of lymphoid organs.

Consistent with Chambers et al.,8 our data indicate a CD4+ T-cell–dependent mechanism of CTLA-4 immunoregulation. It appears that CD4+ T cells directly mediate autoimmune manifestations in CTLA-4−/− mice in other systems—depletion of the CD8+ T-cell population does not modify the phenotype of these mice. Conversely, in our model the CD4+ T cells are not the final mediators of autoimmunity; in the absence of pmel-1 CD8+ T cells, CTLA-4−/− mice do not develop vitiligo. Similar to our findings, Shirakant et al.31 have reported that CTLA-4 blockade reverses CD8+ T-cell tolerance to tumor through a CD4+ T-cell–dependent mechanism. In addition, analyses on peripheral blood lymphocytes of patients treated with anti–CTLA-4 have revealed a stronger up-regulation of the activation marker human leukocyte antigen (HLA)–DR and memory marker CD45RO+ on CD4+ T cells compared with CD4− T cells, suggesting a preferential activation of the CD4+ T-cell population following CTLA-4 blockade.16,17

Dysregulated CD4+ CTLA-4−/−/− T cells were unable to activate and trigger pmel-1 CD8+ CTLA-4−/−/− T cells to mediate autoimmunity upon cotransfer into Rag-1−/− mice or in BM mixed chimeras. The inability of CD4+ CTLA-4−/−/− T cells to recapitulate the phenotype of pmel-1 CTLA-4−/−/− mice in the presence of CTLA-4−/−/− WT pmel-1 CD8+ T cells suggests that the absence of CTLA-4 on CD8+ T cells is required. However, we cannot resolve alternative possibilities, which include: (1) the lack of CTLA-4 lowers the threshold of CD8+ T-cell–dependent CD8+ T-cell activation; (2) the absence of CTLA-4 on CD8+ T cells inhibits the dysregulation of the CD4+ CTLA-4−/−/− T cells by providing CTLA-4 inhibition in trans; or (3) in the absence of CTLA-4, CD8+ T cells fail to release unidentified suppressive factors. These alternative explanations apply to experiments in which CTLA-4−/−/−, CTLA-4−/−/− BM mixed chimeras exhibit a normal phenotype42 and no augmentation in CD8+ or CD4+ T-cell–mediated antiviral responses.45 In summary, our results indicated that CD4+ T cells, whose function is dysregulated by the absence of CTLA-4–mediated negative costimulation, trigger the induction of CD8+ T-cell–mediated autoimmunity and tumor immunity, but only if these CD8+ T cells lack CTLA-4. Thus, the successful treatment of patients with antibodies that block CTLA-4 may be the result of the dysregulation of self/tumor-reactive CD8+ and CD4+ T cells acting in concert.

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Authorship

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